Copy/Pointer + Transformer

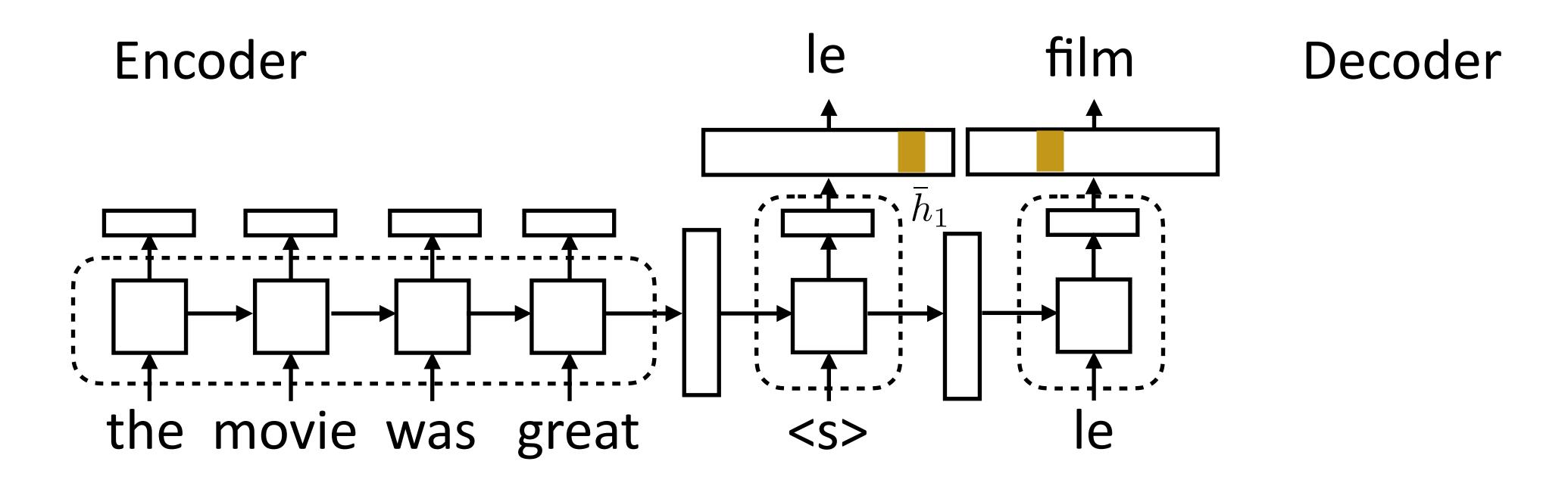
Wei Xu

(many slides from Greg Durrett)

This Lecture

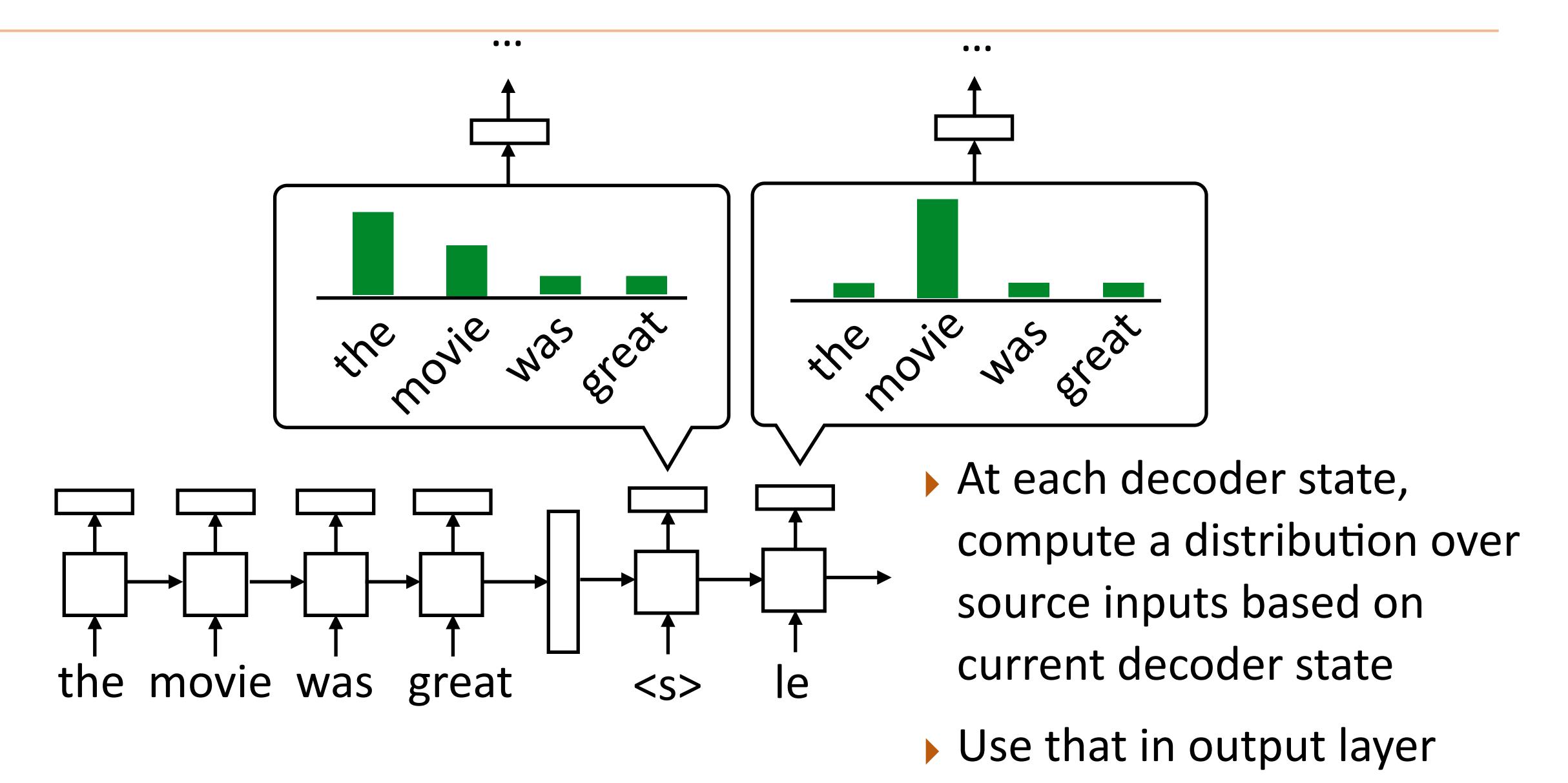
- Sequence-to-Sequence Model
- Attention Mechanism
- Copy Mechanism
- Transformer Architecture

Recap: Seq2Seq Model



- ▶ Encoder: consumes sequence of tokens, produces a vector. Analogous to encoders for classification/tagging tasks $P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W\bar{h}_i)$
- ▶ Decoder: separate module, single cell. Takes two inputs: hidden state (vector h or tuple (h, c)) and previous token. Outputs token + new state

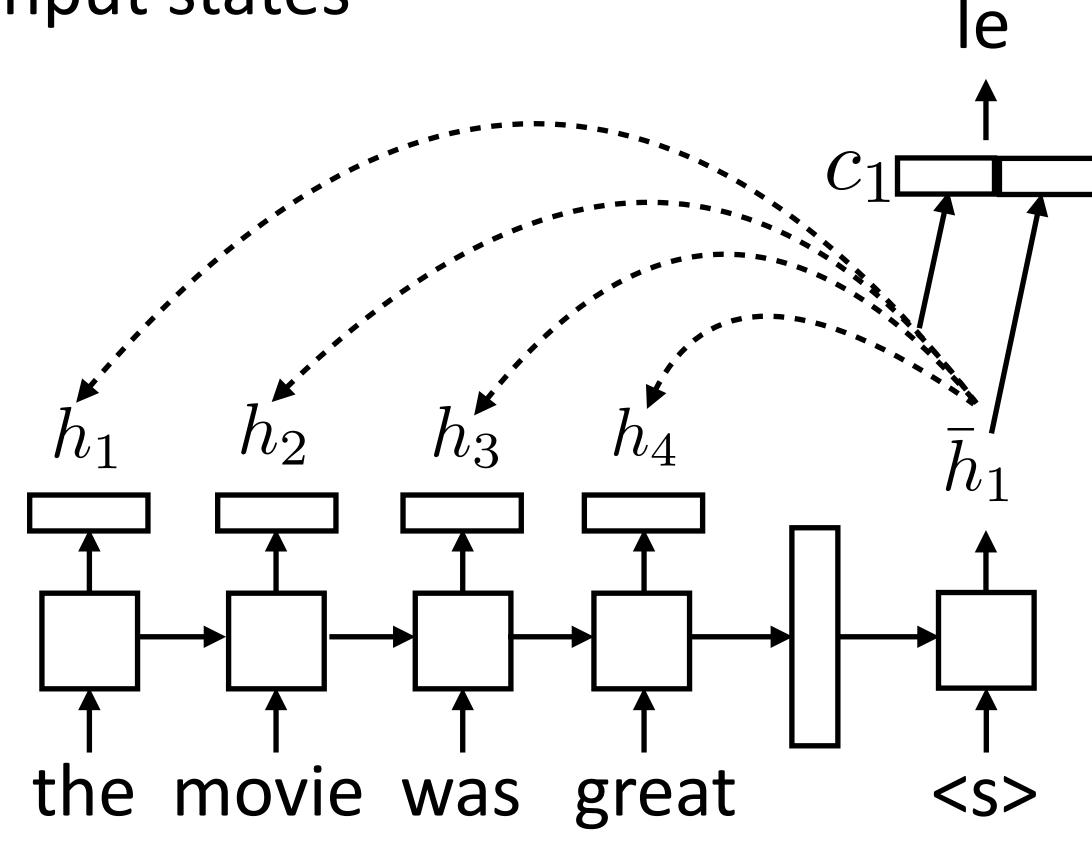
Attention



Attention

For each decoder state, compute weighted sum of input states

▶ No attn: $P(y_i|\mathbf{x}, y_1, ..., y_{i-1}) = \text{softmax}(W\bar{h}_i)$



$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$

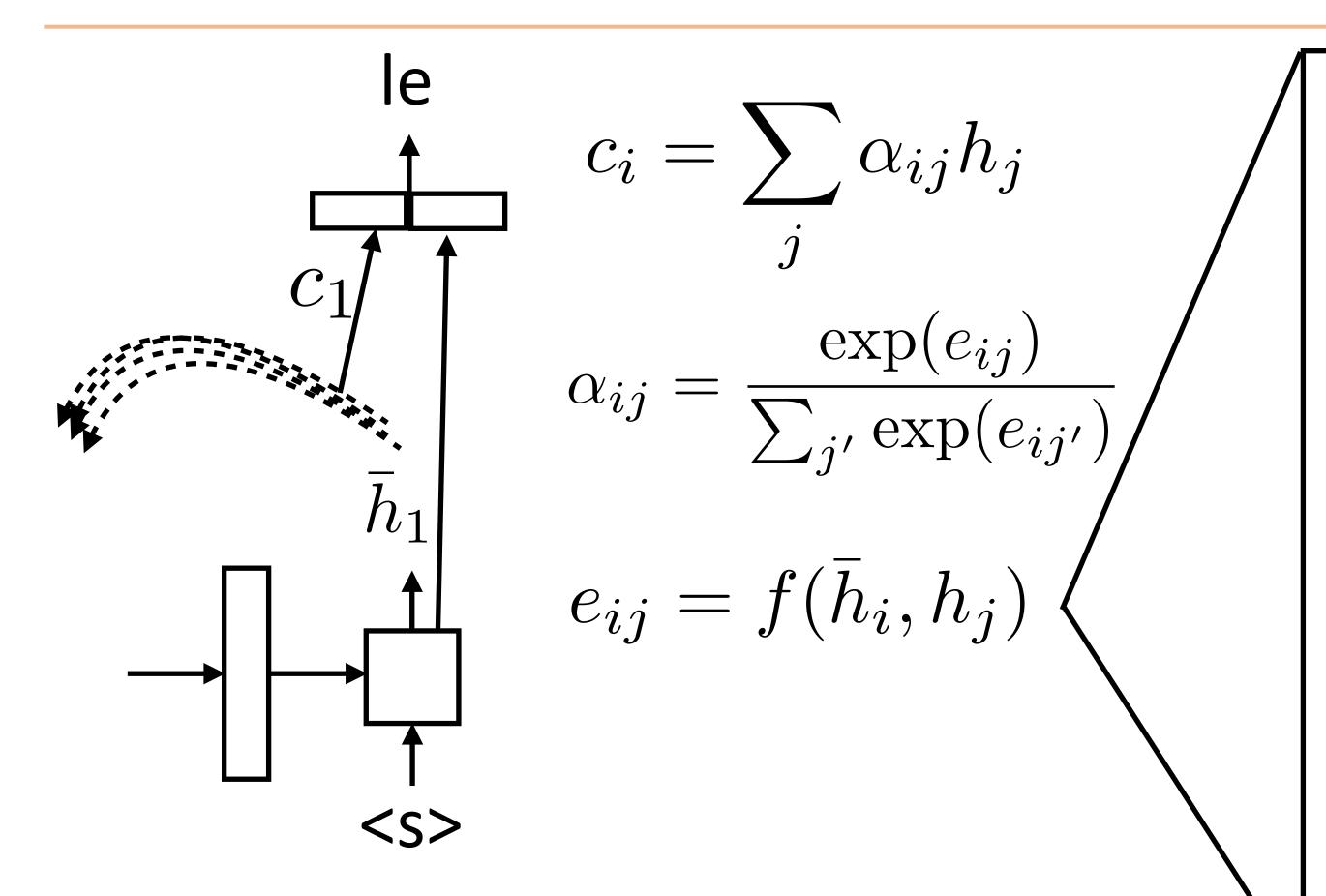
$$c_i = \sum_j \alpha_{ij} h_j$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{j'} \exp(e_{ij'})}$$

$$e_{ij} = f(\bar{h}_i, h_j)$$

Some function f (next slide)

Attention



$$f(\bar{h}_i, h_j) = \tanh(W[\bar{h}_i, h_j])$$

▶ Bahdanau+ (2014): additive

$$f(\bar{h}_i, h_j) = \bar{h}_i \cdot h_j$$

Luong+ (2015): dot product

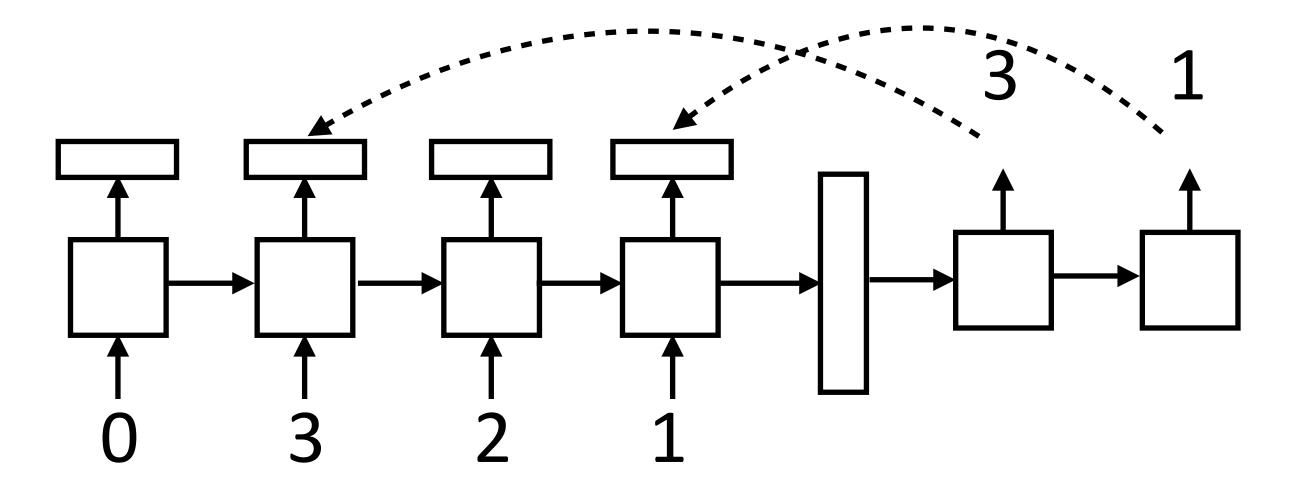
$$f(\bar{h}_i, h_j) = \bar{h}_i^{\mathsf{T}} W h_j$$

Luong+ (2015): bilinear

Note that this all uses outputs of hidden layers

What can attention do?

Learning to subsample tokens



- Need to count (for ordering) and also determine which tokens are in/ out
- Content-based addressing

Copying Input/Pointers

Unknown Words

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ..., was taken down on Thursday morning

fr: Le <u>portique écotaxe</u> de <u>Pont-de-Buis</u>, ... [truncated] ..., a été <u>démonté</u> jeudi matin

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris le jeudi matin

Want to be able to copy named entities like Pont-de-Buis

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$
 from RNN from attention hidden state

Problem: target word has to be in the vocabulary, attention + RNN need to generate good embedding to pick it

Jean et al. (2015), Luong et al. (2015)

Copying

en: The <u>ecotax</u> portico in <u>Pont-de-Buis</u>, ... [truncated] ...

fr: Le portique écotaxe de Pont-de-Buis, ... [truncated]

nn: Le <u>unk</u> de <u>unk</u> à <u>unk</u>, ... [truncated] ..., a été pris

Vocabulary contains "normal" vocab as well as words in input. Normalizes over both of these:

$$P(y_i = w | \mathbf{x}, y_1, \dots, y_{i-1}) \propto \begin{cases} \exp W_w[c_i; \bar{h}_i] & \text{if } w \text{ in vocab} \\ \exp h_j^\top V \bar{h}_i & \text{if } w = \mathbf{x}_j \end{cases}$$

Bilinear function of input representation + output hidden state

Le de ... matin

Pont-de-Buis ecotax

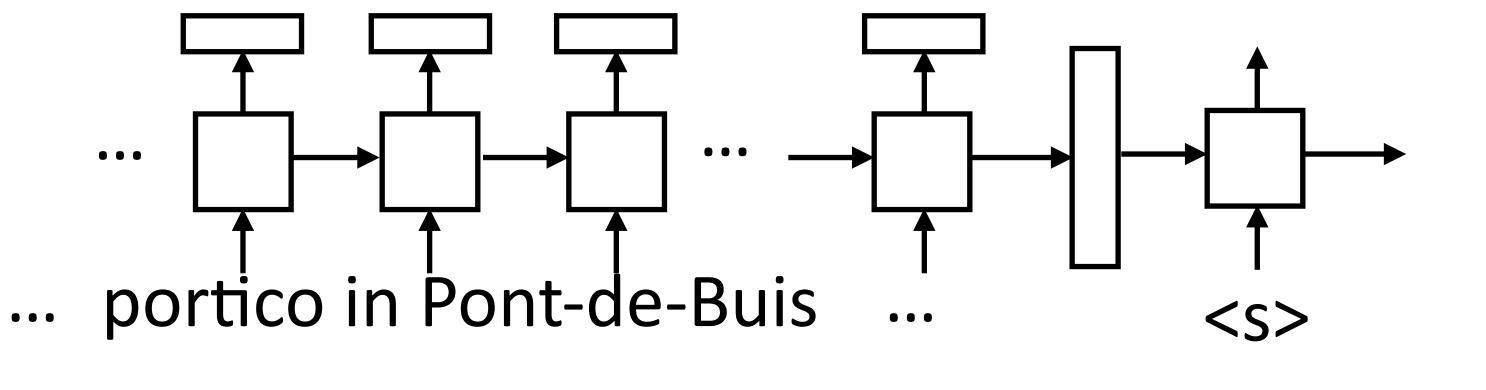
Pointer Network

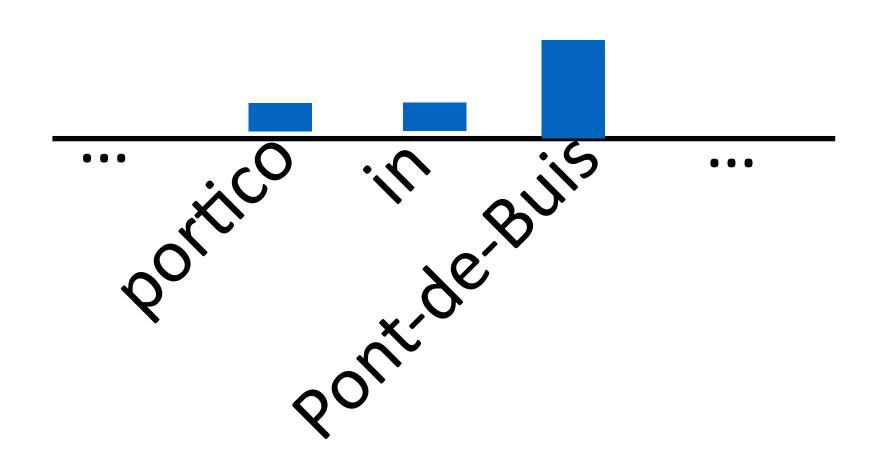
Standard decoder (P_{vocab}): softmax over vocabulary

$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = \operatorname{softmax}(W[c_i;\bar{h}_i])$$



Pointer network ($P_{pointer}$): predict from *source* words, instead of target vocabulary $P_{\mathrm{pointer}}(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) \propto \begin{cases} h_j^{\top}V\bar{h}_i \text{ if } y_i=w_j \\ \mathbf{0} \text{ otherwise} \end{cases}$



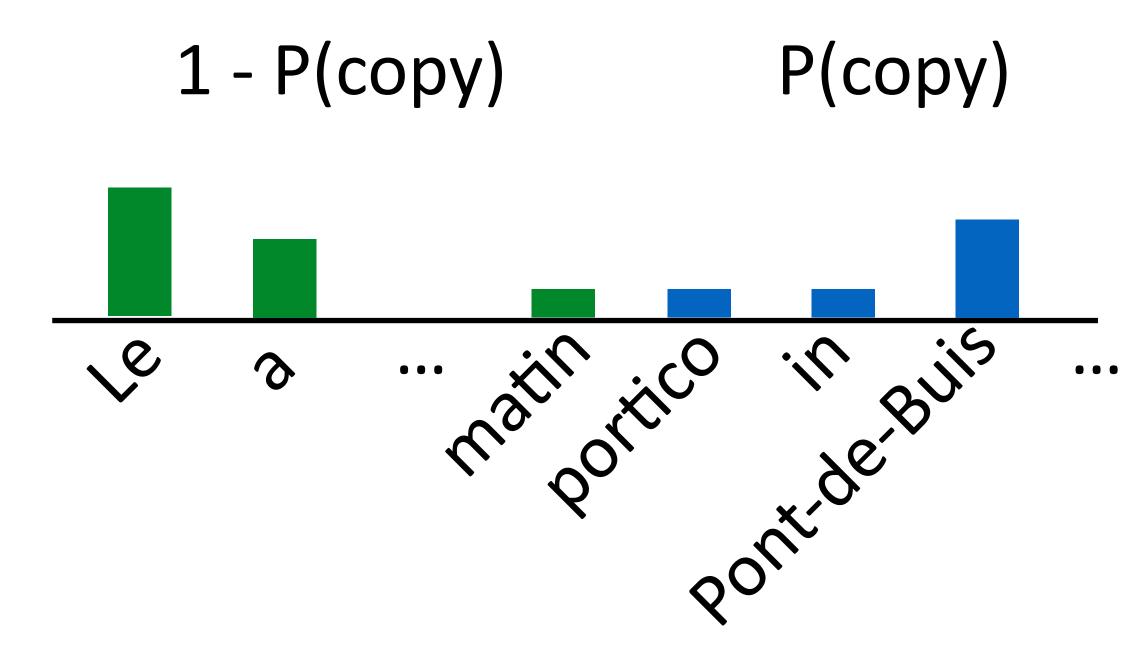


Pointer Generator Mixture Models

▶ Define the decoder model as a mixture model of P_{vocab} and P_{pointer}

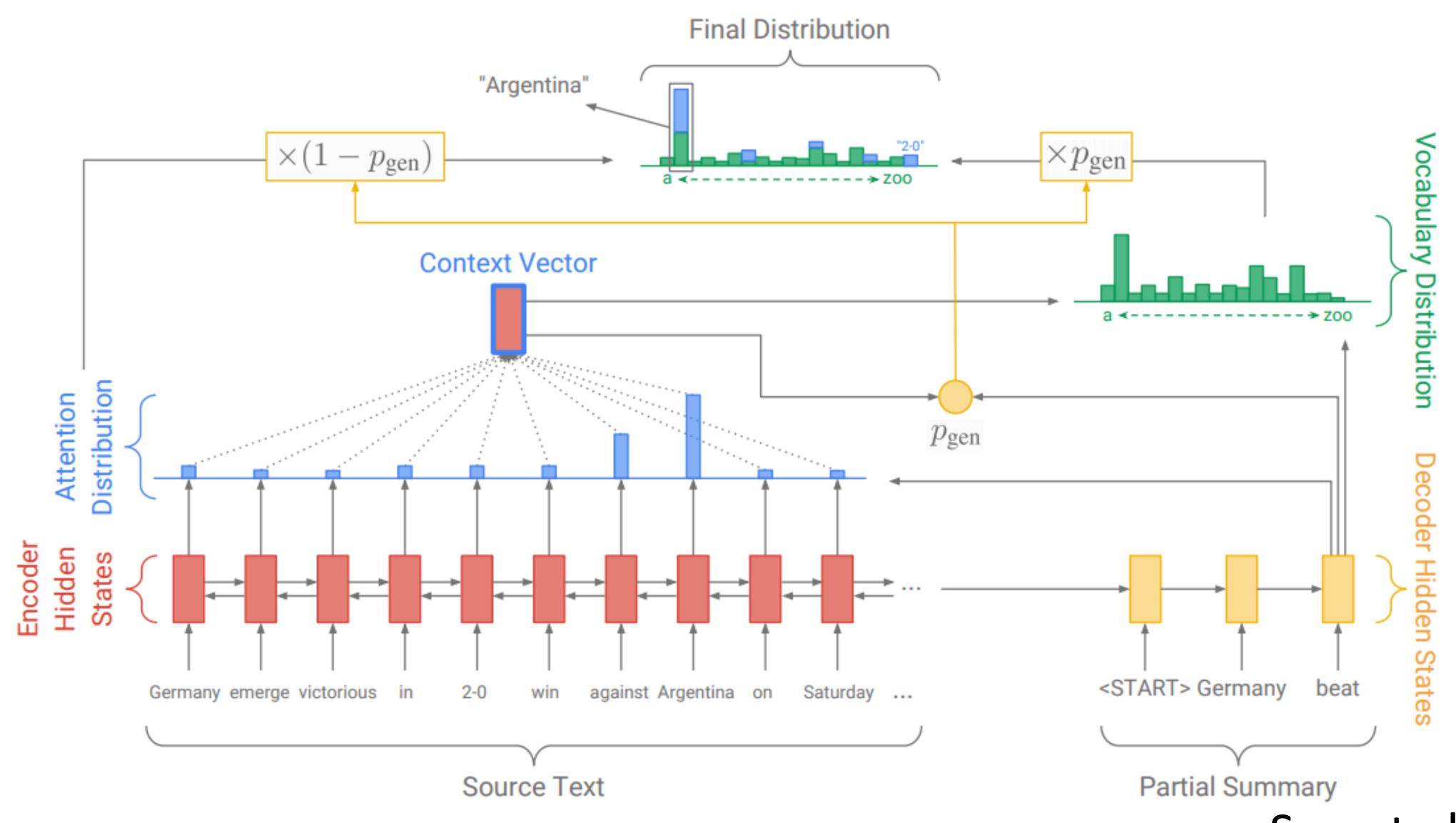
$$P(y_i|\mathbf{x},y_1,\ldots,y_{i-1}) = P(\text{copy})P_{\text{pointer}} + (1 - P(\text{copy}))P_{\text{vocab}}$$

- Predict P(copy) based on decoder state, input, etc.
- Marginalize over copy variable during training and inference
- Model will be able to both generate and copy, flexibly adapt between the two



Gulcehre et al. (2016), Gu et al. (2016)

Copying in Summarization



See et al. (2017)

Copying in Summarization

	ROUGE		METEOR		
	1	2	L	exact match	+ stem/syn/para
abstractive model (Nallapati et al., 2016)*	35.46	13.30	32.65	-	_
seq-to-seq + attn baseline (150k vocab)	30.49	11.17	28.08	11.65	12.86
seq-to-seq + attn baseline (50k vocab)	31.33	11.81	28.83	12.03	13.20
pointer-generator	36.44	15.66	33.42	15.35	16.65
pointer-generator + coverage	39.53	17.28	36.38	17.32	18.72
lead-3 baseline (ours)	40.34	17.70	36.57	20.48	22.21
lead-3 baseline (Nallapati et al., 2017)*	39.2	15.7	35.5	_	_
extractive model (Nallapati et al., 2017)*	39.6	16.2	35.3	_	_

Copying in Summarization

Original Text (truncated): lagos, nigeria (cnn) a day after winning nigeria's presidency, *muhammadu buhari* told cnn's christiane amanpour that he plans to aggressively fight corruption that has long plagued nigeria and go after the root of the nation's unrest. *buhari* said he'll "rapidly give attention" to curbing violence in the northeast part of nigeria, where the terrorist group boko haram operates. by cooperating with neighboring nations chad, cameroon and niger, he said his administration is confident it will be able to thwart criminals and others contributing to nigeria's instability. for the first time in nigeria's history, the opposition defeated the ruling party in democratic elections. *buhari* defeated incumbent goodluck jonathan by about 2 million votes, according to nigeria's independent national electoral commission. the win comes after a long history of military rule, coups and botched attempts at democracy in africa's most populous nation.

Baseline Seq2Seq + Attention: UNK UNK says his administration is confident it will be able to destabilize nigeria's economy. UNK says his administration is confident it will be able to thwart criminals and other nigerians. he says the country has long nigeria and nigeria's economy.

Pointer-Gen: *muhammadu buhari* says he plans to aggressively fight corruption in the northeast part of nigeria. he says he'll "rapidly give attention" to curbing violence in the northeast part of nigeria. he says his administration is confident it will be able to thwart criminals.

Pointer-Gen + Coverage: *muhammadu buhari* says he plans to aggressively fight corruption that has long plagued nigeria. he says his administration is confident it will be able to thwart criminals. the win comes after a long history of military rule, coups and botched attempts at democracy in africa's most populous nation.

Figure 1: Comparison of output of 3 abstractive summarization models on a news article. The baseline model makes **factual errors**, a **nonsensical sentence** and struggles with OOV words *muhammadu buhari*. The pointer-generator model is accurate but **repeats itself**. Coverage eliminates repetition. The final summary is composed from **several fragments**.

Transformers

Attention is All You Need

Attention Is All You Need

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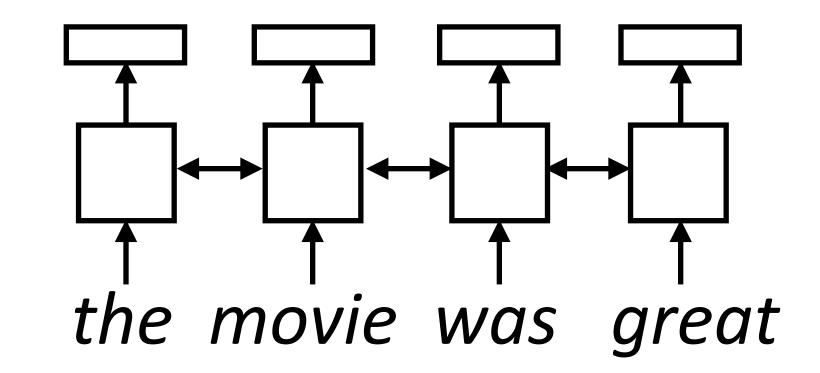
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Abstract

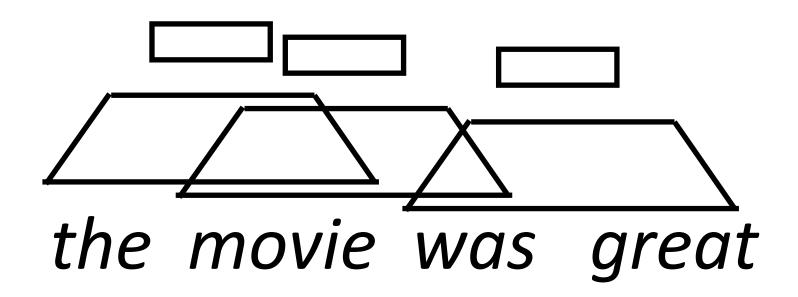
The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 English-to-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after training for 3.5 days on eight GPUs, a small fraction of the training costs of the best models from the literature. We show that the Transformer generalizes well to other tasks by applying it successfully to English constituency parsing both with large and limited training data.

Sentence Encoders

LSTM abstraction: maps each vector in a sentence to a new, context-aware vector



CNNs do something similar with filters



Attention can give us a third way to do this

Self-Attention

▶ Assume we're using GloVe — what do we want our neural network to do?



The ballerina is very excited that she will dance in the show.

- What words need to be contextualized here?
 - Pronouns need to look at antecedents
 - Ambiguous words should look at context
 - Words should look at syntactic parents/children
- Problem: LSTMs and CNNs don't do this

Self-Attention

Want:

The ballerina is very excited that she will dance in the show.

LSTMs/CNNs: tend to look at local context

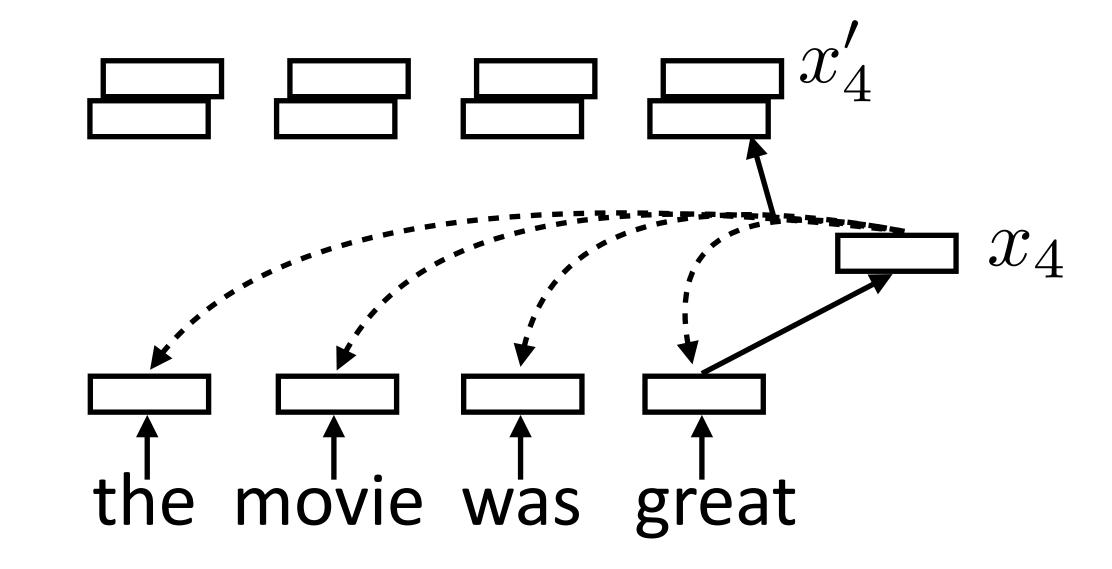


To appropriately contextualize embeddings, we need to pass information over long distances dynamically for each word

Self-Attention

► Each word forms a "query" which then computes attention over each word

$$lpha_{i,j} = \operatorname{softmax}(x_i^ op x_j)$$
 scalar
$$x_i' = \sum^n lpha_{i,j} x_j \quad \text{vector = sum of scalar * vector}$$



Multiple "heads" analogous to different convolutional filters. Use parameters W_k and V_k to get different attention values + transform vectors

$$\alpha_{k,i,j} = \operatorname{softmax}(x_i^\top W_k x_j) \quad x'_{k,i} = \sum_{j=1}^n \alpha_{k,i,j} V_k x_j$$

Vaswani et al. (2017)

What can self-attention do?



The ballerina is very excited that she will dance in the show.

0	0.5	0	0	0.1	0.1	0	0.1	0.2	0	0	0
0	0.1	0	0	0	0	0	0	0.5	0	0.4	0

Attend nearby + to semantically related terms

Why multiple heads? Softmaxes end up being peaked, single distribution cannot easily put weight on multiple things

Vaswani et al. (2017)

Additional Readings

"The Illusstrated Transformer" by Jay Lamar

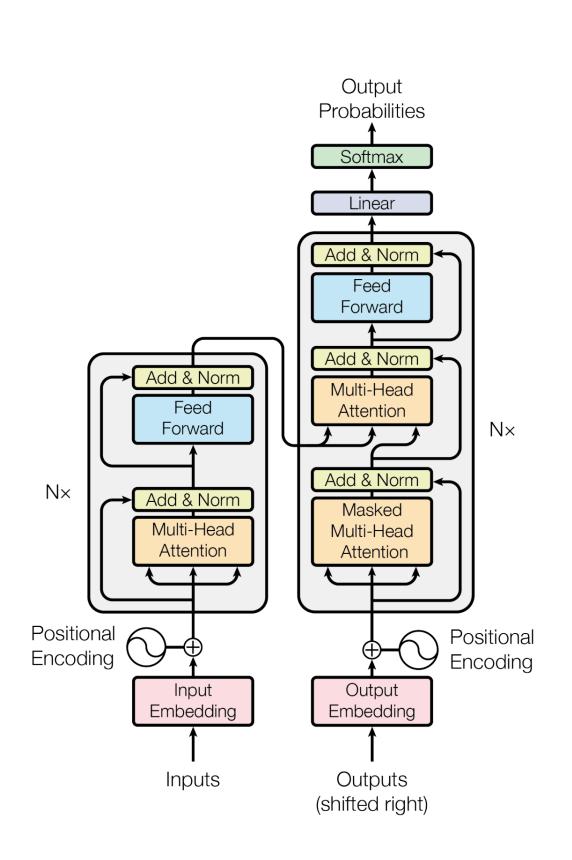
http://jalammar.github.io/illustrated-transformer/

"The Annotated Transformer" by Sasha Rush

https://nlp.seas.harvard.edu/2018/04/03/attention.html

Transformer Uses

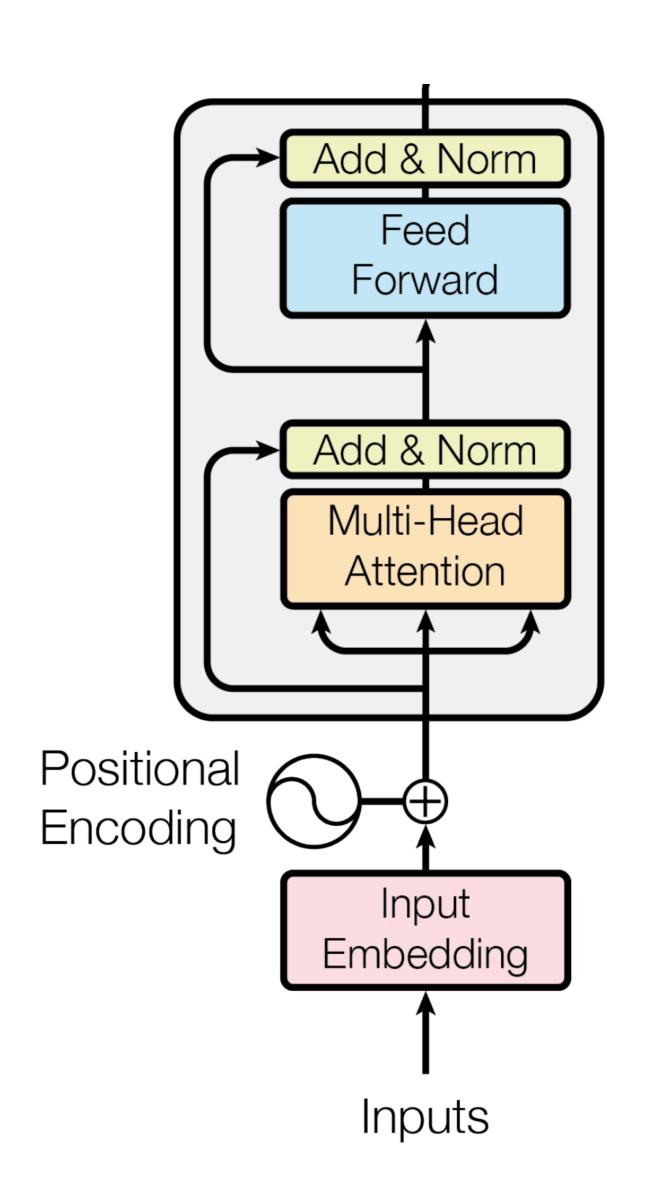
▶ Supervised: transformer can replace LSTM as encoder, decoder, or both; such as in machine translation and natural language generation tasks.

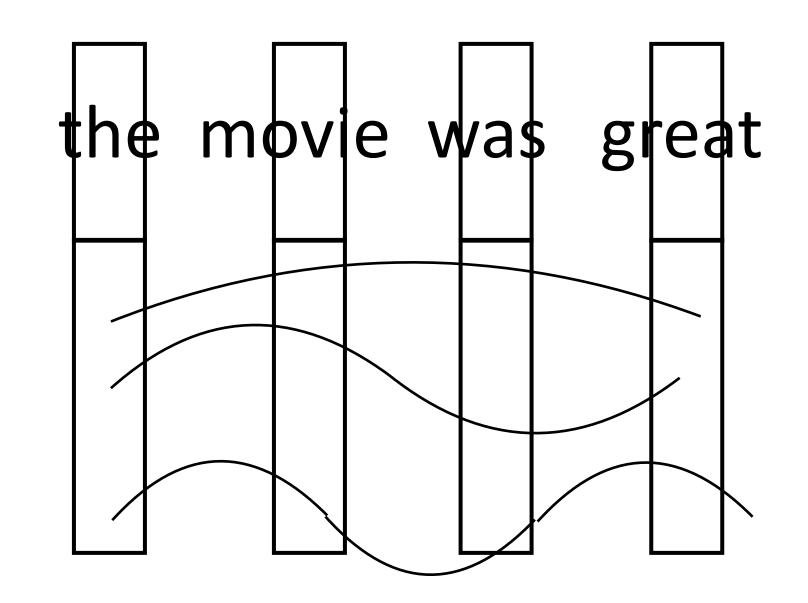


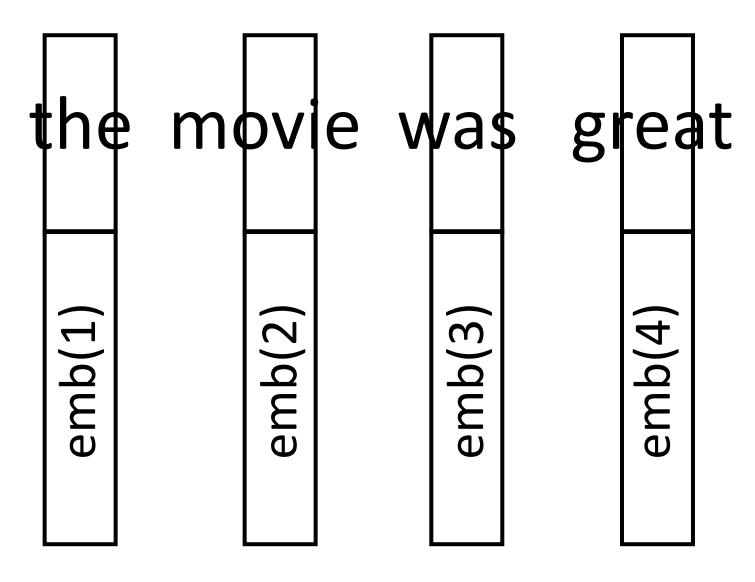
- Encoder and decoder are both transformers
- Decoder consumes the previous generated token (and attends to input), but has no recurrent state
- Many other details to get it to work: residual connections, layer normalization, positional encoding, optimizer with learning rate schedule, label smoothing

Vaswani et al. (2017)

Transformers

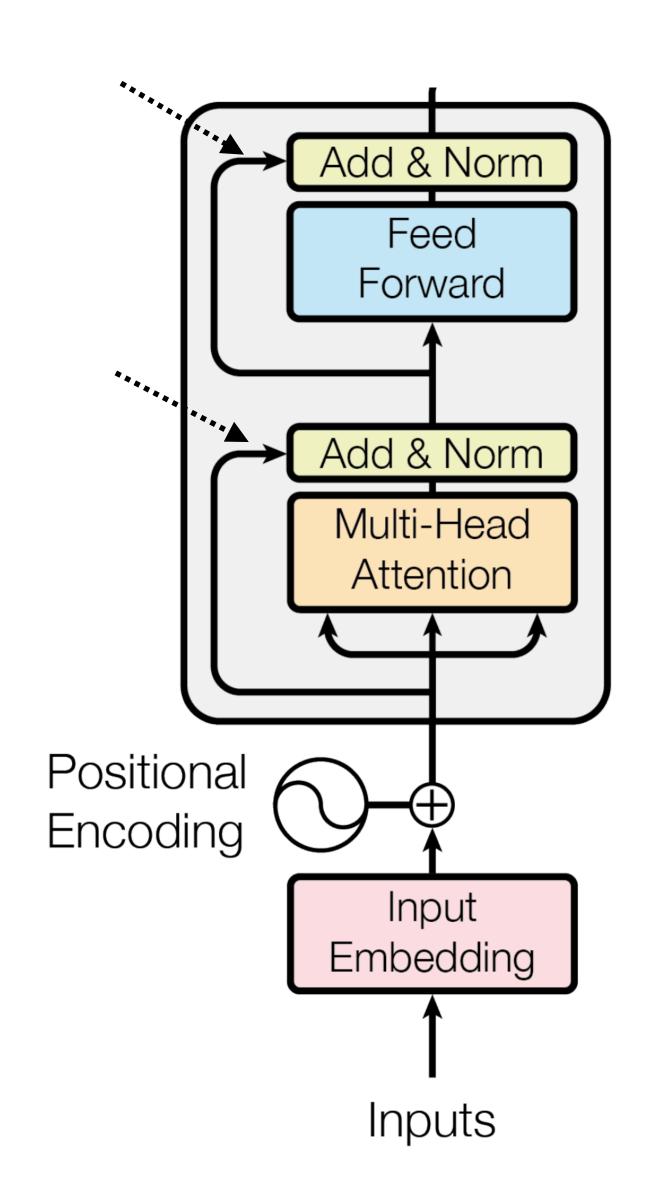




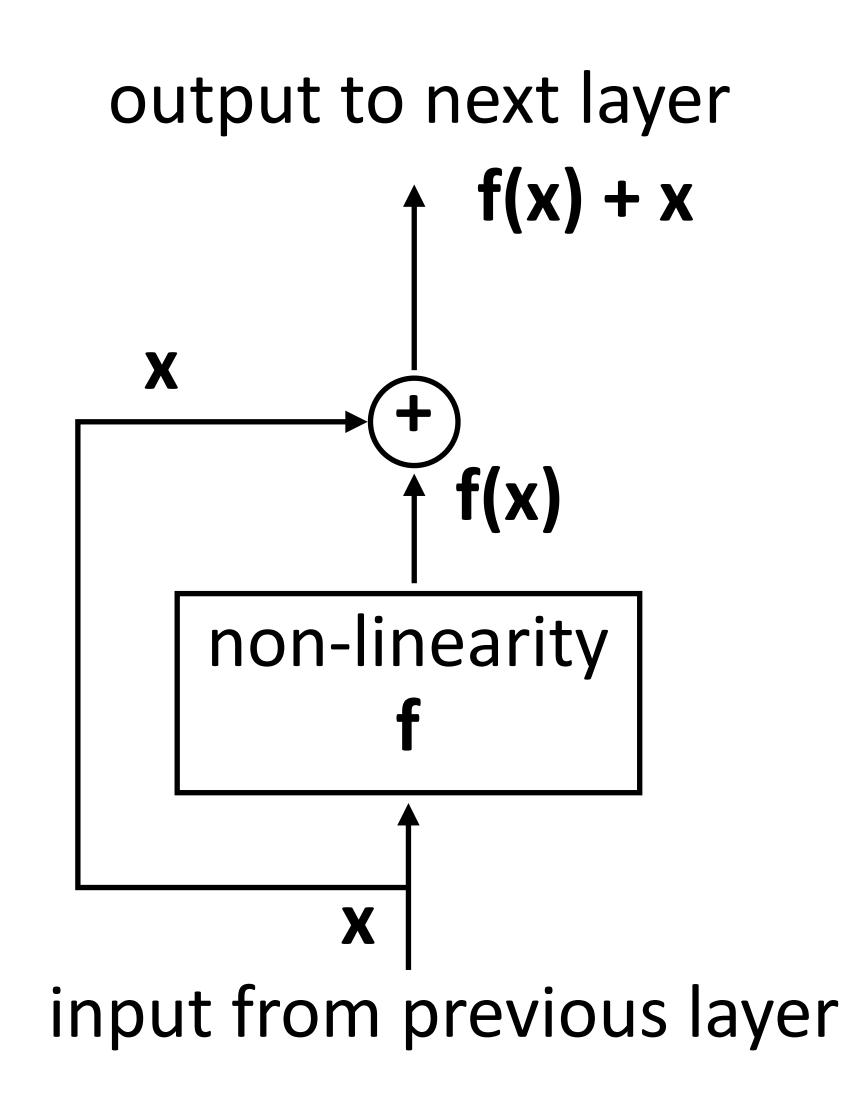


- Augment word embedding with position embeddings, each dim is a sine/cosine wave of a different frequency. Closer points = higher dot products
- Works essentially as well as just encoding position as a one-hot vector Vaswani et al. (2017)

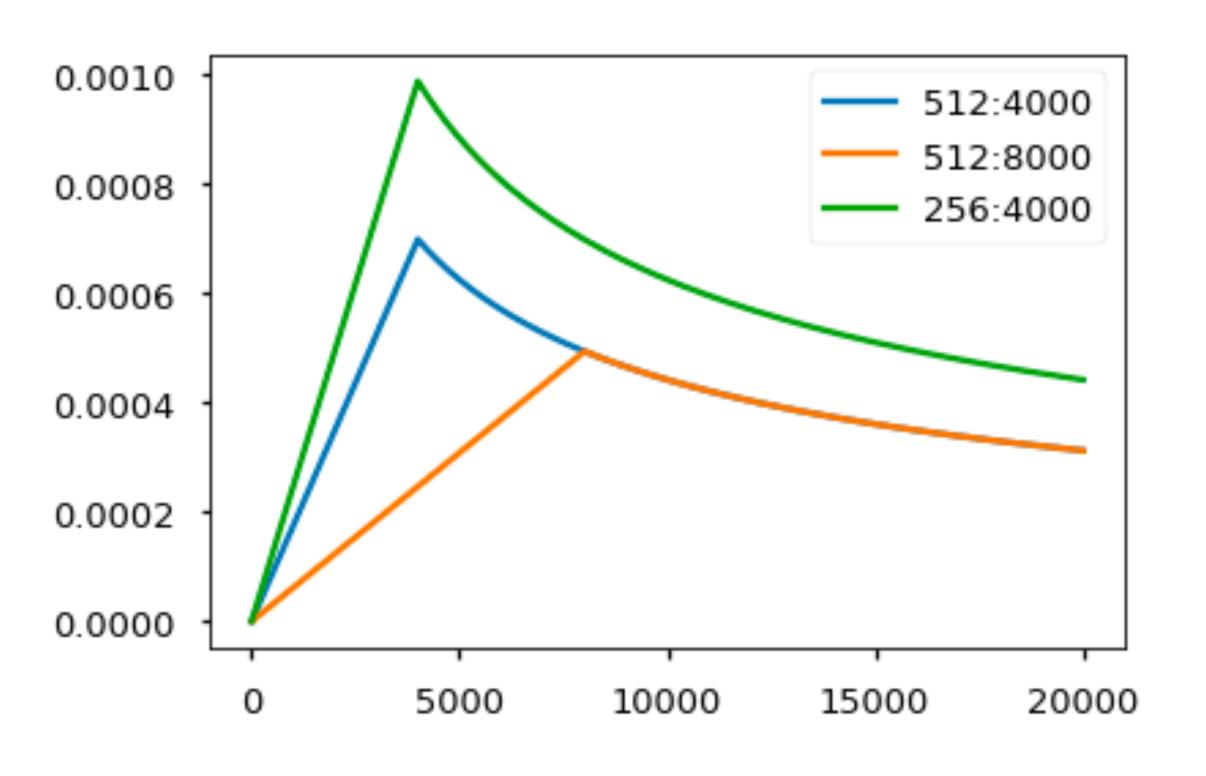
Residual Connections



 allow gradients to flow through a network directly, without passing through non-linear activation functions



Transformers



- Adam optimizer with varied learning rate over the course of training
- Linearly increase for warmup, then decay proportionally to the inverse square root of the step number
- ▶ This part is very important!

Label Smoothing

- Instead of using a one-not target distribution, create a distribution that has "confidence" of the correct word and the rest of the "smoothing" mass distributed throughout the vocabulary.
- Implemented by minimizing KL-divergence between smoothed ground truth probabilities and the probabilities computed by model.

I went to class and took

cats	TV	notes	took	sofa
0	0	1	0	0
0.025	0.025	0.9	0.025	0.025

with label smoothing

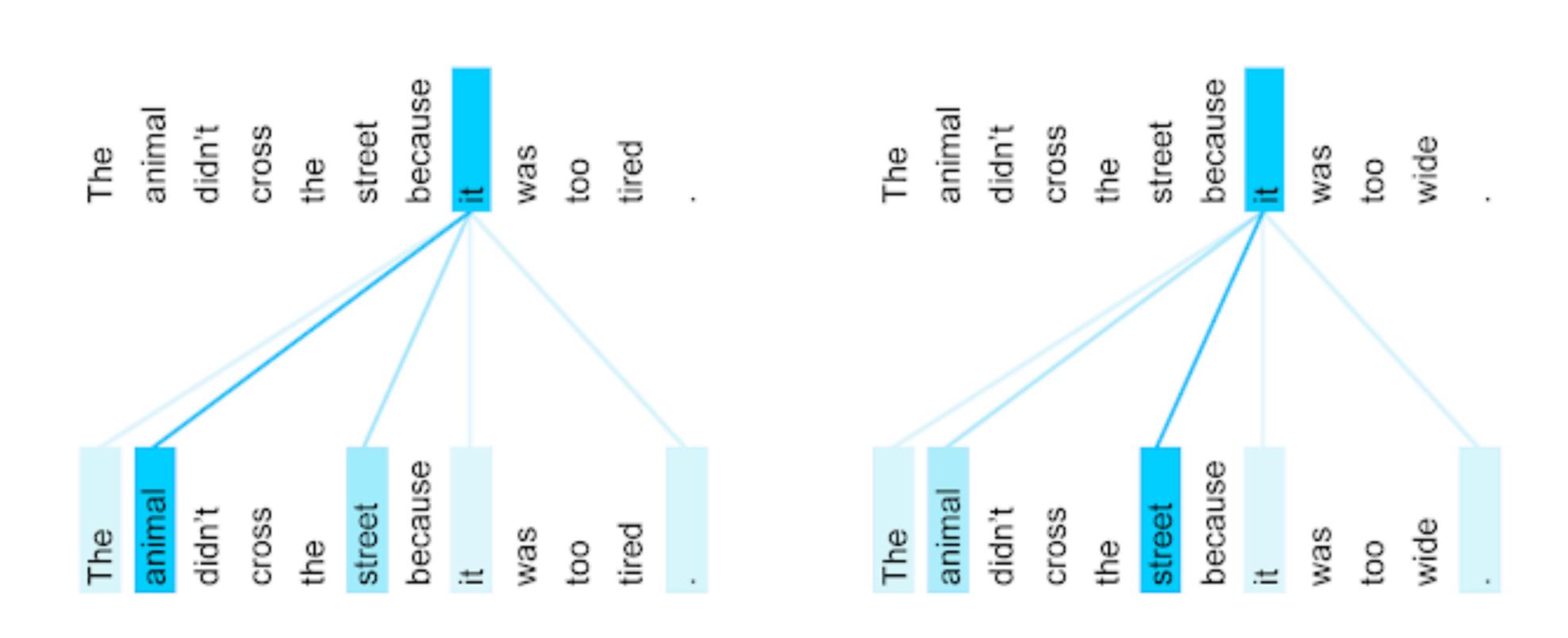
Transformers

N/ada1	BLEU			
Model	EN-DE	EN-FR		
ByteNet [18]	23.75			
Deep-Att + PosUnk [39]		39.2		
GNMT + RL [38]	24.6	39.92		
ConvS2S [9]	25.16	40.46		
MoE [32]	26.03	40.56		
Deep-Att + PosUnk Ensemble [39]		40.4		
GNMT + RL Ensemble [38]	26.30	41.16		
ConvS2S Ensemble [9]	26.36	41.29		
Transformer (base model)	27.3	38.1		
Transformer (big)	28.4	41.8		

Big = 6 layers, 1000 dim for each token, 16 heads,
 base = 6 layers + other params halved

Vaswani et al. (2017)

Visualization



https://ai.googleblog.com/ 2017/08/transformer-novelneural-network.html

Takeaways

- Can build MT systems with LSTM encoder-decoders, CNNs, or transformers
- Attention is very helpful for seq2seq models
- Also used for tasks including data-to-text generation and summarization

- Explicitly copying input can be beneficial as well
- Transformers are very strong models