### CS 4803 / 7643: Deep Learning

#### Topics:

- Application: PointGoal Navigation
- Trust Region Policy Optimization (TRPO)
- Proximal Policy Optimization (PPO)

Erik Wijmans Georgia Tech

### Who Am I?



Erik Wijmans

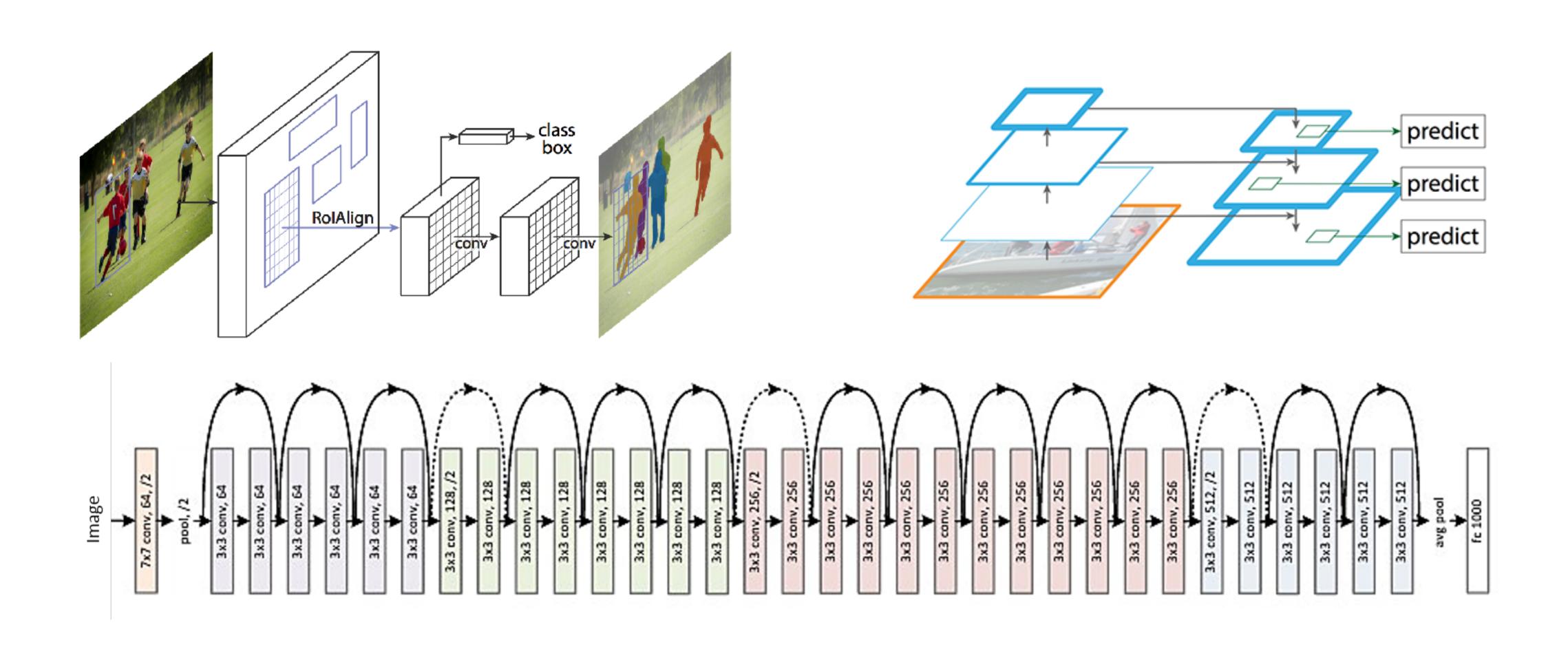
3rd year PhD student at GT Advisors: Dhruv Batra and Irfan Essa

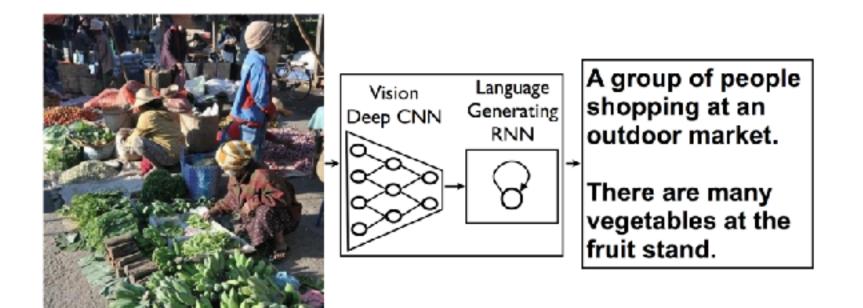
#### Research Interests

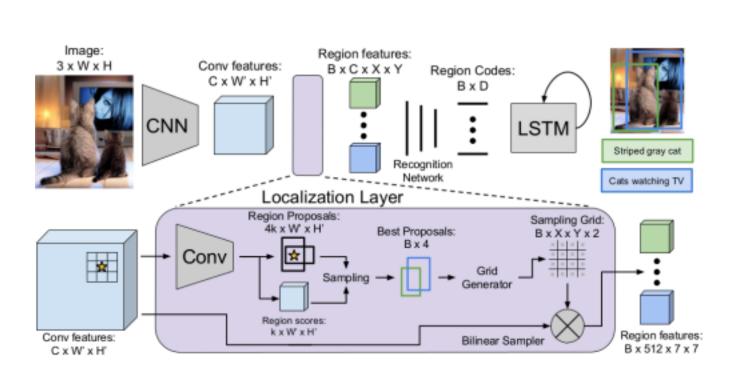
- Computer Vision
- Visual Navigation
- Embodied AI (virtual robots)
- Simulation to reality transfer

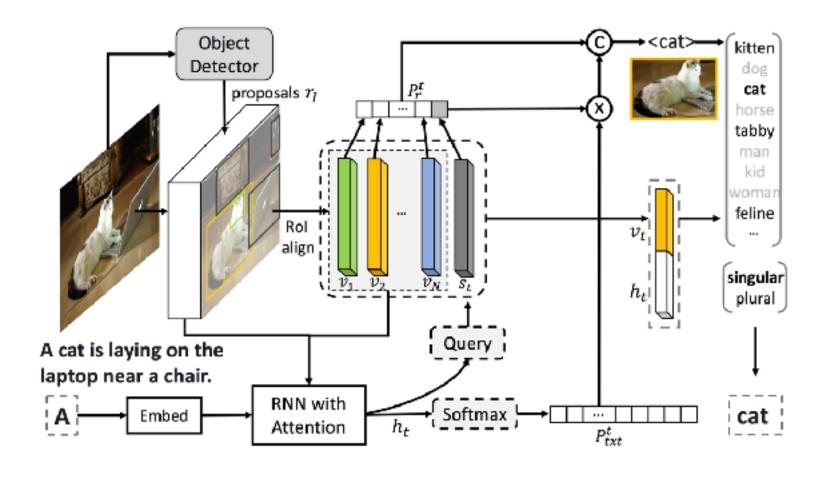
## Lecture plan/motivation

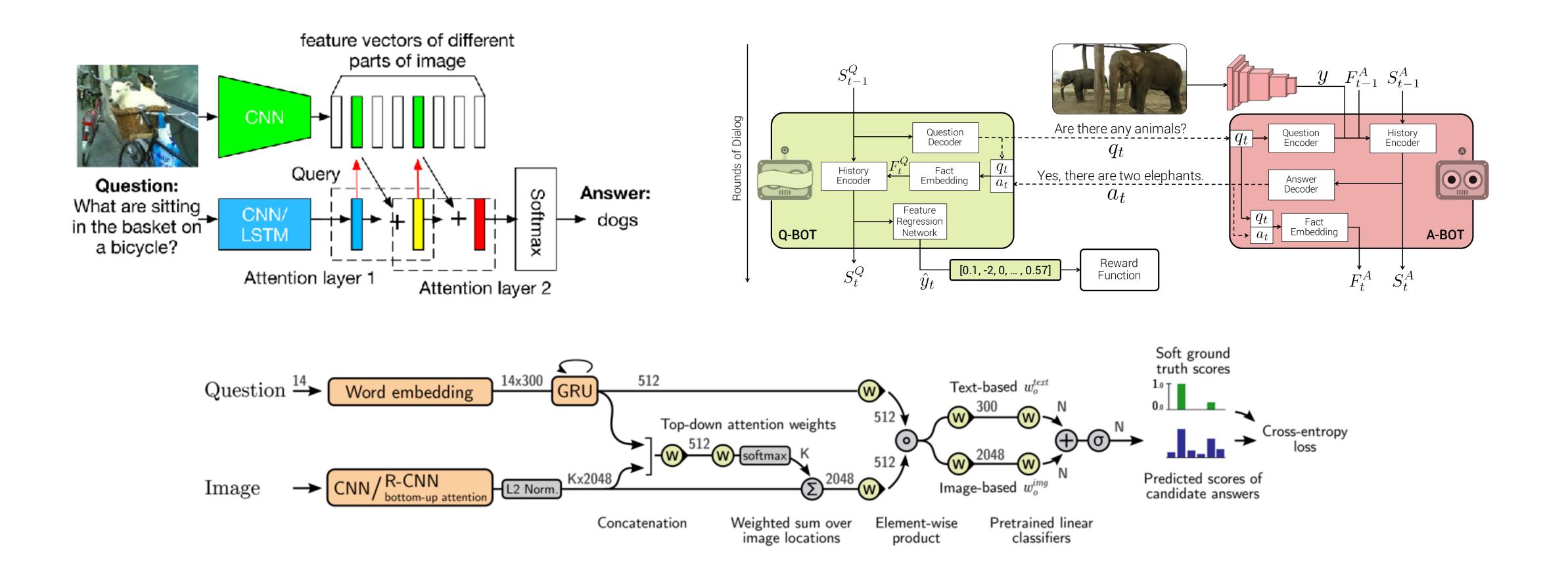
- Combine CNNs, RNNs (LSTMs), and RL together all things you have learned about in this course — through a task called PointGoal Navigation
- Introduce more advanced RL TRPO and PPO
- Show results using PPO on PointGoal Navigation

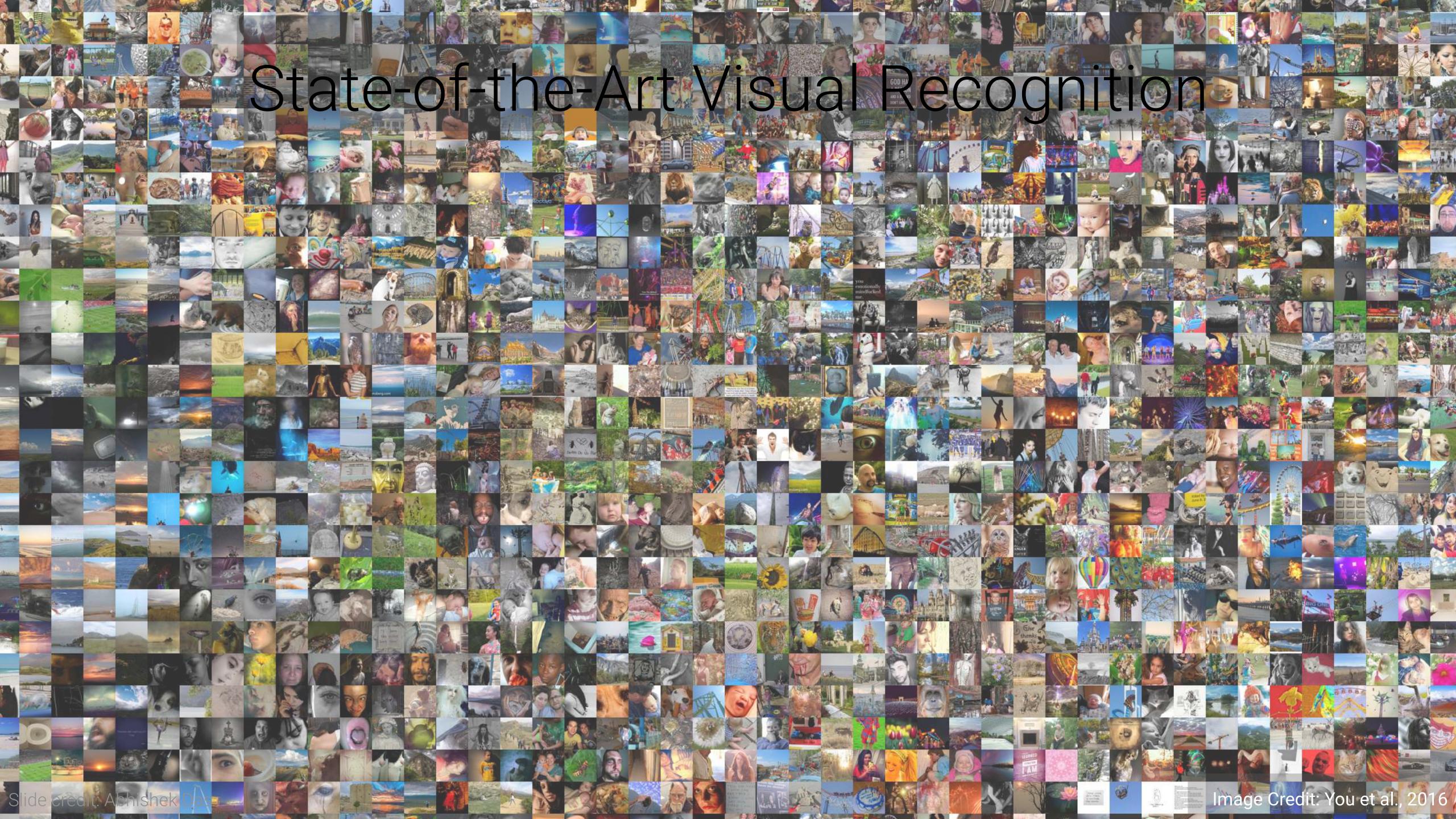


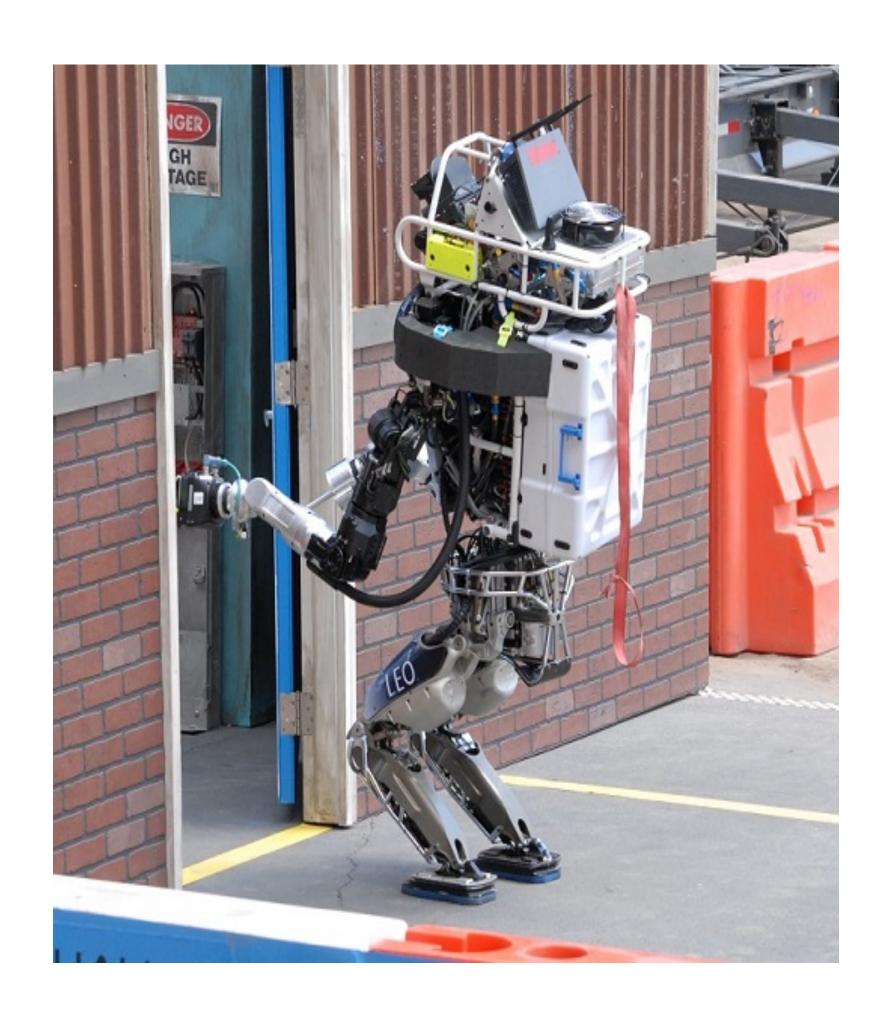




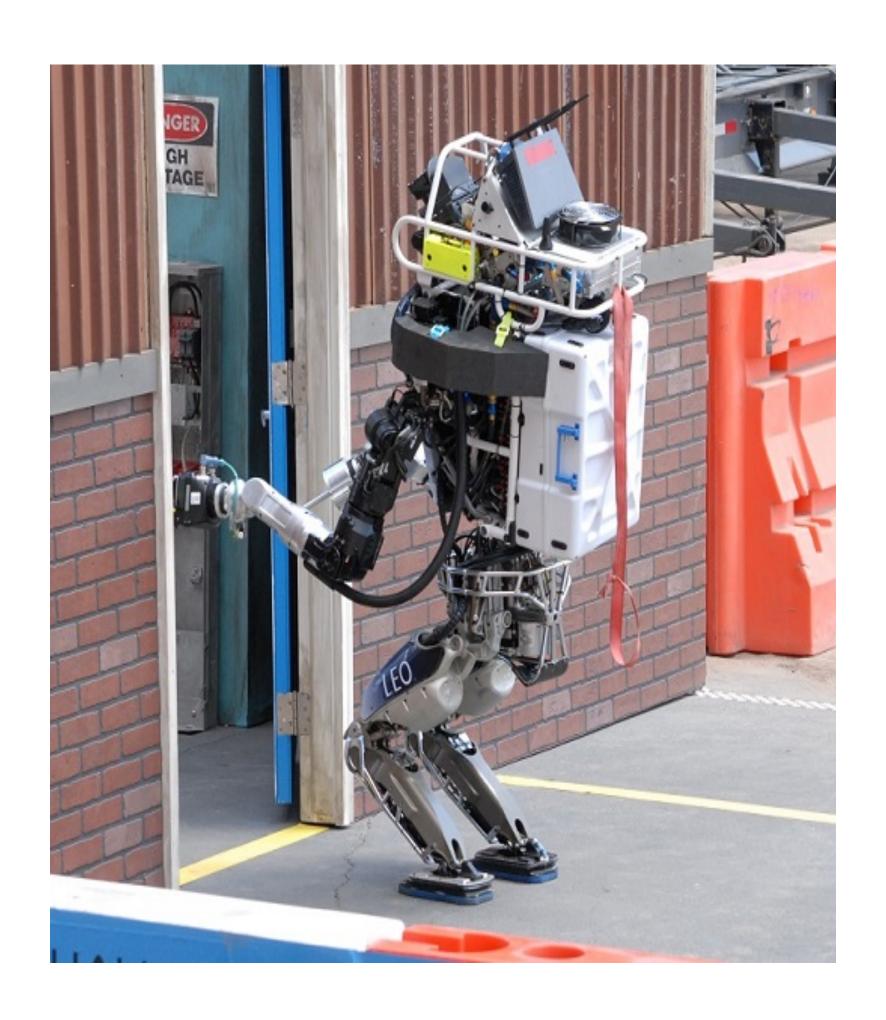




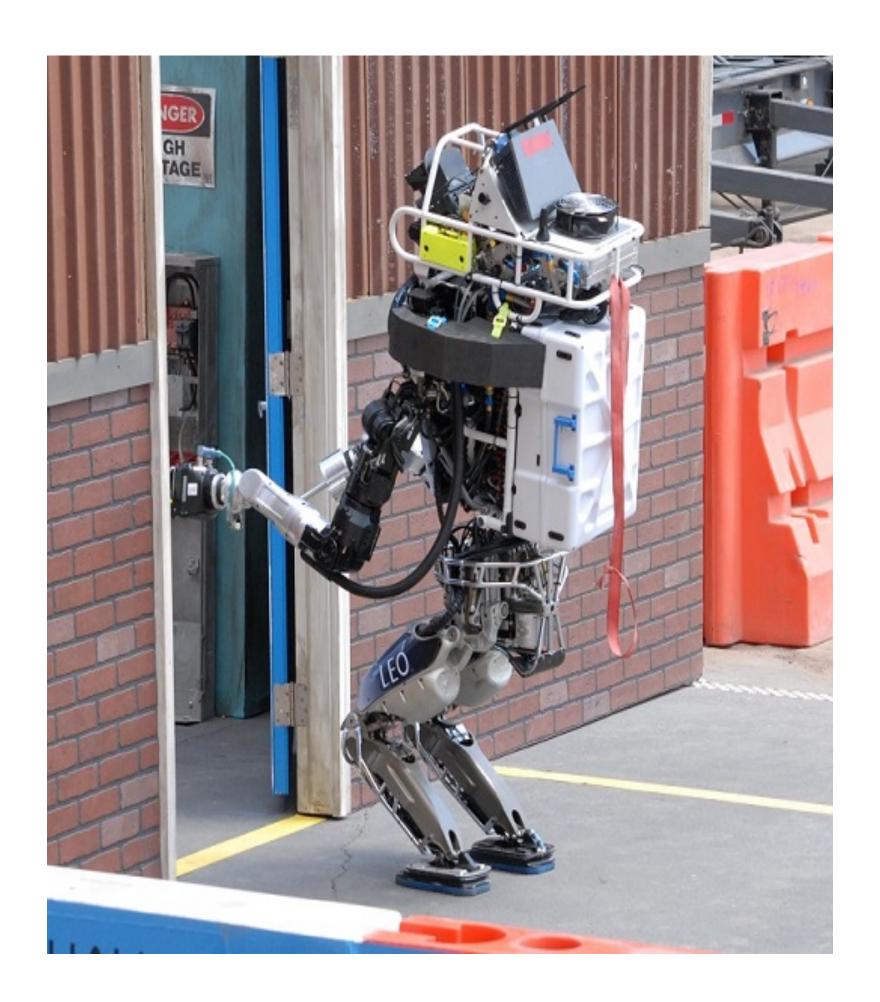




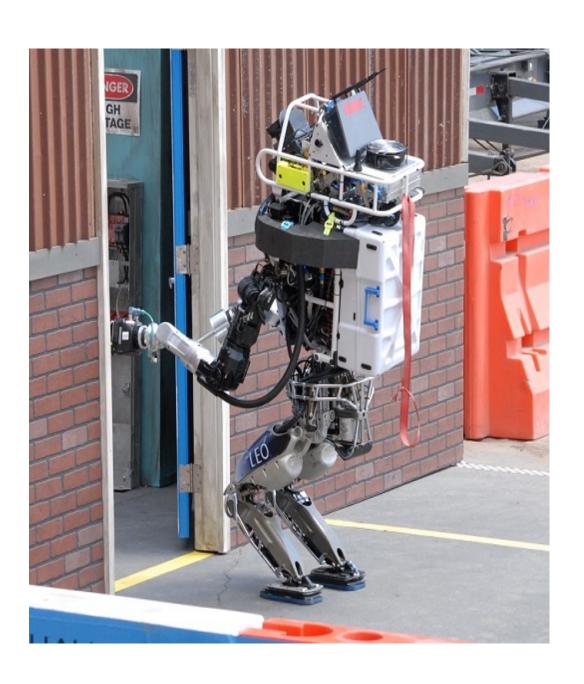
Physical agent



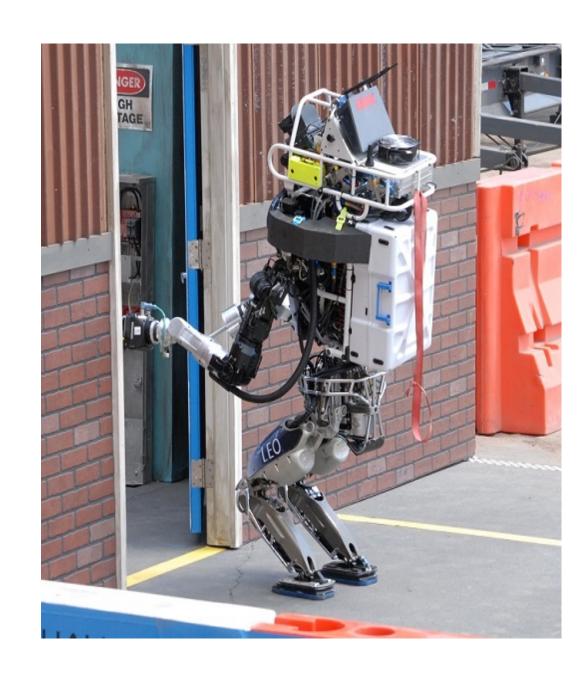
Physical agent capable of taking actions in the world



Physical agent capable of taking actions in the world

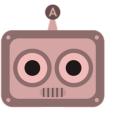


Physical agent capable of taking actions in the world and talking to humans in natural language



Is there smoke in any room around you?

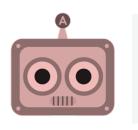


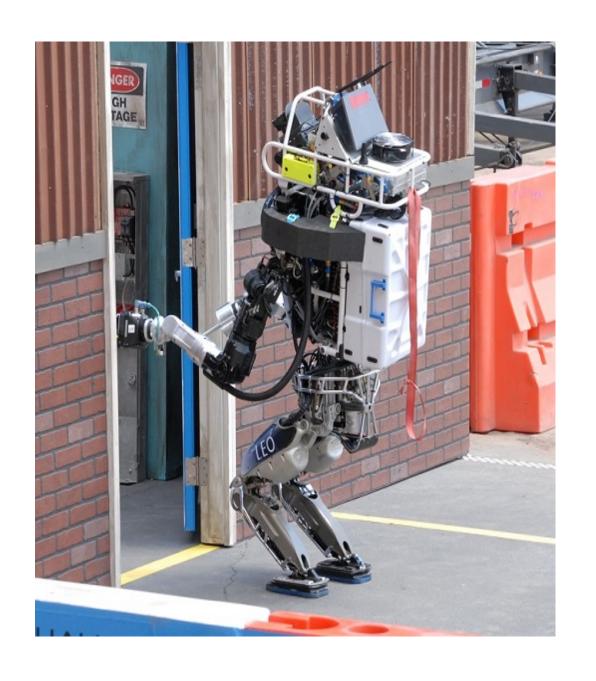


Yes, in one room

Go there and look for people

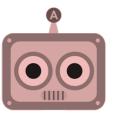






Is there smoke in any room around you?

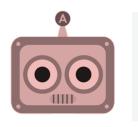




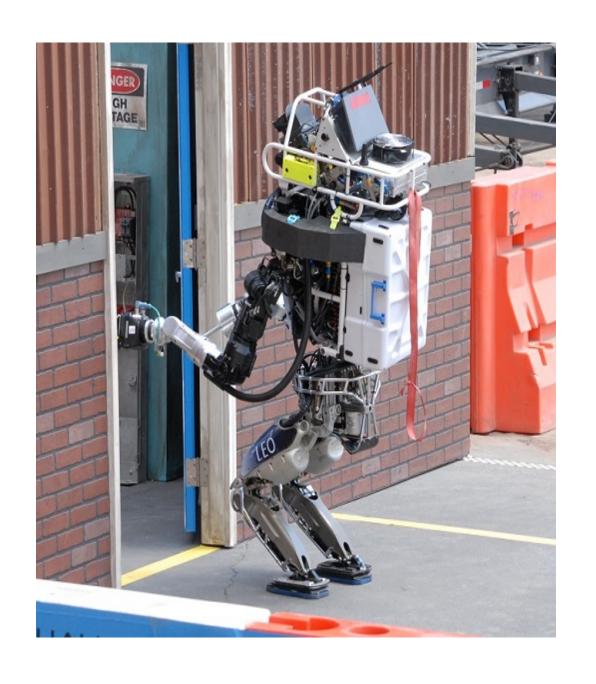
Yes, in one room

Go there and look for people



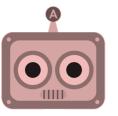


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Is there smoke in any room around you?

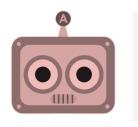




Yes, in one room

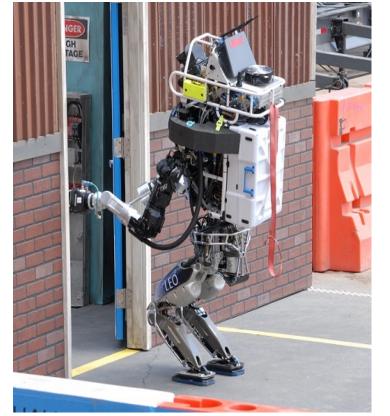
Go there and look for people

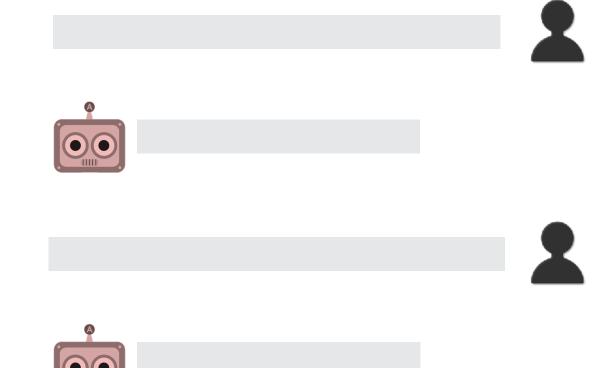




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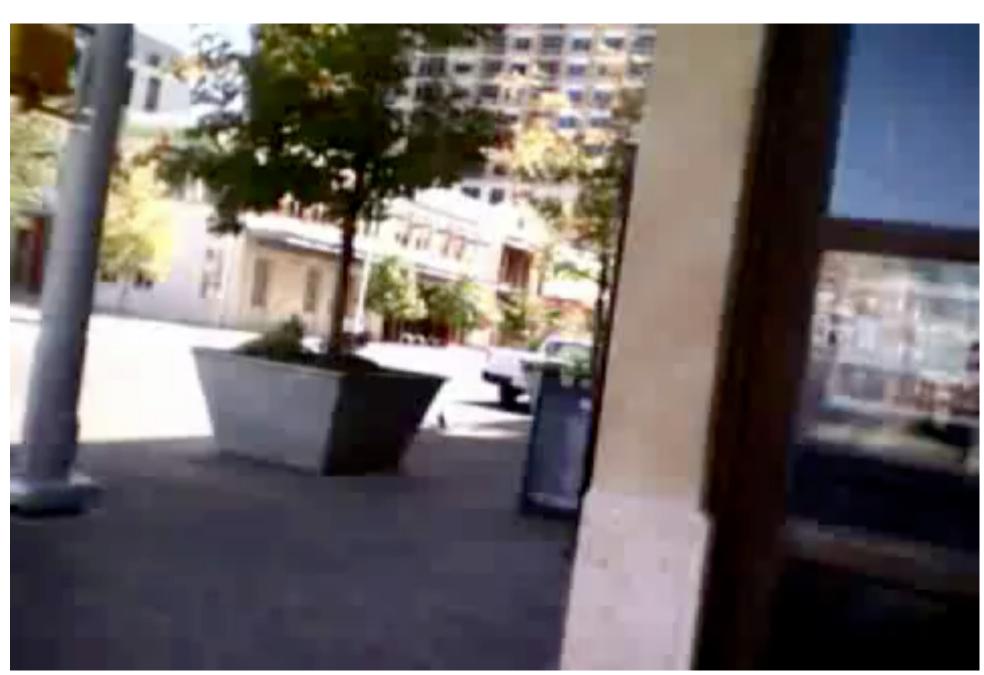




#### Egocentric vision

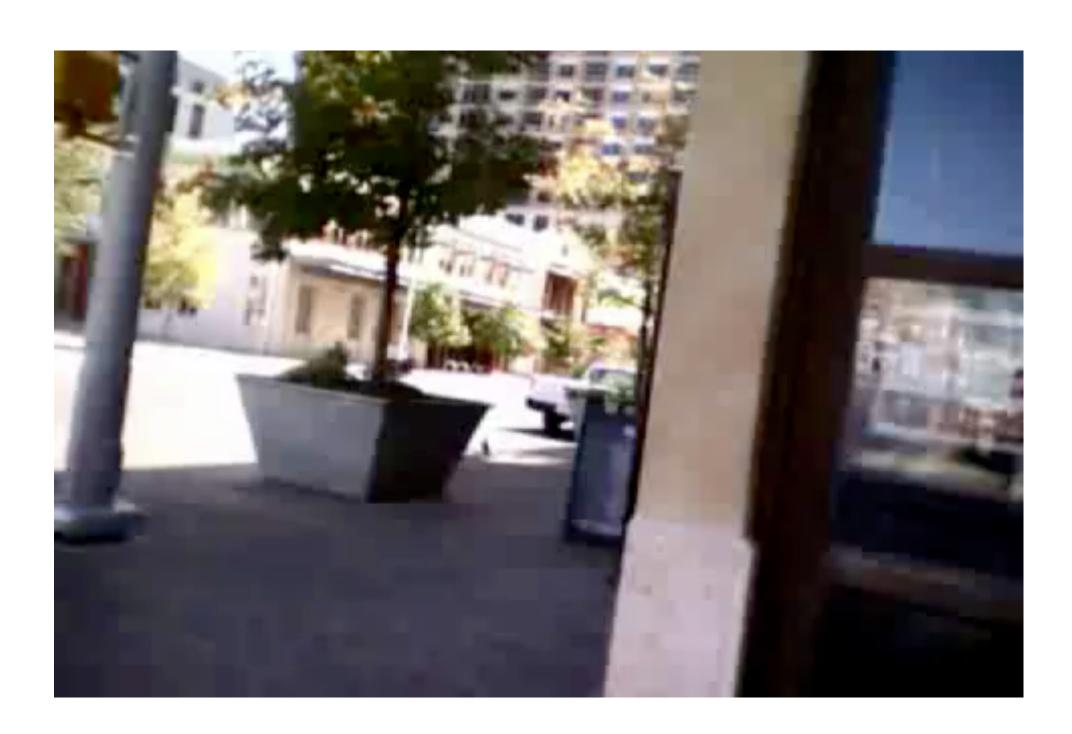






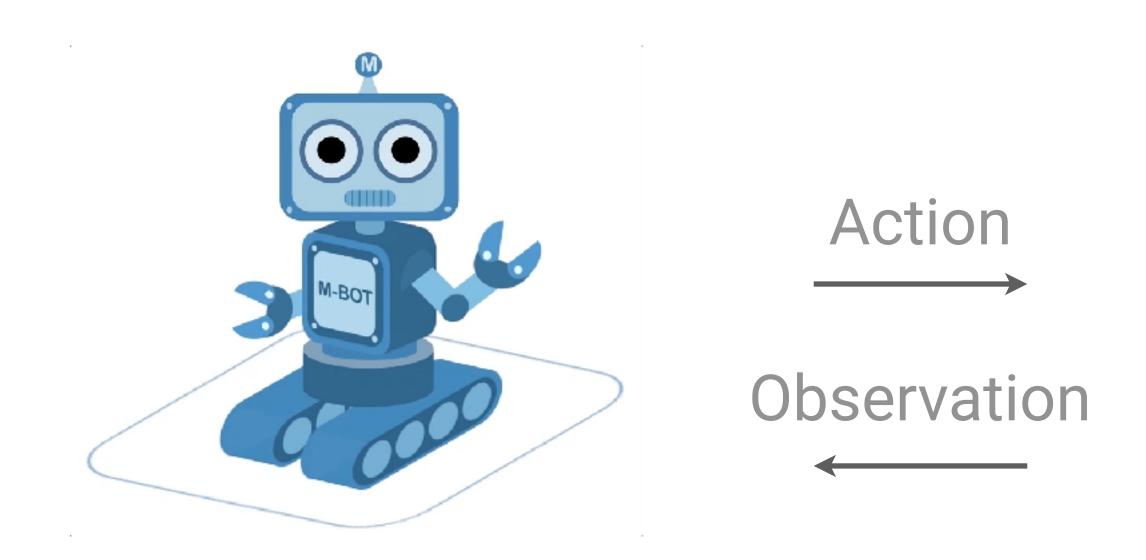
No access to well-composed, curated images

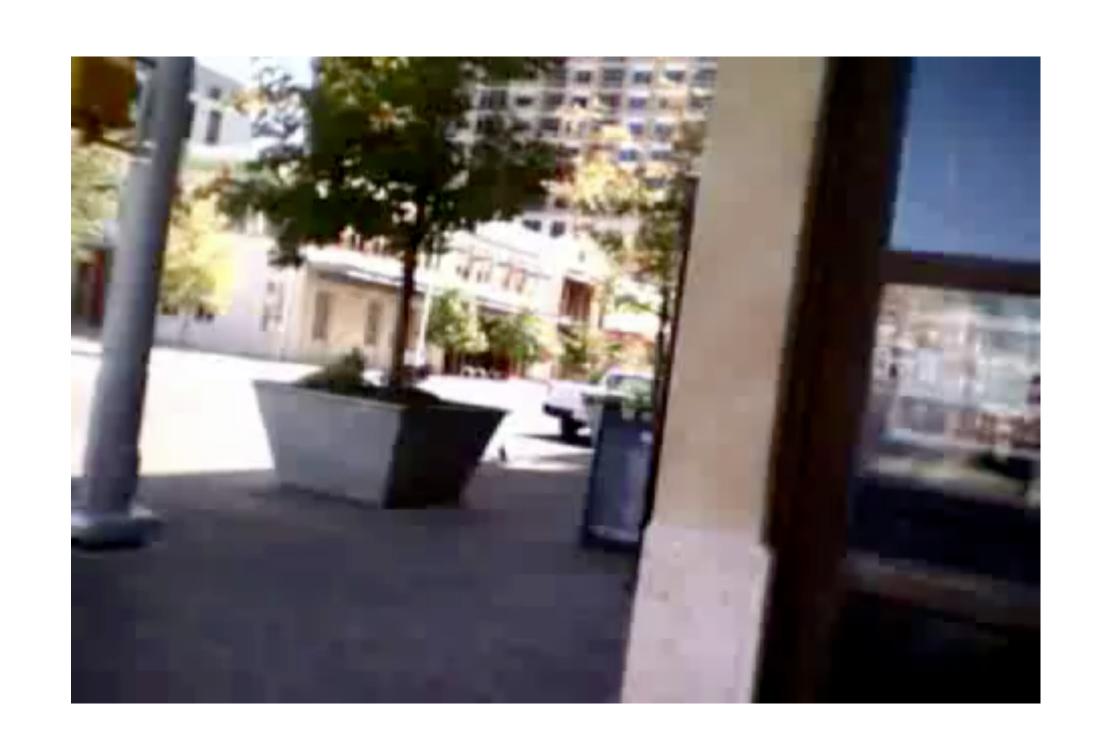
Egocentric vision



#### Egocentric vision

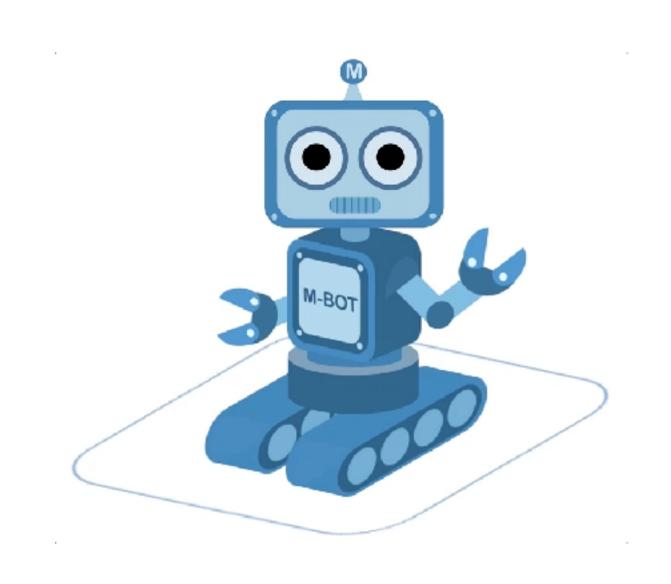
#### Active perception





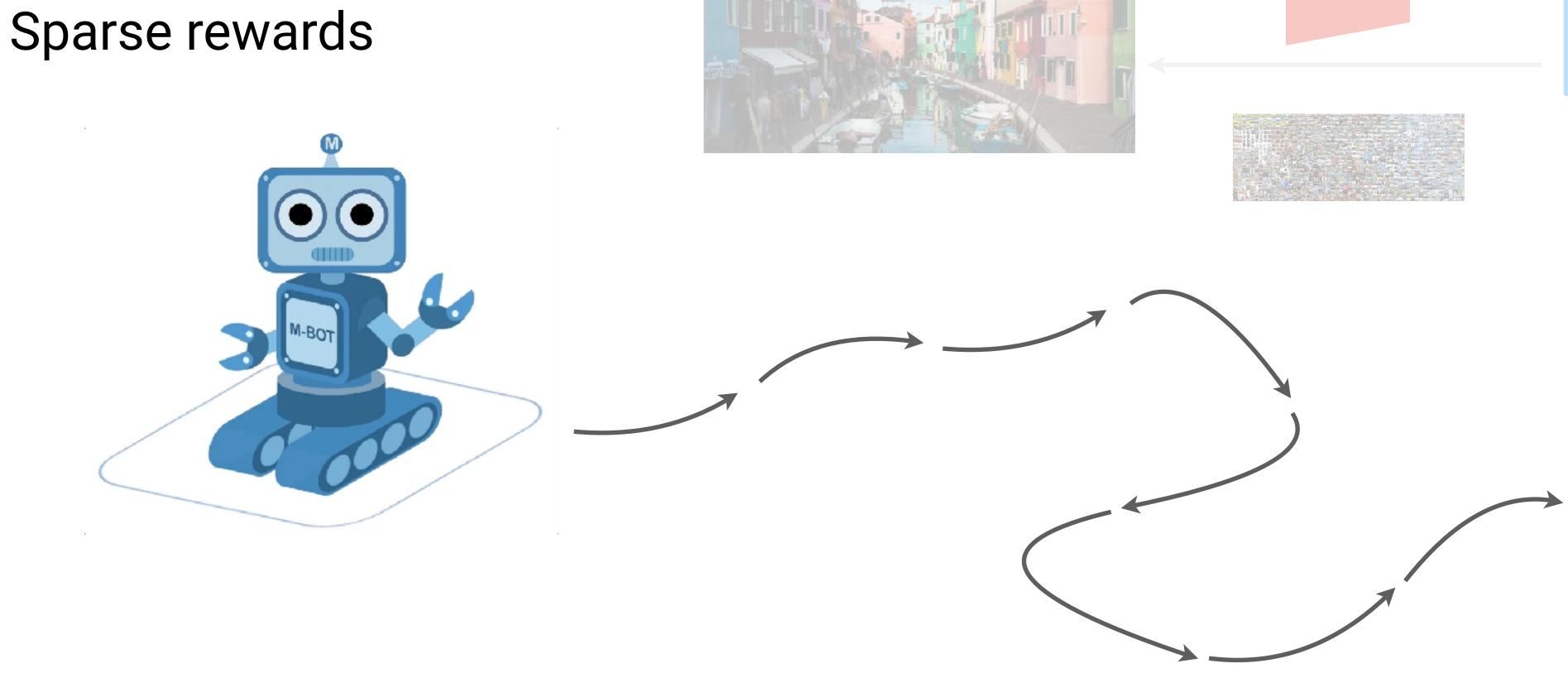
Agent controls incoming data distribution

Egocentric vision
Active perception
Sparse rewards



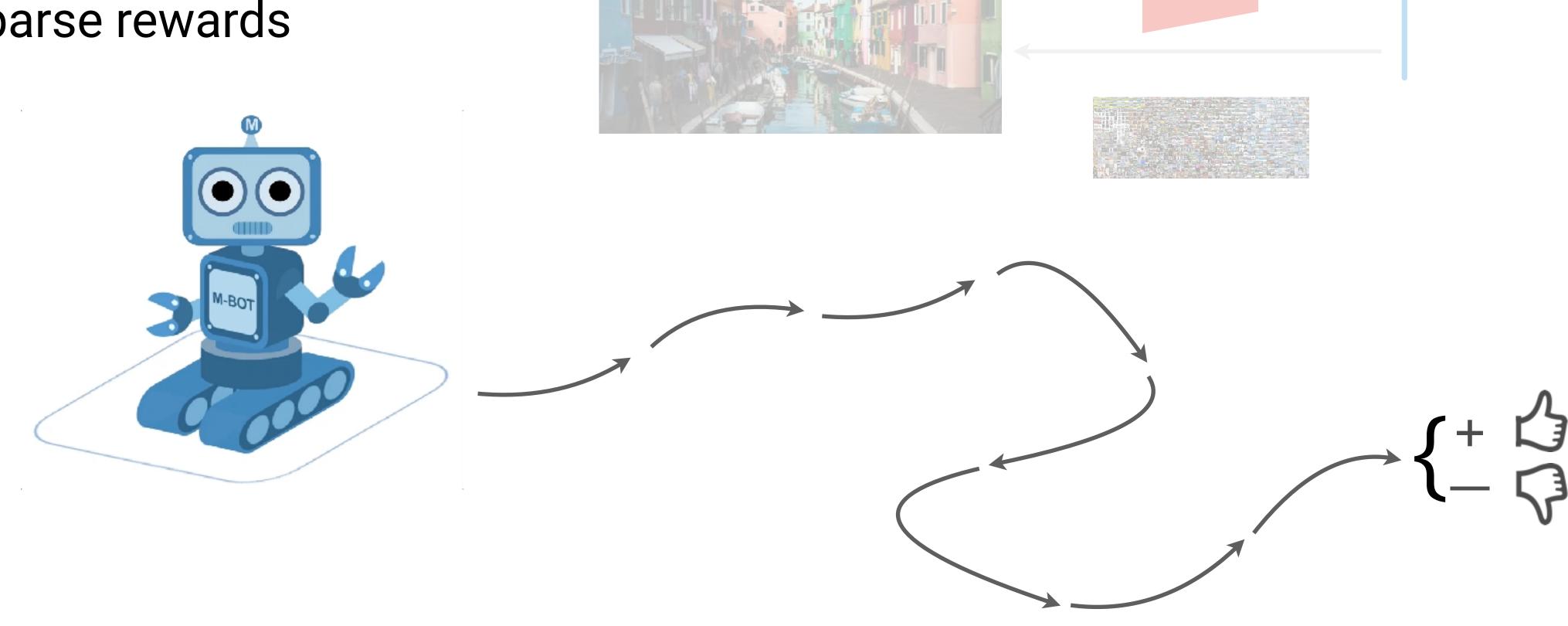


Egocentric vision
Active perception
Coorce rowerds



Egocentric vision Active perception

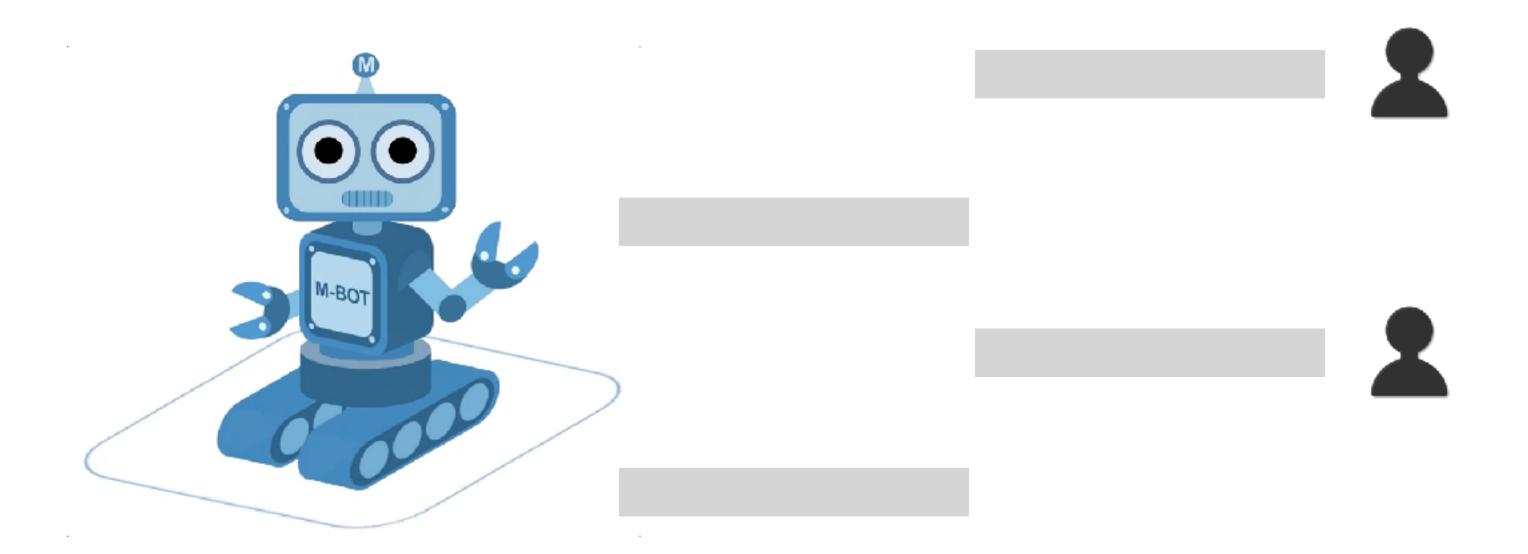
Sparse rewards



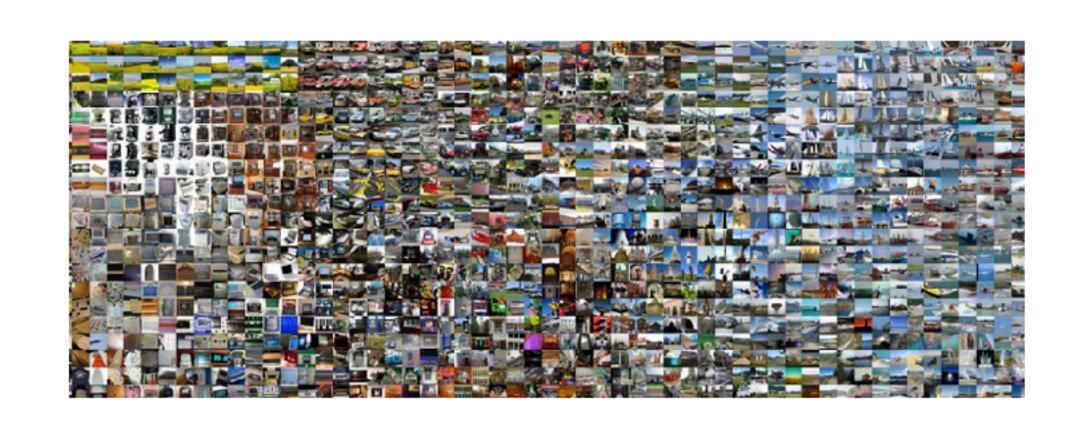
Slide credit: Abhishek Das Image Credit: Image-Net

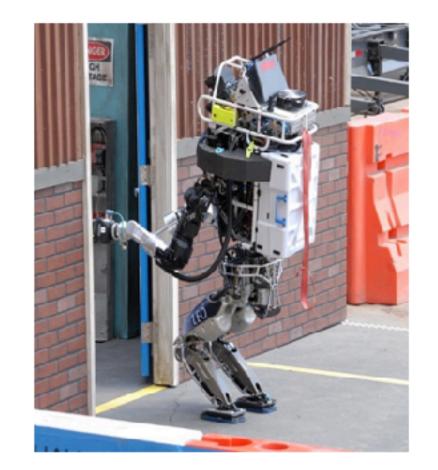
Egocentric vision
Active perception
Sparse rewards

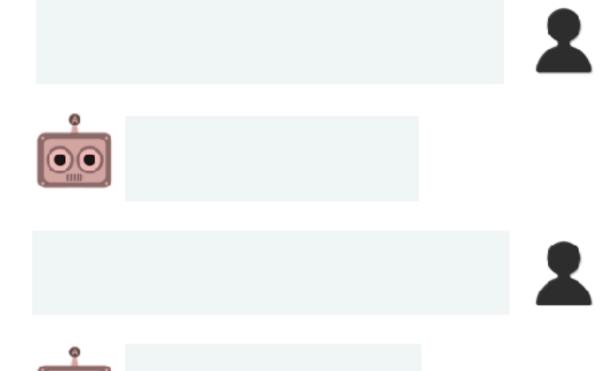
Language understanding



### Internet AI +> Embodied AI











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Abhishek Kadian<sup>1\*</sup>



Oleksandr Maksymets<sup>1\*</sup>



Yili Zhao<sup>1</sup>



Erik Wijmans<sup>1,2,3</sup>



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Julian Straub<sup>2</sup>



Jia Liu<sup>1</sup>



Vladlen Koltun<sup>5</sup>



Jitendra Malik<sup>1,6</sup>



Devi Parikh<sup>1,3</sup>



Dhruv Batra 1,3

\* denotes equal contribution

facebook Artificial Intelligence Research

facebook Reality Labs



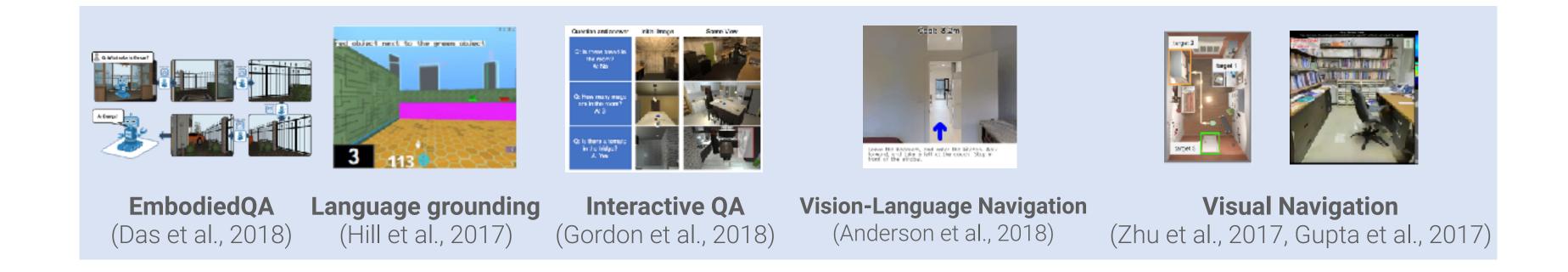


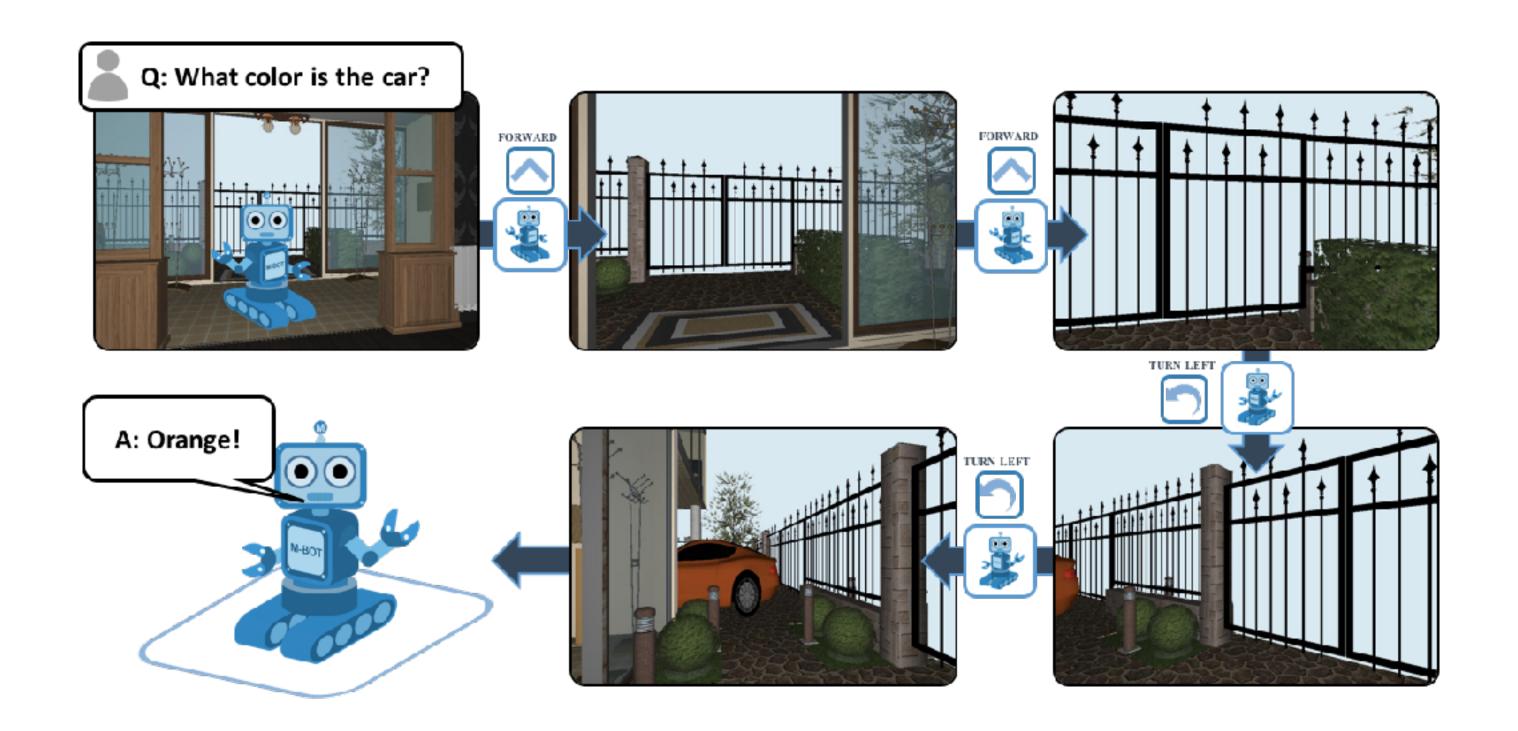




1 2 3 4 5

Tasks





**EmbodiedQA** 

(Das et al., 2018)



Leave the bedroom, and enter the kitchen. Walk forward, and take a left at the couch. Stop in front of the window.

Vision-Language Navigation

(Anderson et al., 2018)

Habitat Platform

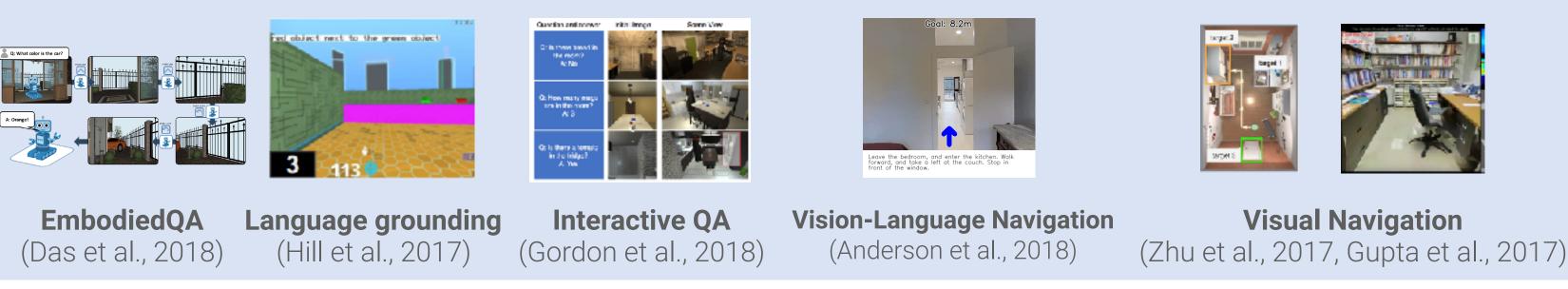
Habitat-API

Habitat-Sim

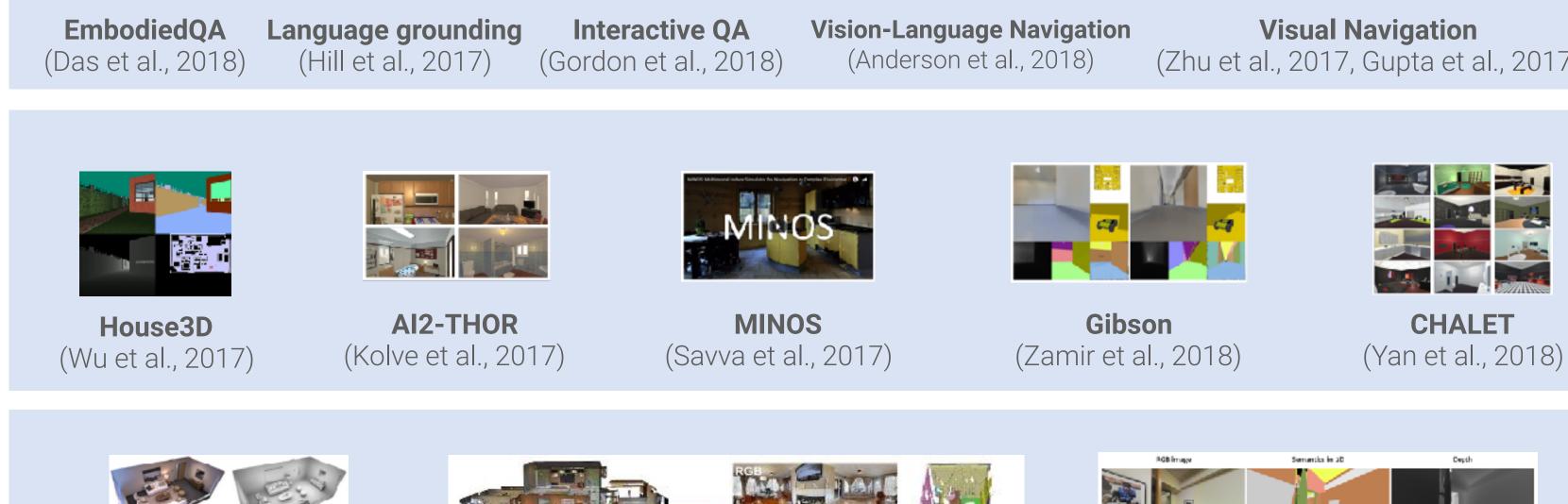
Generic Dataset

Support

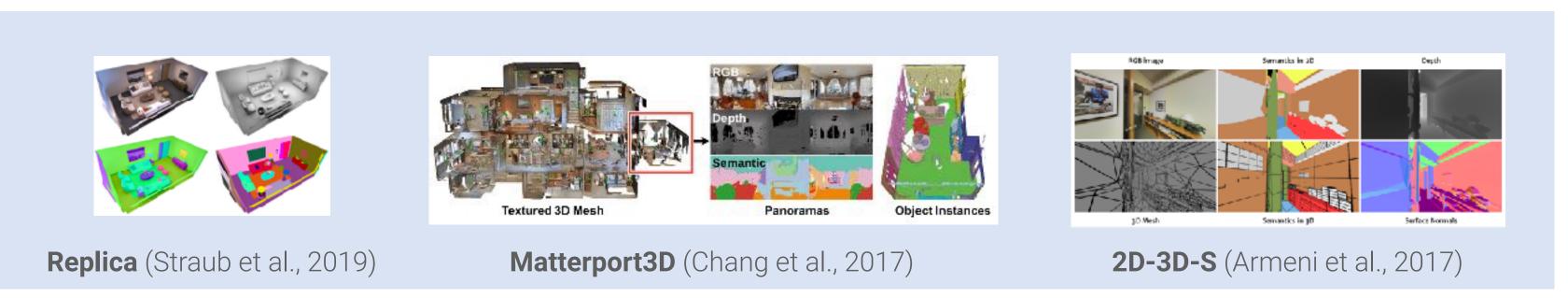




Simulators



**Datasets** 



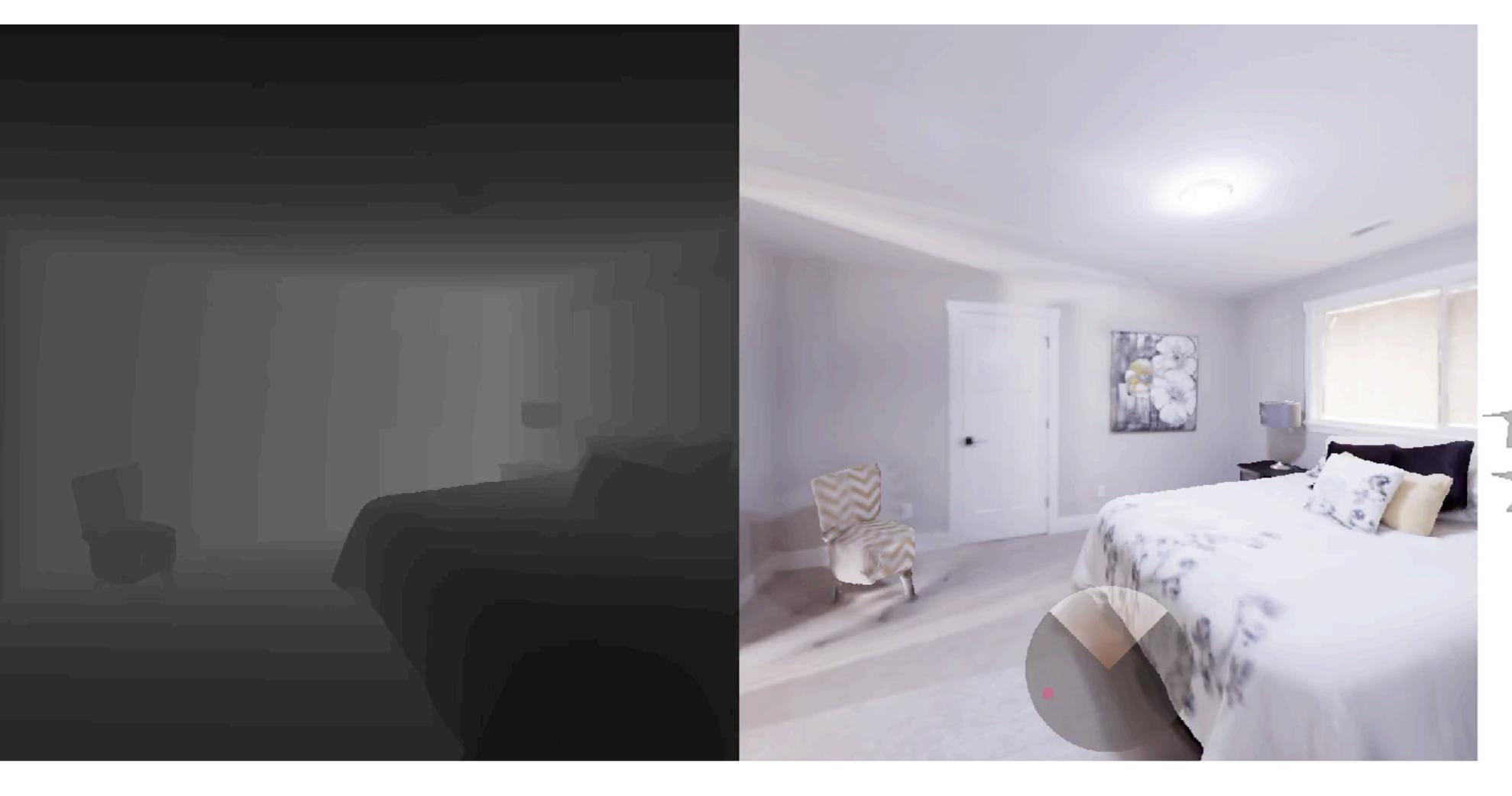


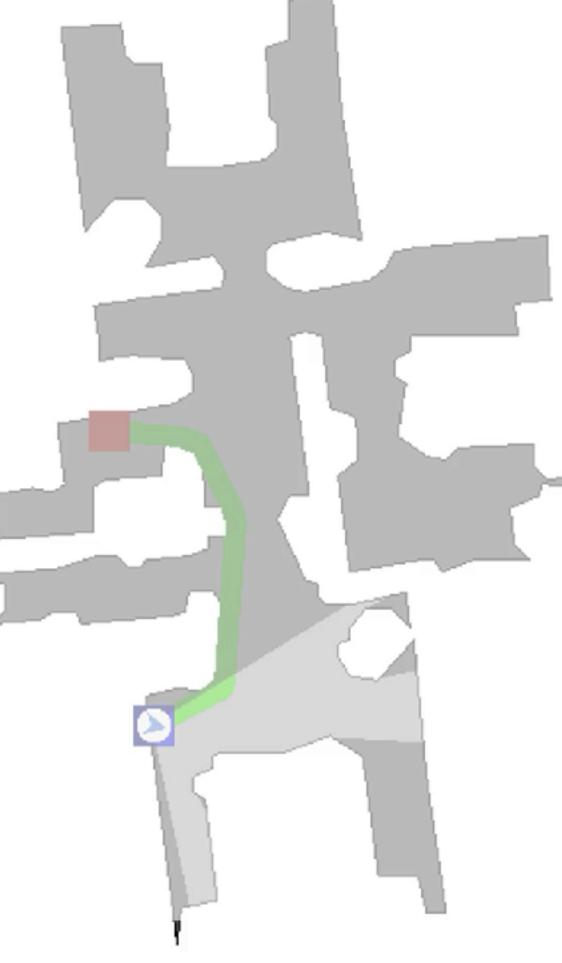
### Habitat-Sim Demo



## PointGoal Navigation

# PointGoal Navigation

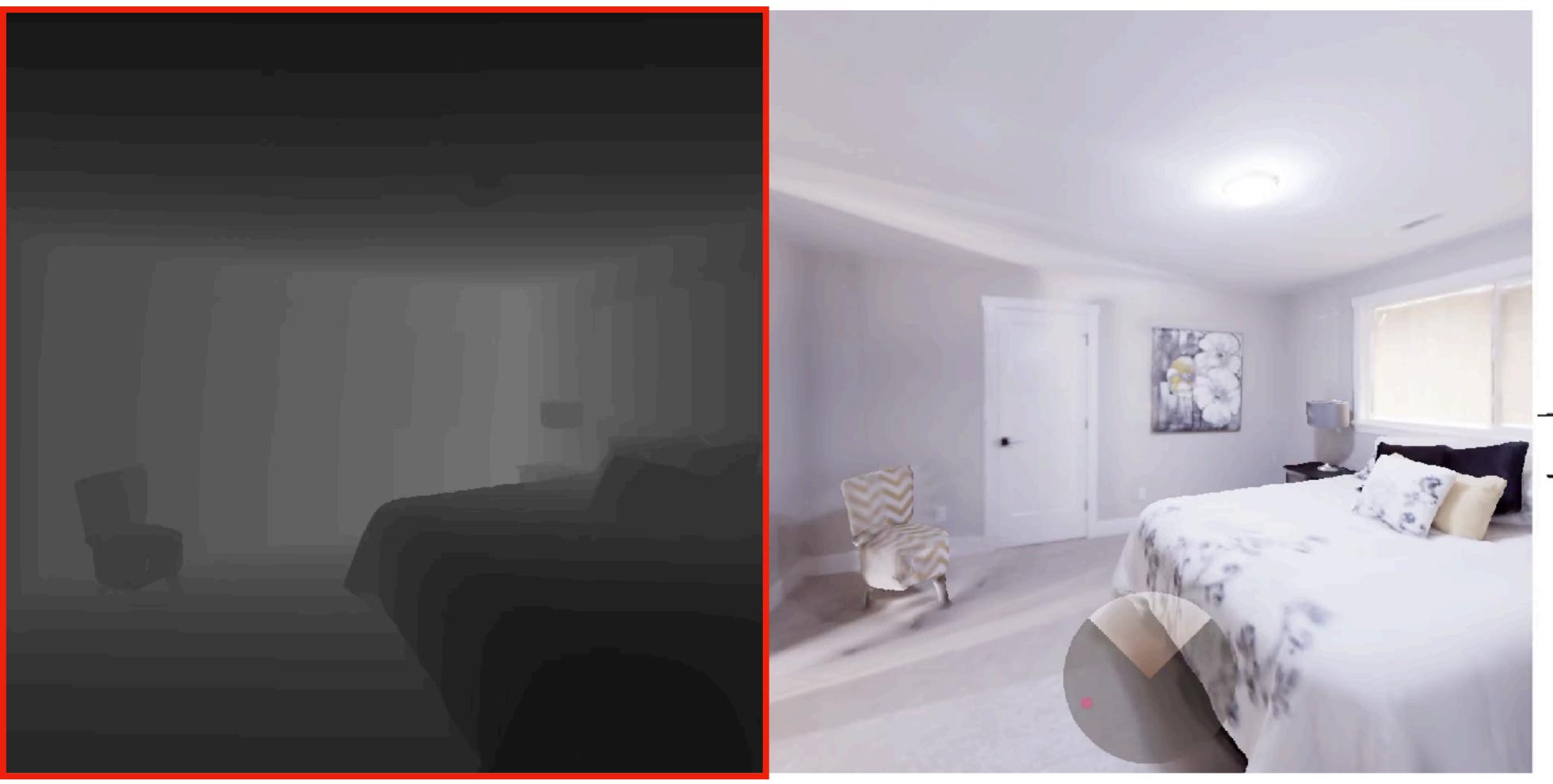


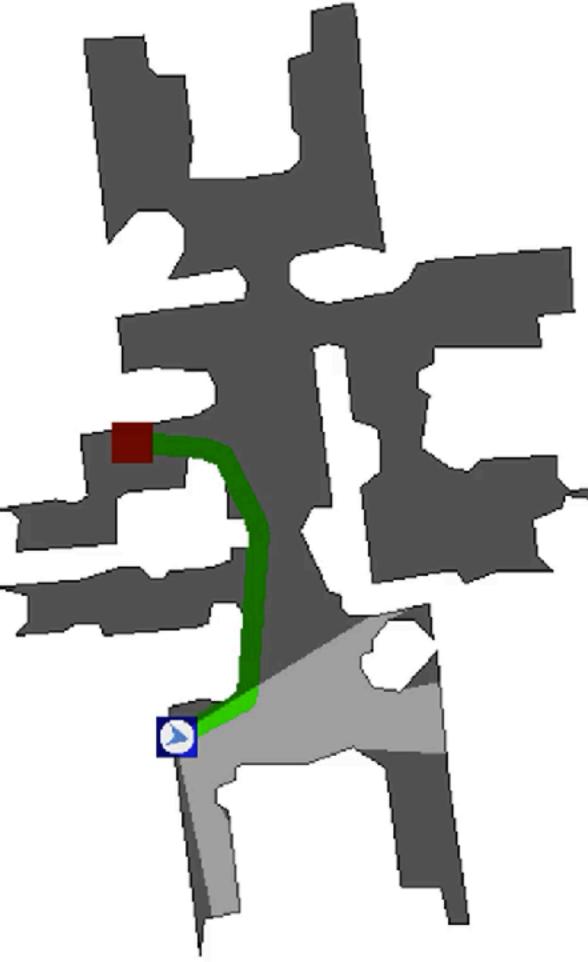


Depth

RGB and GPS+Compass

Top Down Map



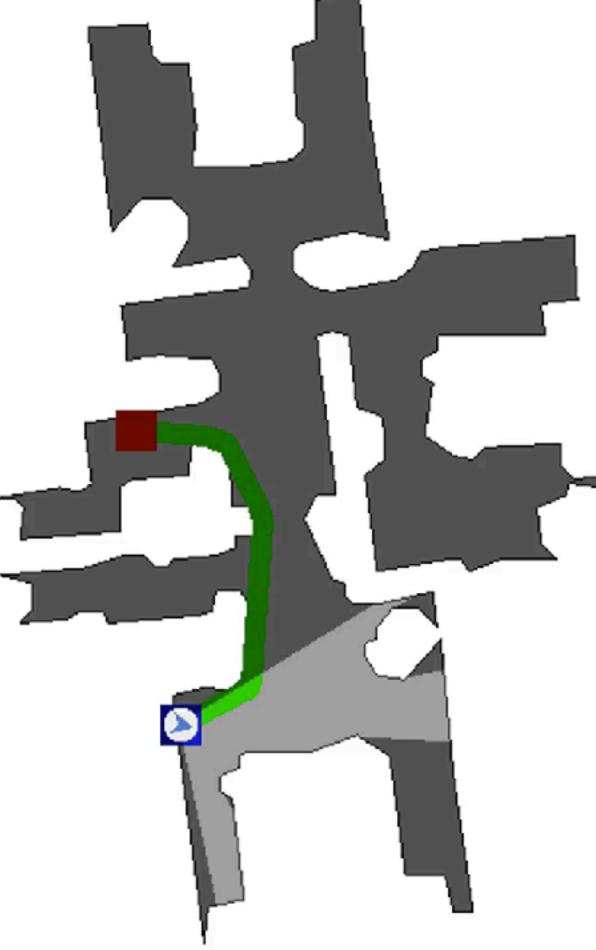


Depth

RGB and GPS+Compass

Top Down Map



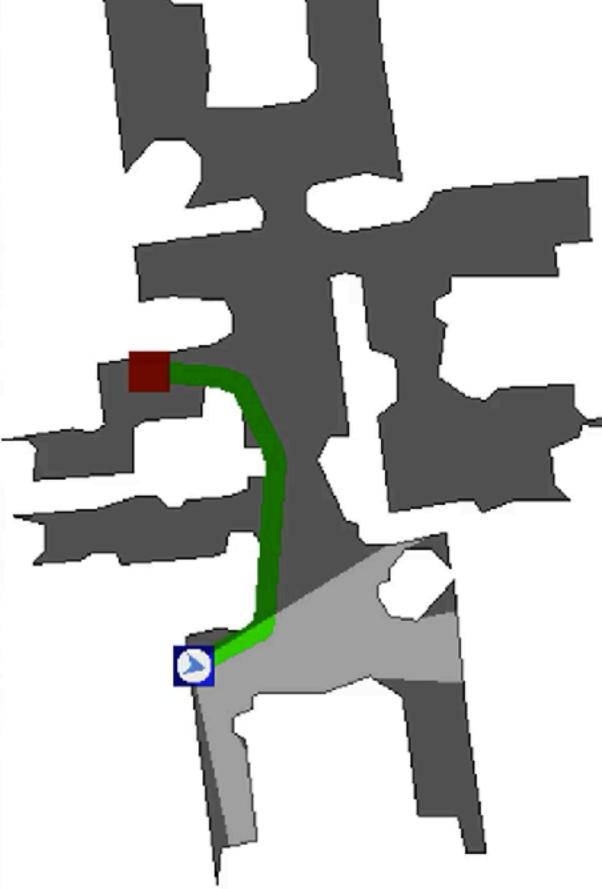


Depth

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Top Down Map

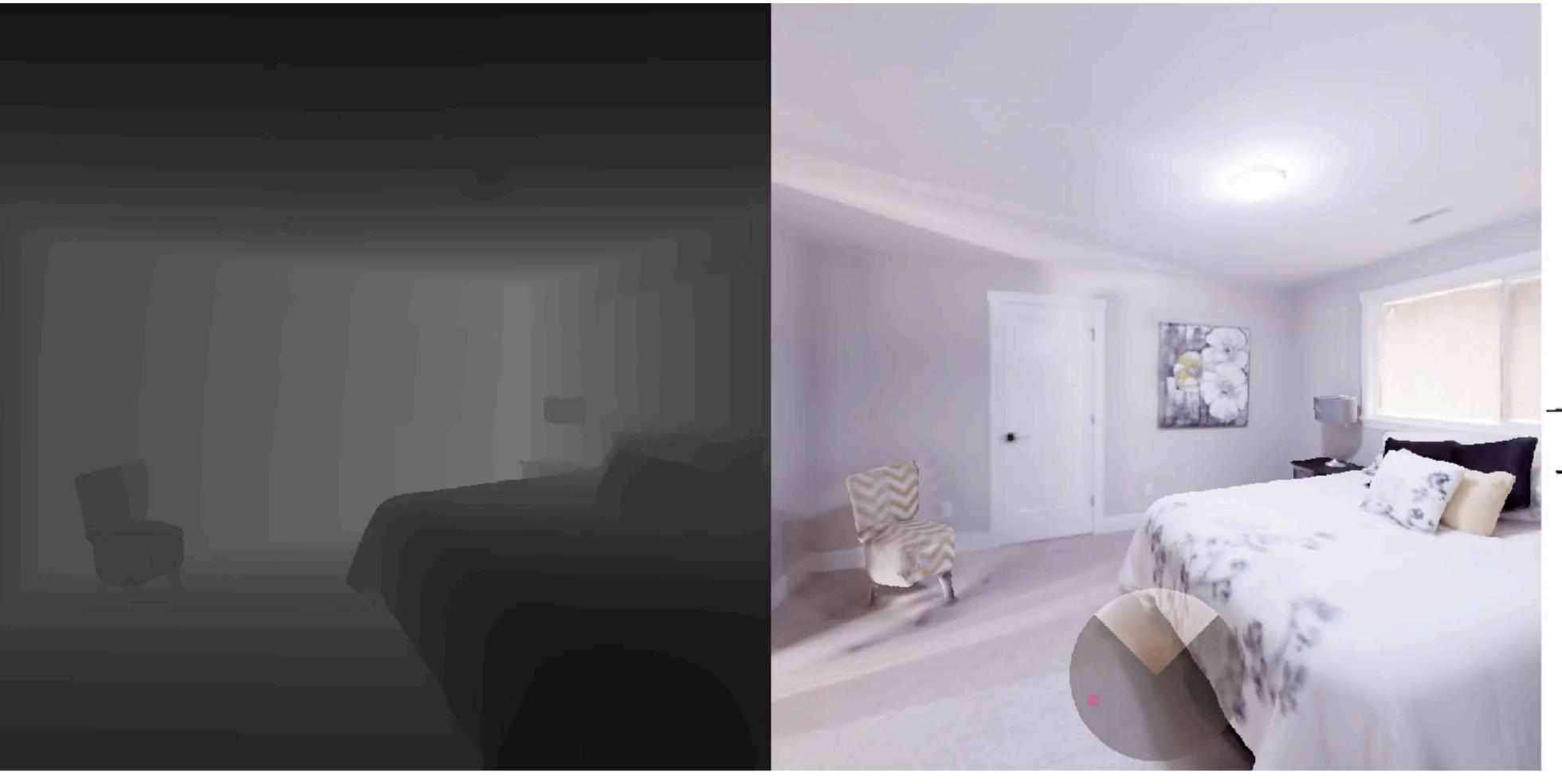


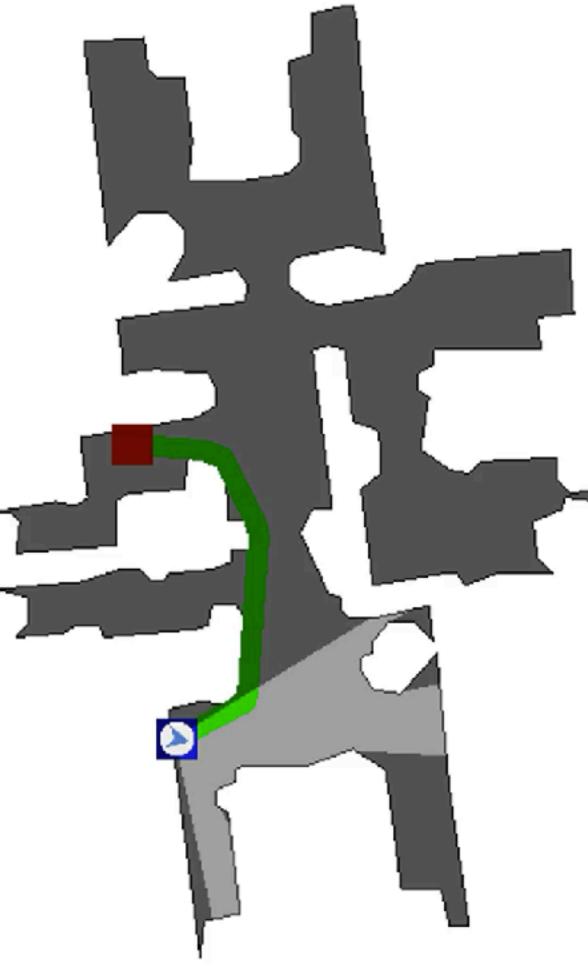


Depth

RGB and GPS+Compass

Top Down Map

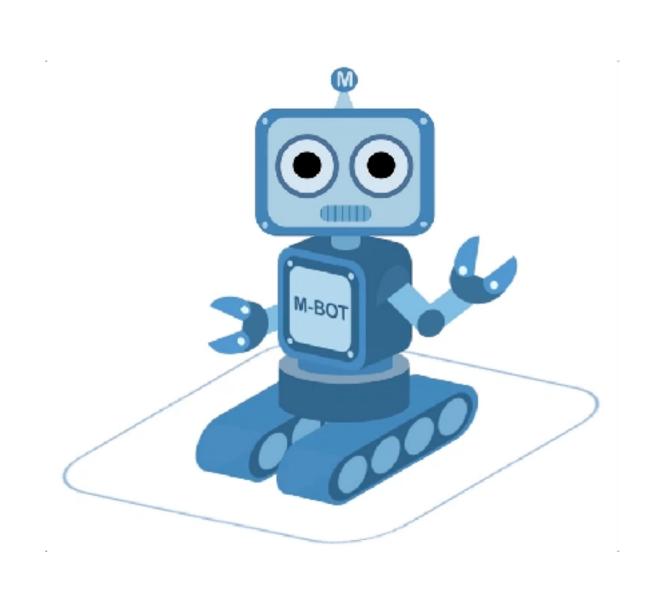




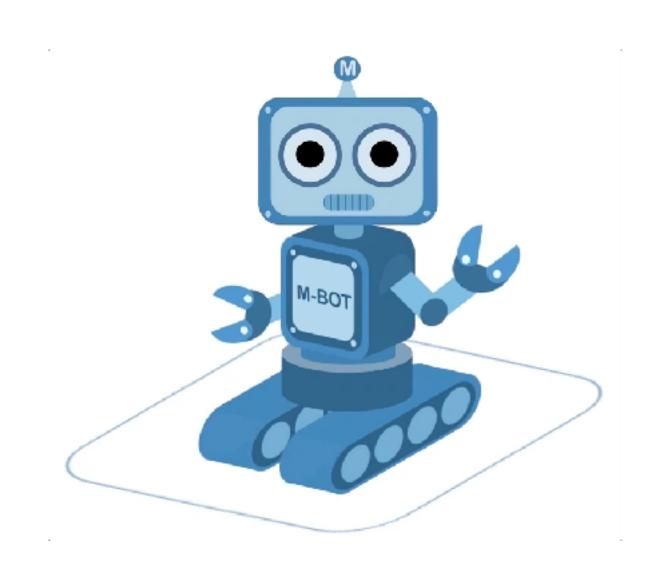
Depth

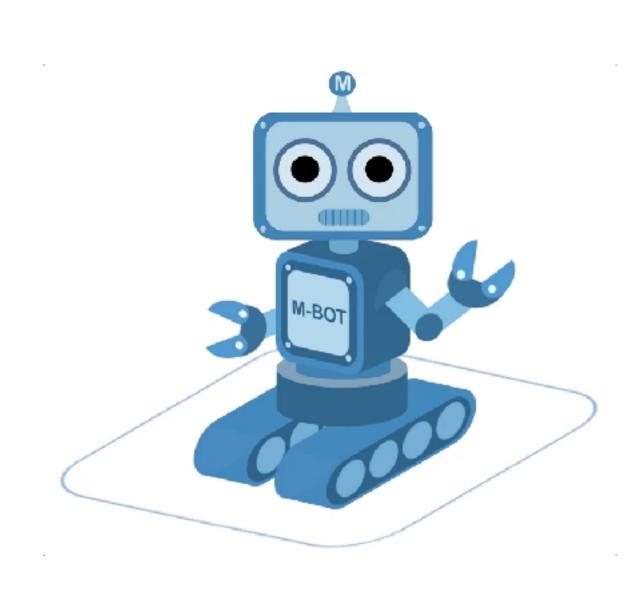
RGB and GPS+Compass

Top Down Map

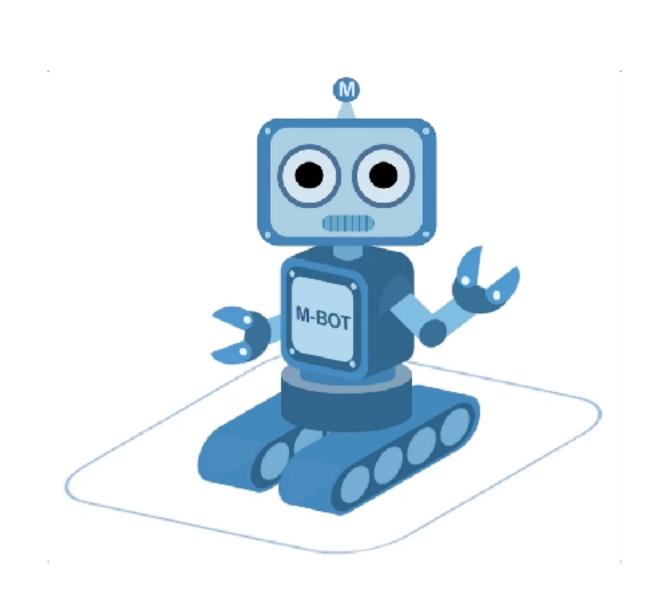


• 1.25m tall cylinder with 0.1m radius

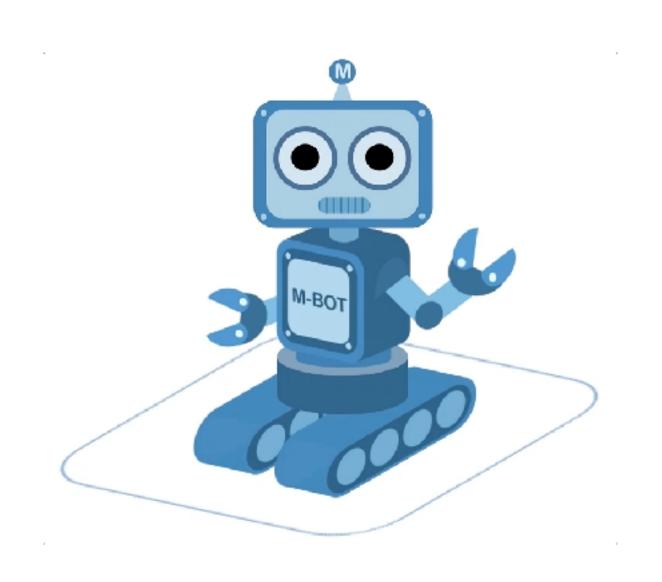




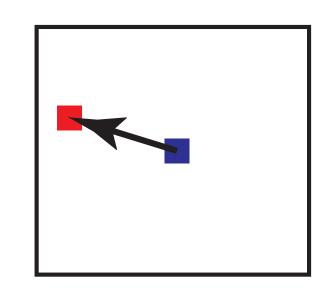
- 1.25m tall cylinder with 0.1m radius
- Actions:
  - <stop>: Indicates the agent believes it has completed the task
  - <forward>: Moves 0.25m forward
  - <left>, <right>: Turn 10 degrees

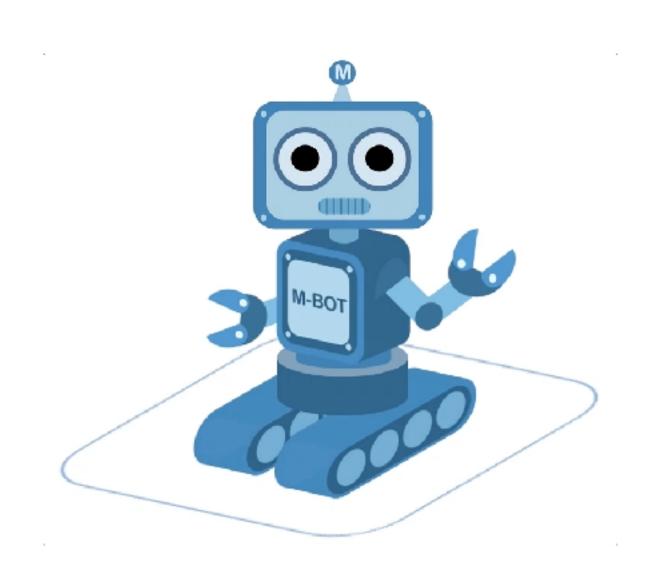


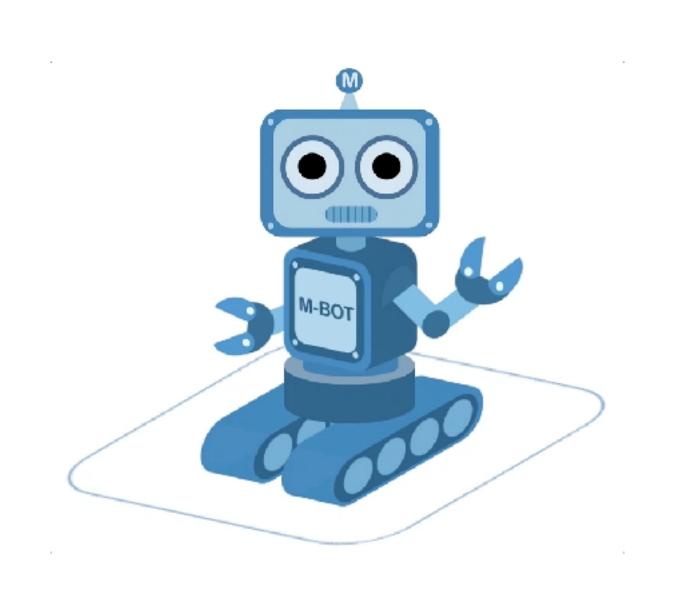


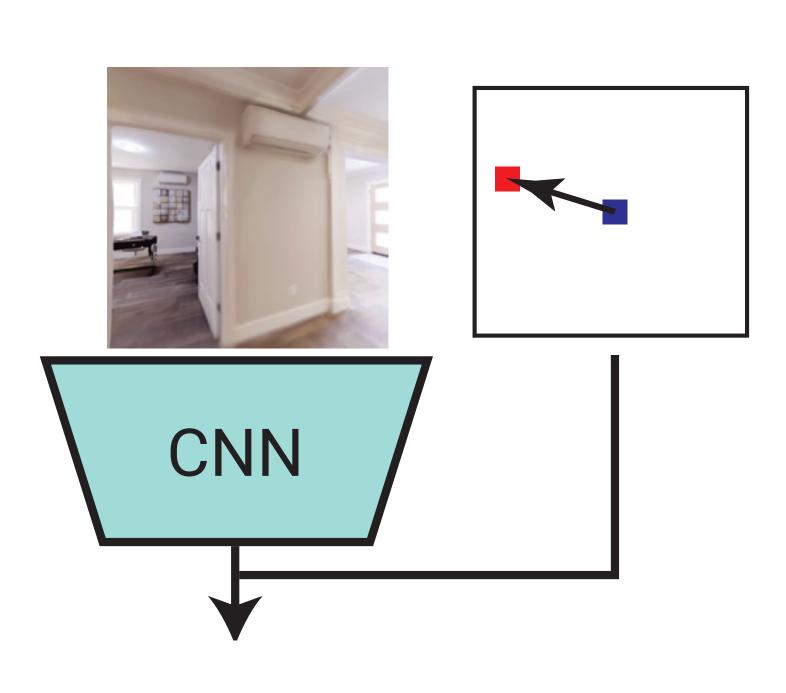


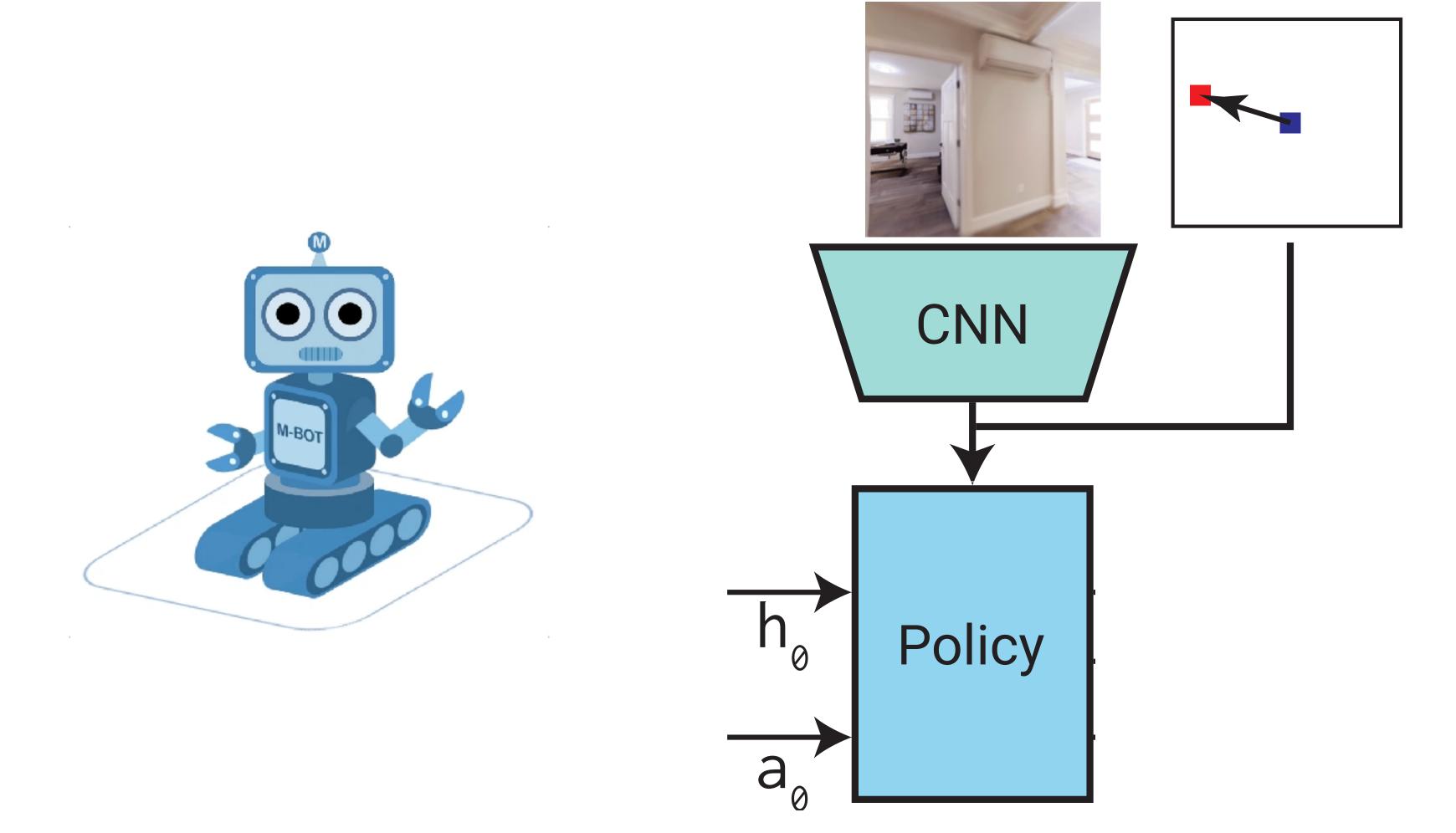


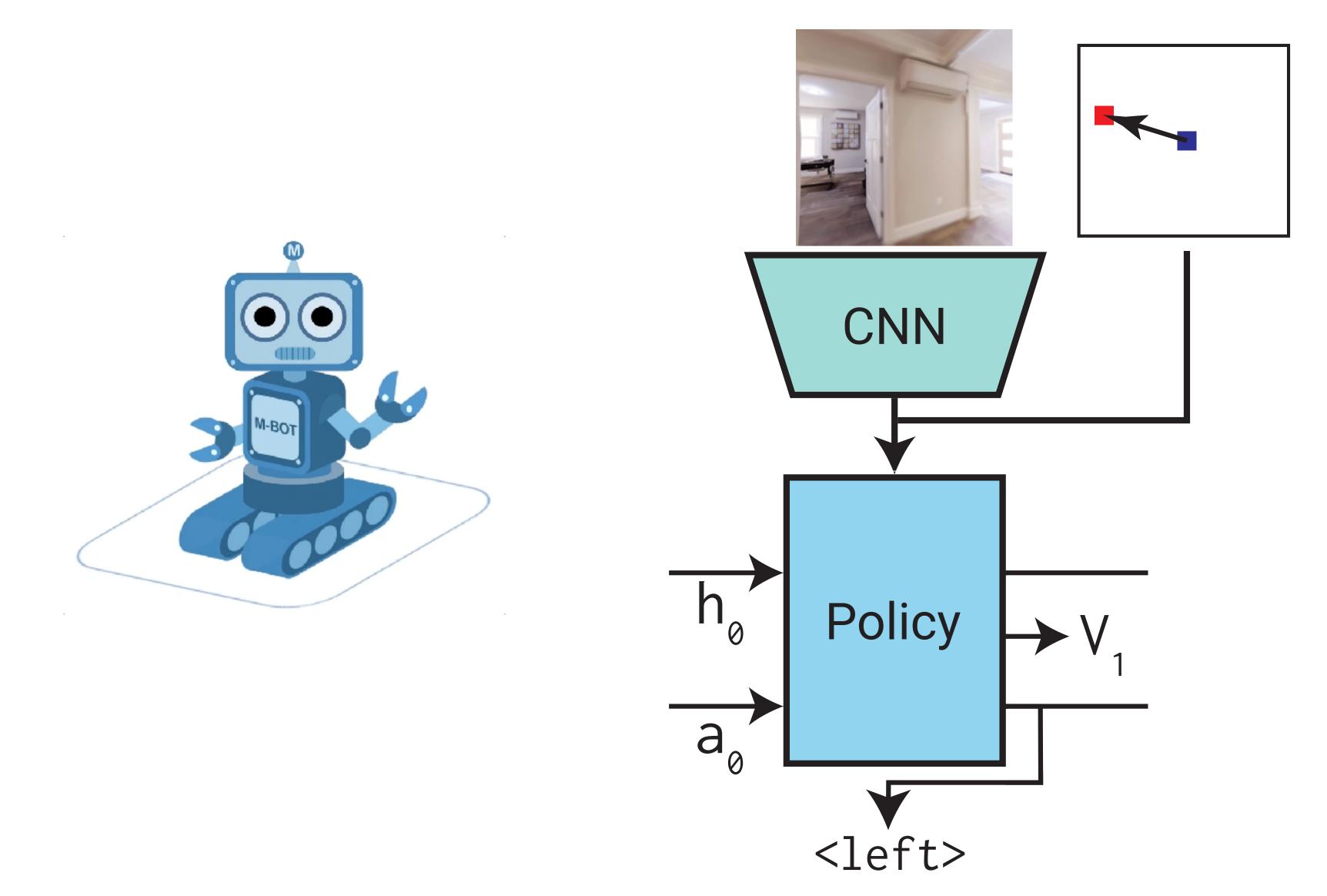


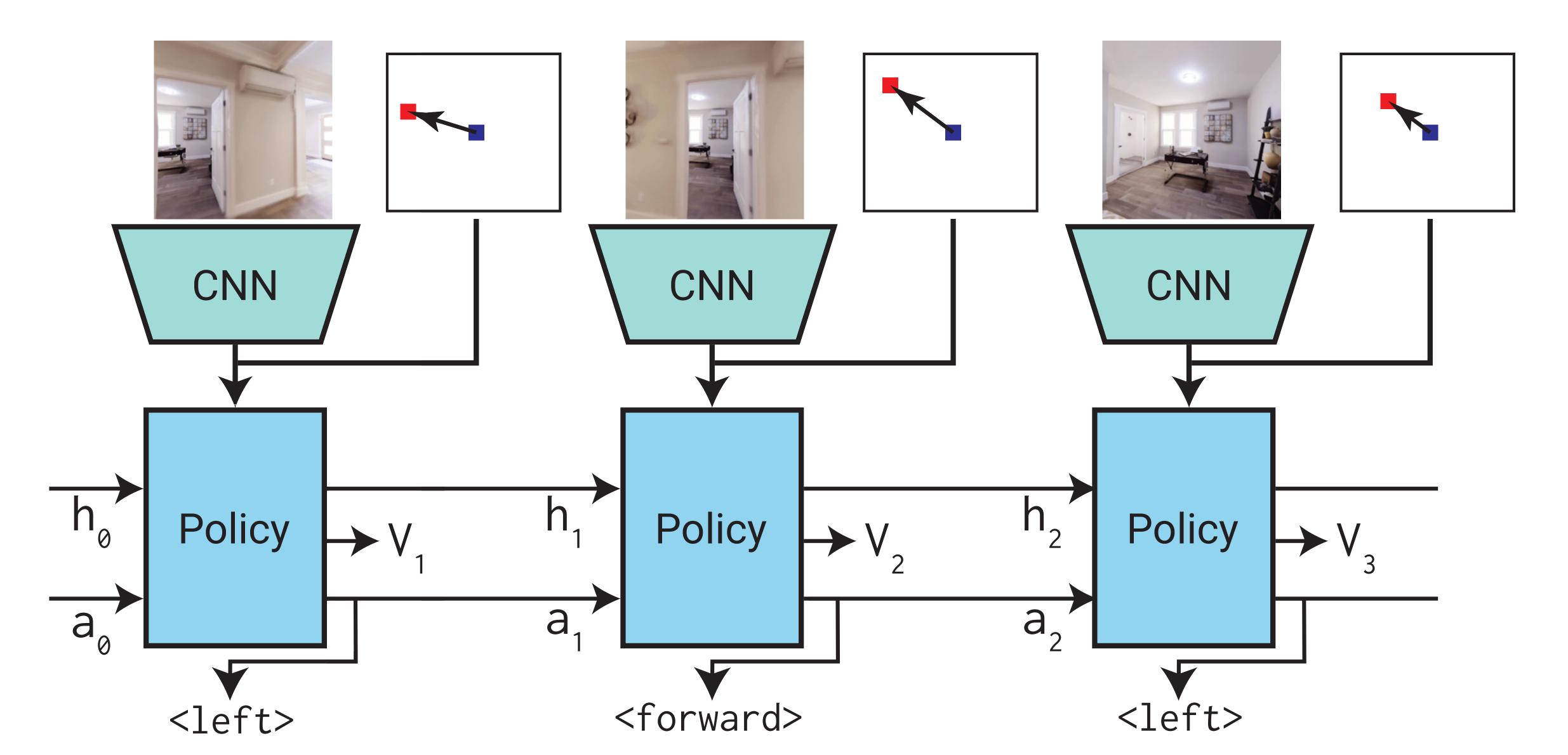


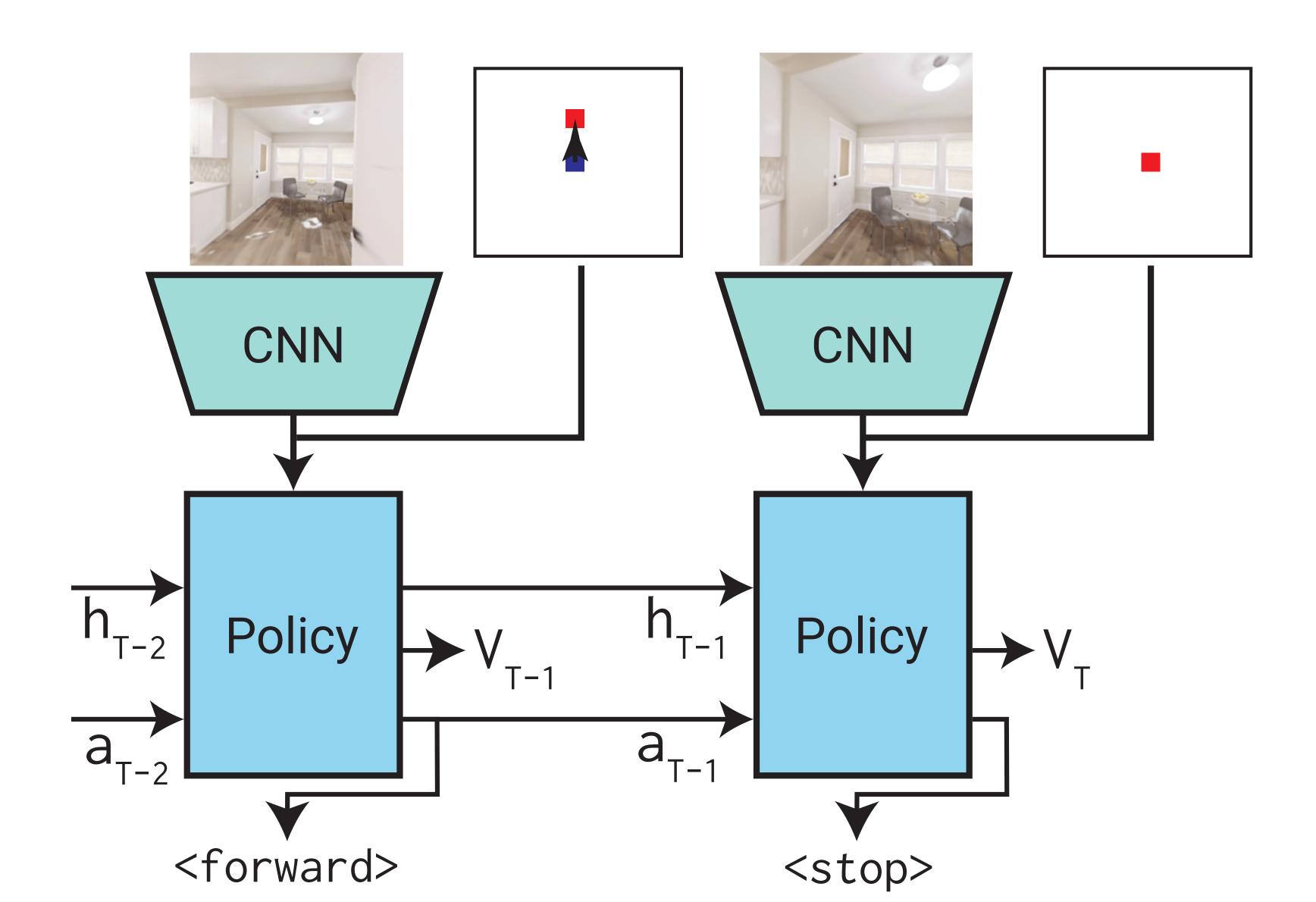




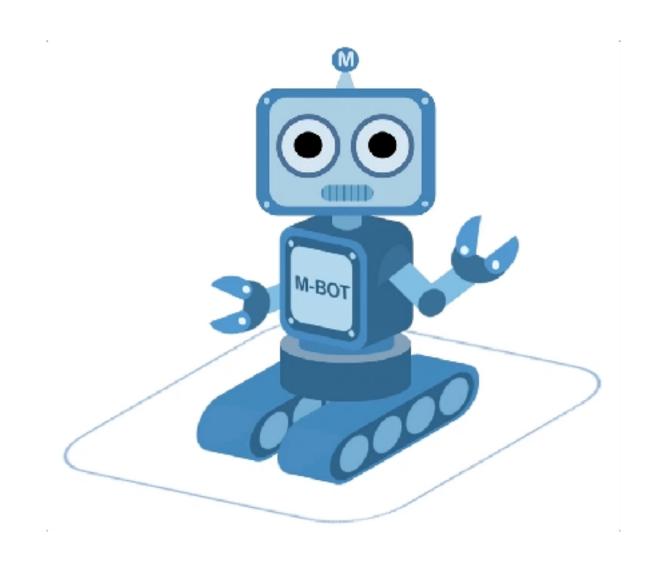


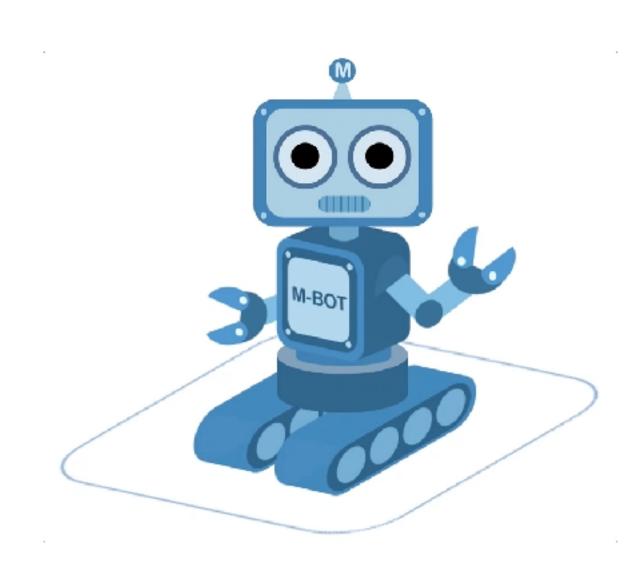




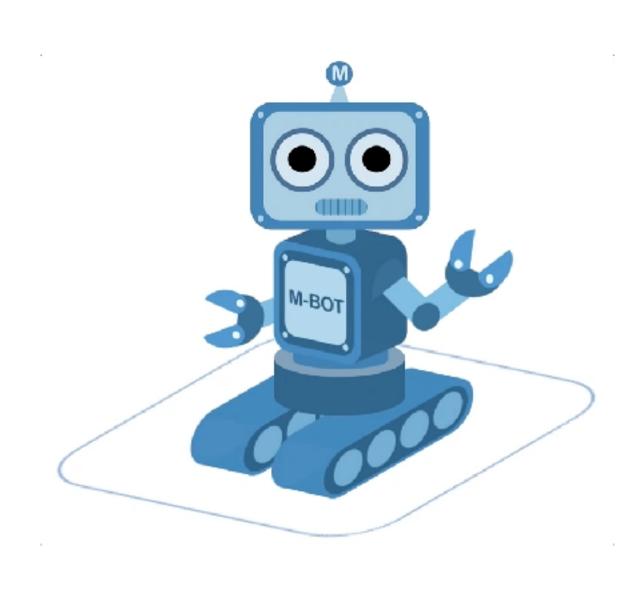


How do we train this agent?





- How do we train this agent?
- Both actions (they are discrete) and the simulation are non-differential-able



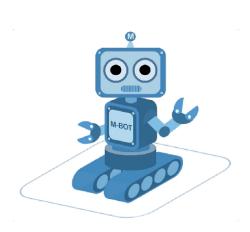
- How do we train this agent?
- Both actions (they are discrete) and the simulation are non-differential-able
- Use reinforcement learning!

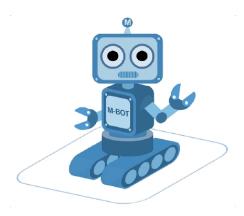
#### Outline

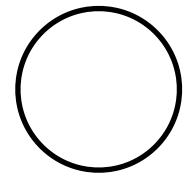
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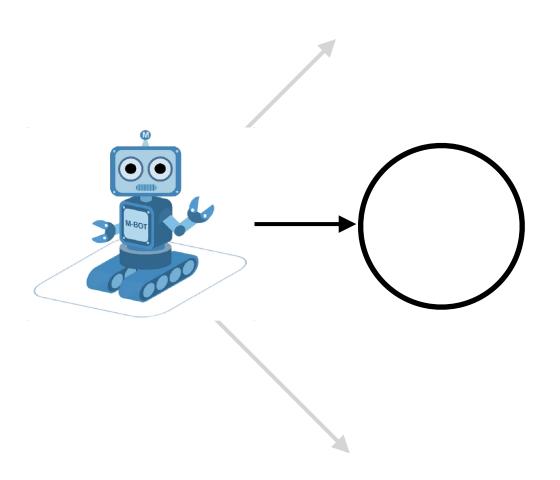
#### Outline

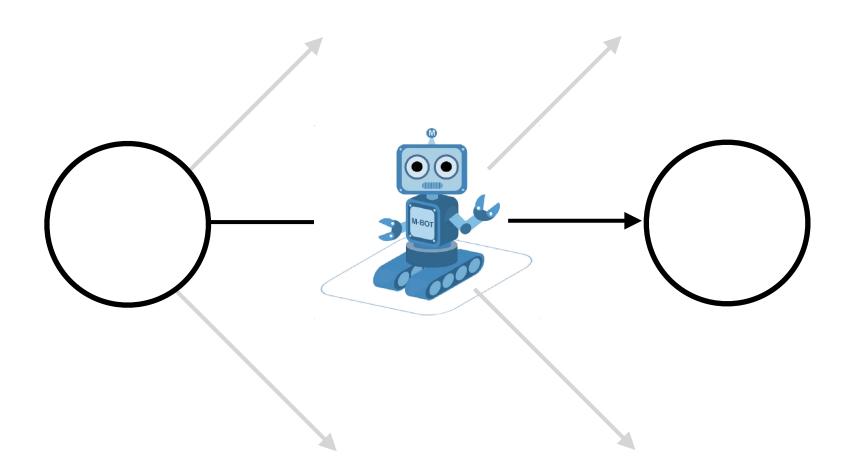
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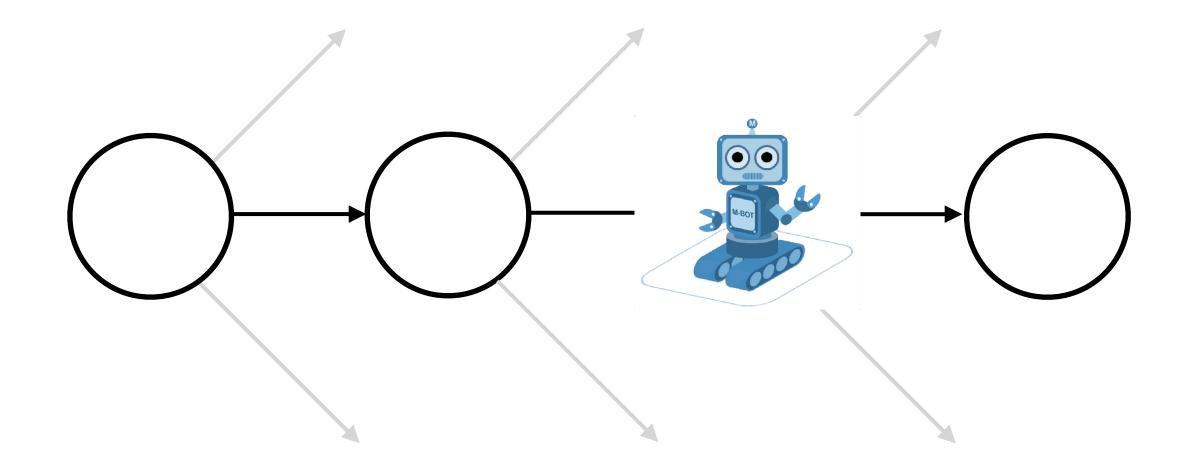












Objective:

$$\mathbb{E}\left[\mathcal{R}_{T}\right]$$

$$\mathcal{R}_T = \sum_{t=1}^T \mathcal{R}(s_t, a_t)$$

#### Reinforce

$$\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$$

• High variance:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$ 

- High variance:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$
- Reduce variance with baseline:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T b)$

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- Reduce variance with baseline:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T b)$
- Use value-function as the baseline (A2C):

$$\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T - V(s_t))$$

- High variance:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$
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- Use value-function as the baseline (A2C):

$$\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \left( \mathcal{R}_T - V(s_t) \right)$$

$$A(a_t, s_t) = Q(a_t, s_t) - V(s_t)$$

- High variance:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$
- Reduce variance with baseline:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T b)$
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$$A(a_t, s_t) = Q(a_t, s_t) - V(s_t)$$

- High variance:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot \mathcal{R}_T$
- Reduce variance with baseline:  $\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T b)$
- Use value-function as the baseline (A2C):

$$\nabla_{\theta} \log \pi_{\theta}(a_t \mid s_t) \cdot (\mathcal{R}_T - V(s_t))$$

$$A(a_t, s_t) = Q(a_t, s_t) - V(s_t)$$

$$A(s_t, a_t) = (\mathcal{R}(s_t, a_t) + V(s_{t+1})) - V(s_t)$$

A2C is great, but you can only use each rollout once!

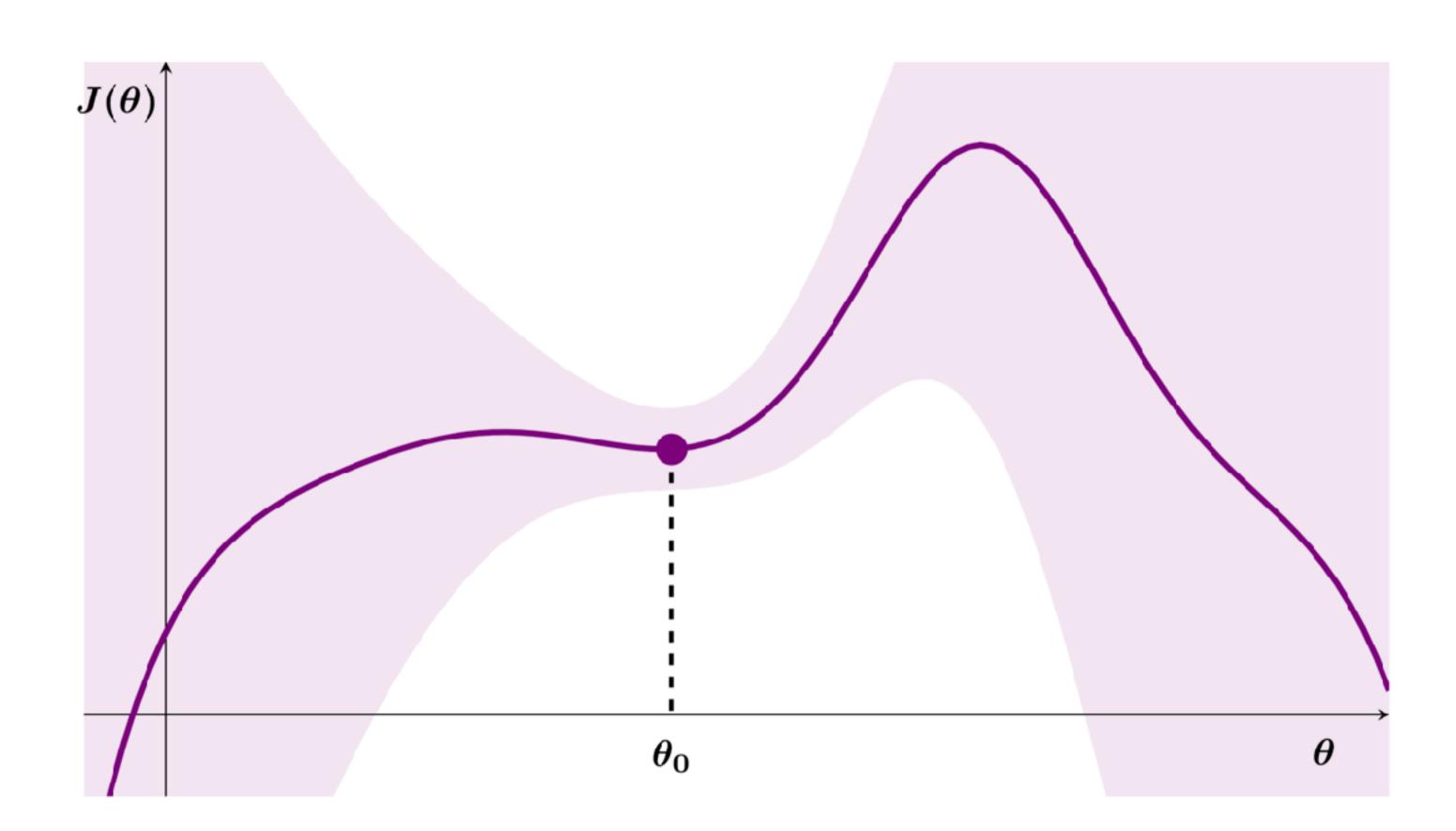
A2C is great, but you can only use each rollout once!

Why?

- A2C is great, but you can only use each rollout once!
  - No theoretical grounding to do so

Works poorly in-practice

Works poorly in-practice



#### Outline

- RL Refresher/Advantage Actor Critic (A2C)
- Trust Region Policy Optimization (TRPO)
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- Application: PointGoal Navigation Results

A2C Maximizes:  $\mathcal{J}^{\mathrm{A2C}}(\theta) = \log \pi_{\theta}(a_t \mid s_t) A(s_t, a_t)$ 

Given a policy:  $q(a_t \mid s_t)$ 

Given a policy:  $q(a_t \mid s_t)$ 

Collect experience and calculate advantage

$$\tau \sim q(a_t \mid s_t)$$

$$A^q(s_t, a_t) = \mathcal{R}(s_t, a_t) + V^q(s_{t+1}) - V^q(s_t)$$

Given a policy:  $q(a_t \mid s_t)$ 

Collect experience and calculate advantage

$$\tau \sim q(a_t \mid s_t)$$

$$A^q(s_t, a_t) = \mathcal{R}(s_t, a_t) + V^q(s_{t+1}) - V^q(s_t)$$

Maximize:

$$\mathcal{J}(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)} \cdot A^q(s_t, a_t)$$

Maximize:

$$\mathcal{J}(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)} \cdot A^q(s_t, a_t)$$

Read as: Policy  $\pi_{\theta}(a_t \mid s_t)$  is better than  $q(a_t \mid s_t)$  if it takes good actions  $(A^q(s_t, a_t) > 0)$  more often and takes bad actions  $(A^q(s_t, a_t) < 0)$  less often

Maximize:

$$\mathcal{J}(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)} \cdot A^q(s_t, a_t)$$

Read as: Policy  $\pi_{\theta}(Why)$  this objective? Ideactions  $(A^{q}(s_{t}, a_{t}) > 0)$  m. Why this objective? Ideactions of the second se

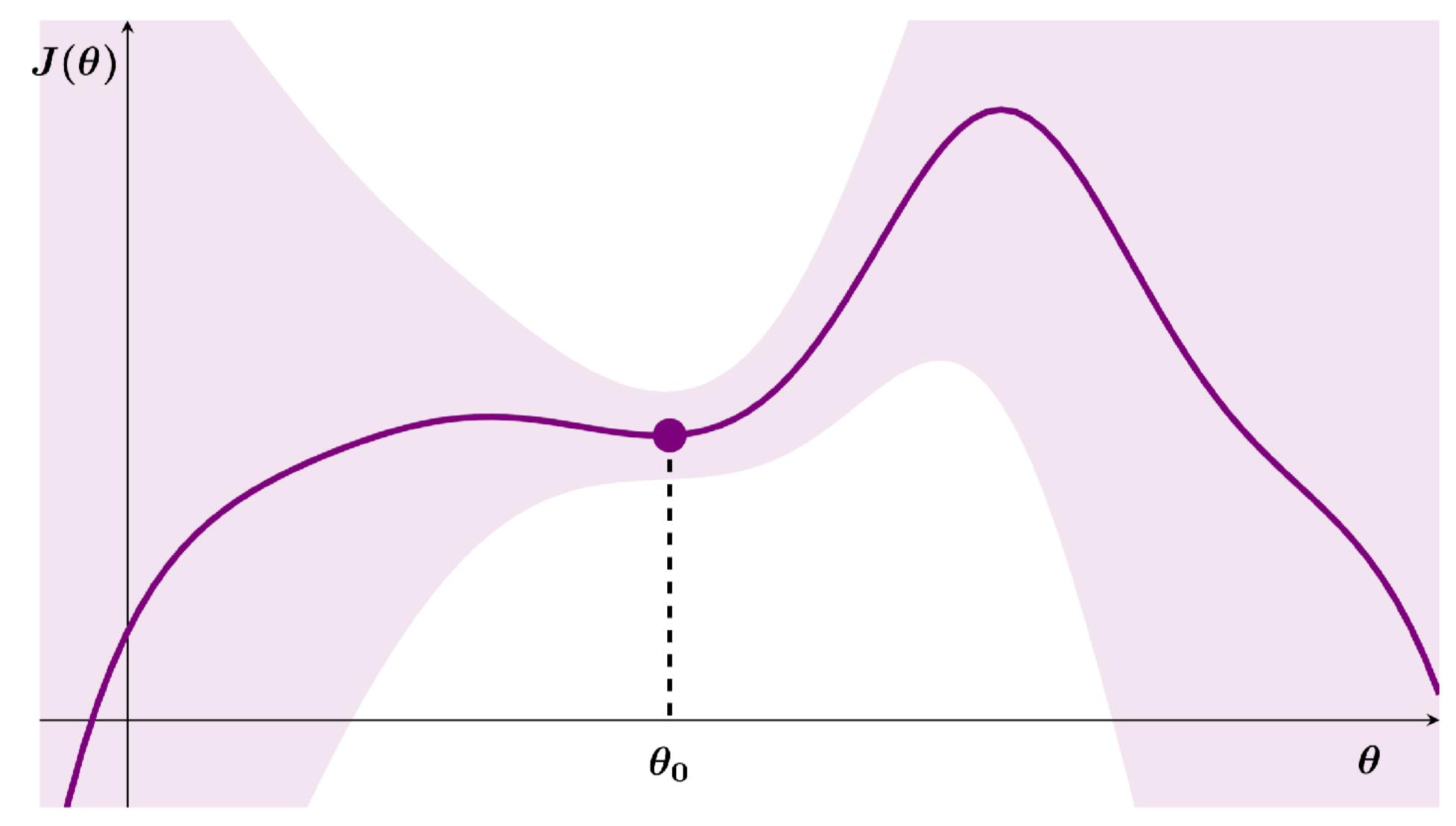
Given a policy: 
$$q(a_t \mid s_t) = \pi_{\theta_{\mathrm{old}}}(a_t \mid s_t)$$

Collect experience and calculate advantage

$$\tau \sim q(a_t \mid s_t)$$

$$A^q(s_t, a_t) = \mathcal{R}(s_t, a_t) + V^q(s_{t+1}) - V^q(s_t)$$

Maximize: 
$$\mathcal{J}(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)} \cdot A^q(s_t, a_t)$$



Use a trust-region!

PS 1 problem 1

- PS 1 problem 1
- In this problem, you showed that the gradient descent update rule

$$\mathbf{w}^{(t+1)} = \mathbf{w}^{(t)} - \eta \nabla f \mathbf{w}^{(t)}$$

can be seen as the minimizer of the affine-lower bound of  $f(\mathbf{w})$  subject to a *trust-region*:

$$\underbrace{f(\mathbf{w}^{(\mathbf{t})}) + \langle \mathbf{w} - \mathbf{w}^{(t)}, \nabla f(\mathbf{w}^{(t)}) \rangle}_{\text{affine lower bound to } f(\cdot)} + \underbrace{\frac{\lambda}{2} \cdot ||\mathbf{w} - \mathbf{w}^{(t)}||^2}_{\text{proximity term}}$$

$$\mathcal{J}^{TRPO}(\theta) = \underbrace{r_t(\theta)A^q(s_t, a_t)}_{\text{importance-weighted advantage}} - \underbrace{\beta \cdot KL\bigg(\pi_\theta(a_t \mid s_t) \mid\mid q(a_t \mid s_t)\bigg)}_{\text{proximity term}}$$

$$r_t(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)}$$

proximity term

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  - Able to perform multiple optimization steps per rollout

- Advantage
  - Able to perform multiple optimization steps per rollout
- Disadvantage
  - Choosing the correct value for beta is challenging and problem/network dependent

#### Outline

- RL Refresher/Advantage Actor Critic (A2C)
- Trust Region Policy Optimization (TRPO)
- Proximal Policy Optimization (PPO)
- Application: PointGoal Navigation Results



AlphaStar: Mastering the Real-Time Strategy Game StarCraft II

Given a policy:  $q(a_t \mid s_t)$ 

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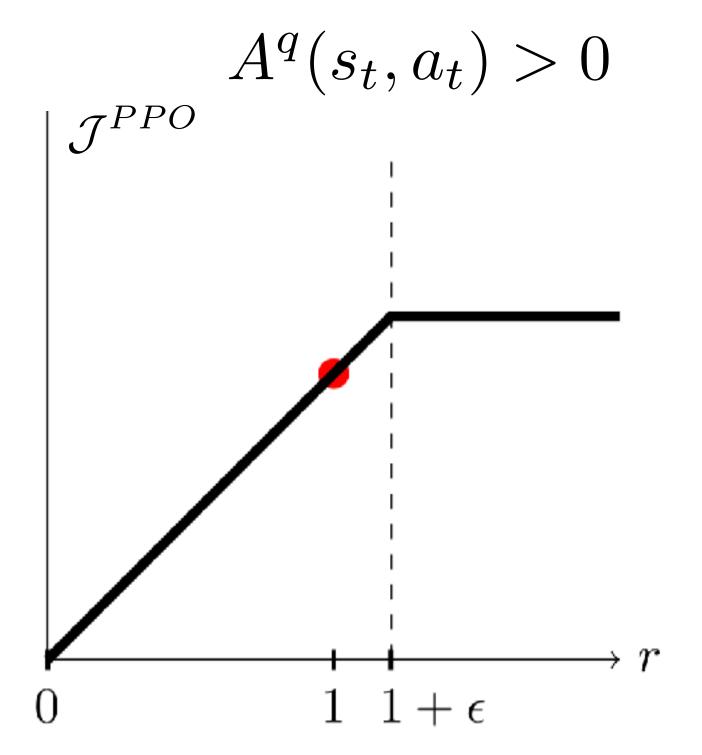
Objective: Maximize

$$r_t(\theta) = \frac{\pi_{\theta}(a_t \mid s_t)}{q(a_t \mid s_t)}$$

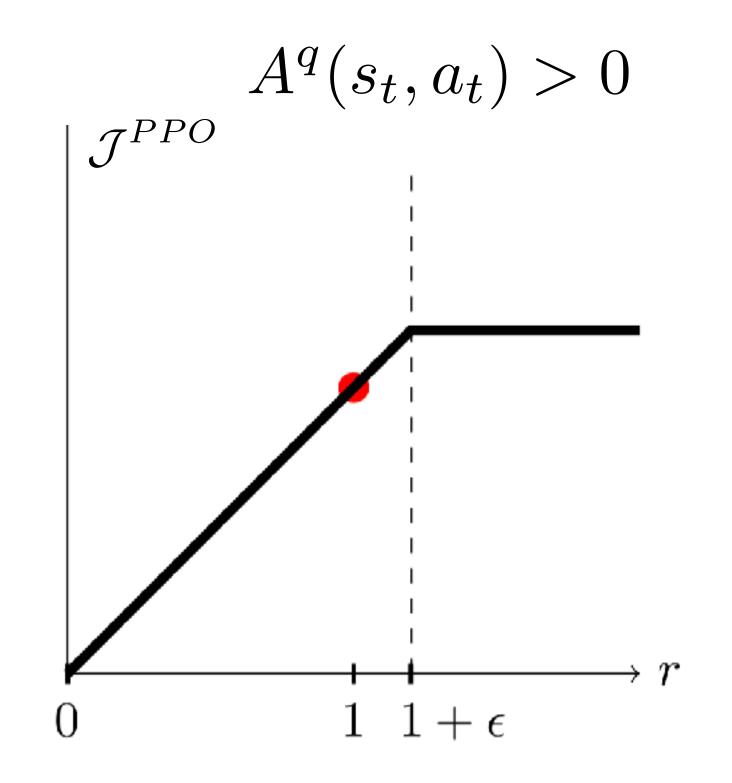
$$\mathcal{J}^{\text{PPO}}(\theta) = A^q(s_t, a_t) \cdot \begin{cases} \min(r_t(\theta), 1 + \epsilon) & \text{if } A^q(s_t, a_t) > 0 \\ \max(r_t(\theta), 1 - \epsilon) & \text{if } A^q(s_t, a_t) < 0 \end{cases}$$

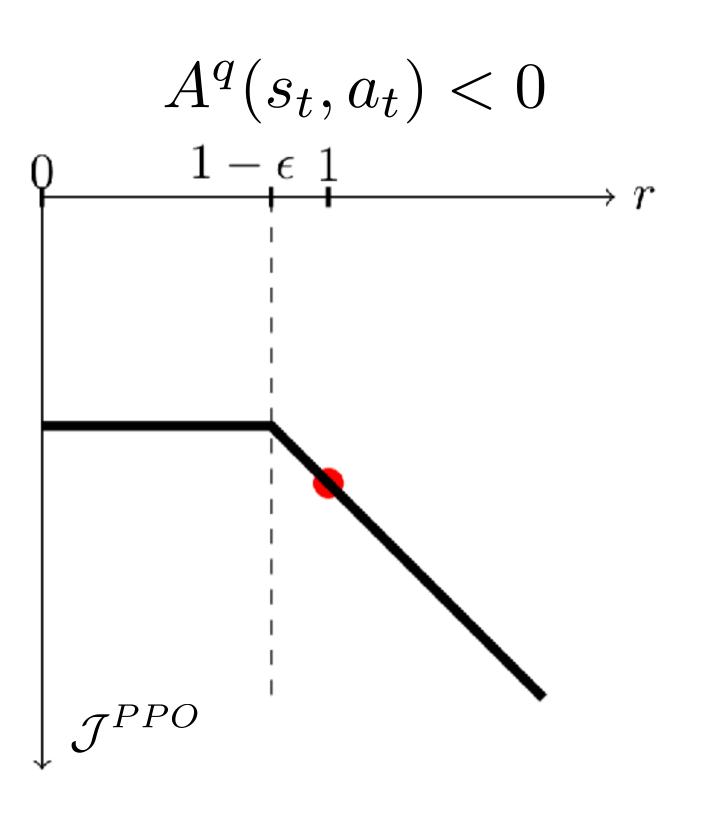
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  - Able to perform multiple optimization steps per rollout
  - epsilon=0.2 "just works" in a lot of cases
  - Easily handles networks with hundreds of millions of parameters
- Disadvantage
  - Other methods are more sample efficient

### A2C Implementation

- 1. Collect a set of trajectories using current policy
- 2. Update policy via step of A2C objective
- 3. Repeat

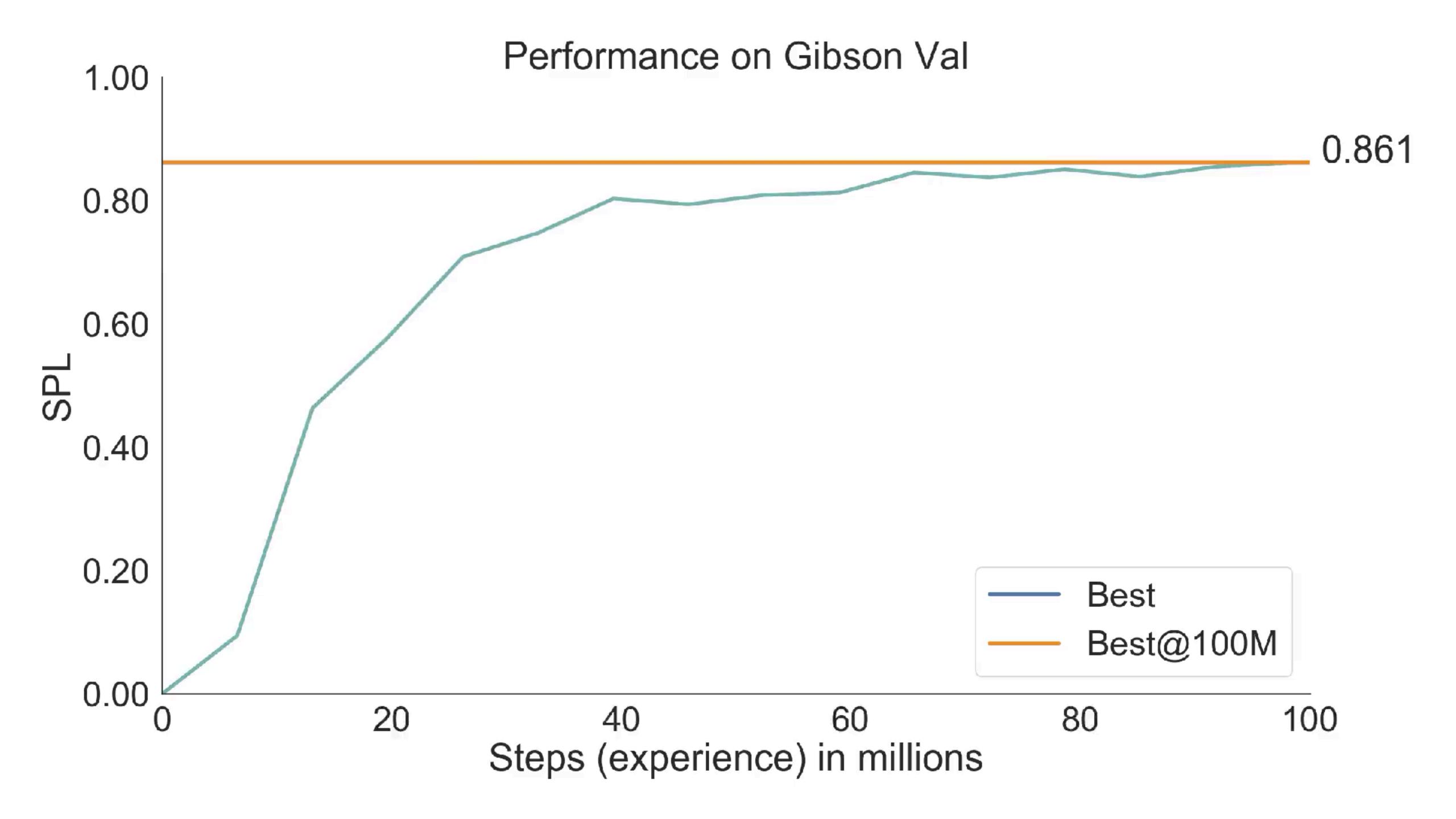
## PPO/TRPO Implementation

- 1. Collect a set of trajectories using current policy
- 2. For a few epochs (typically 2 or 4)
  - 1. Sample mini batches from rollout (typically 2 or 4)
    - 1. Update the policy via step of PPO/TRPO objective
- 3. Repeat

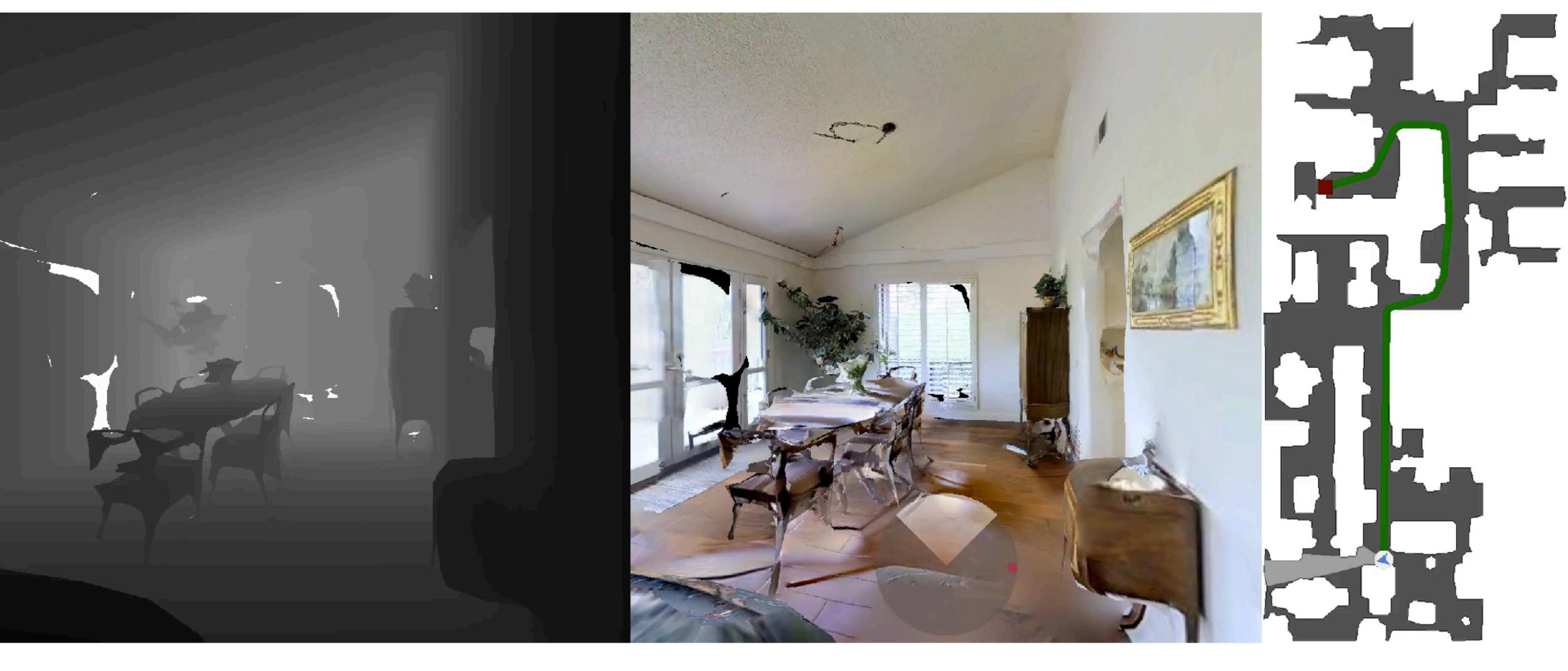
#### Outline

- RL Refresher/Advantage Actor Critic (A2C)
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### PointGoal Navigation Results

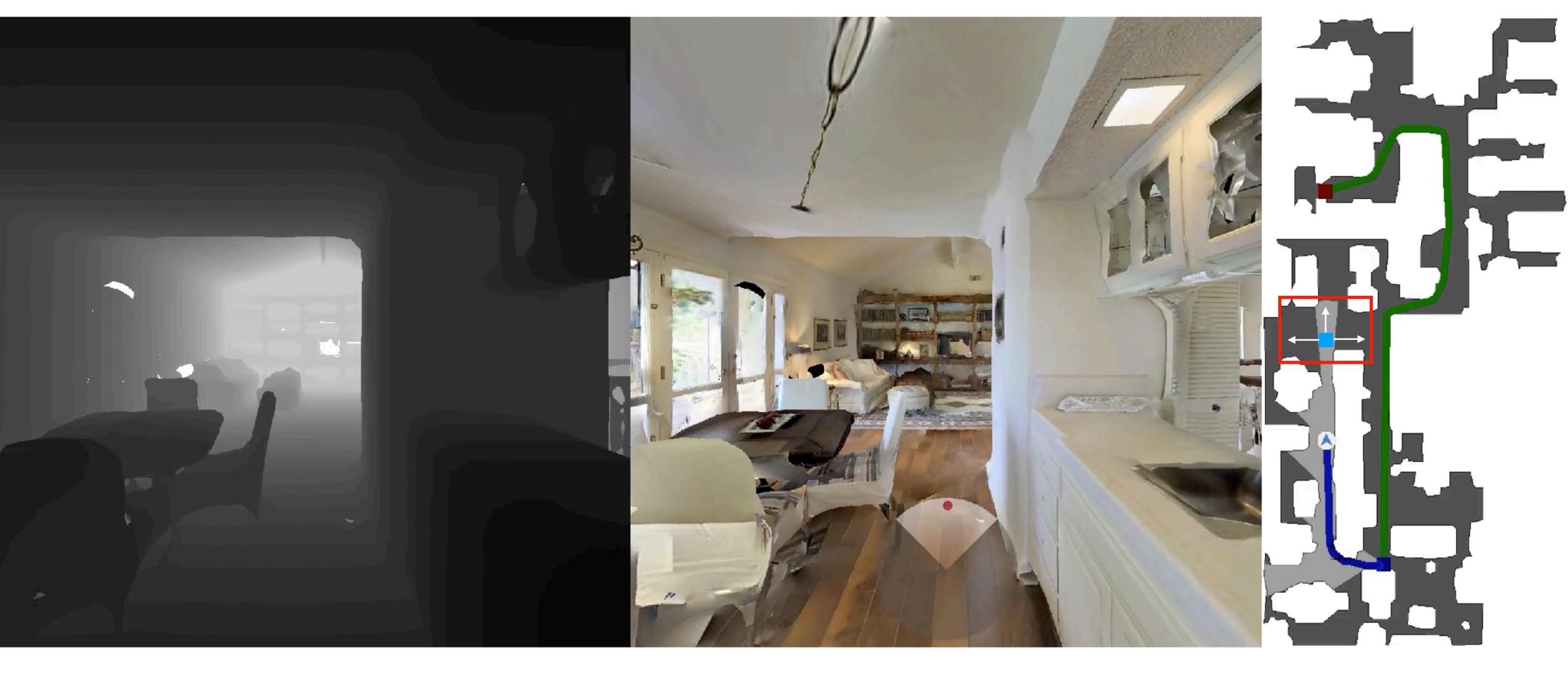


### Qualitative Results



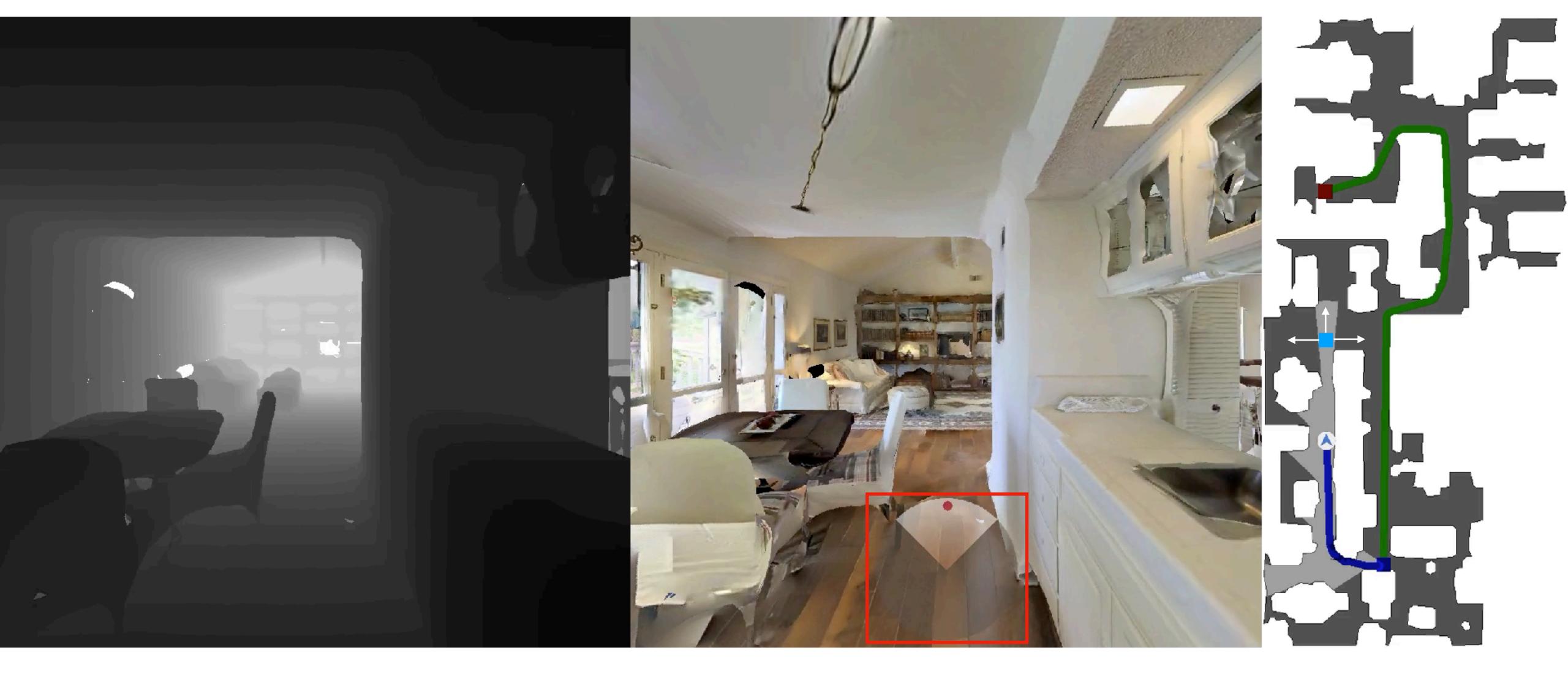
Depth RGB and GPS+Compass

Top Down Map



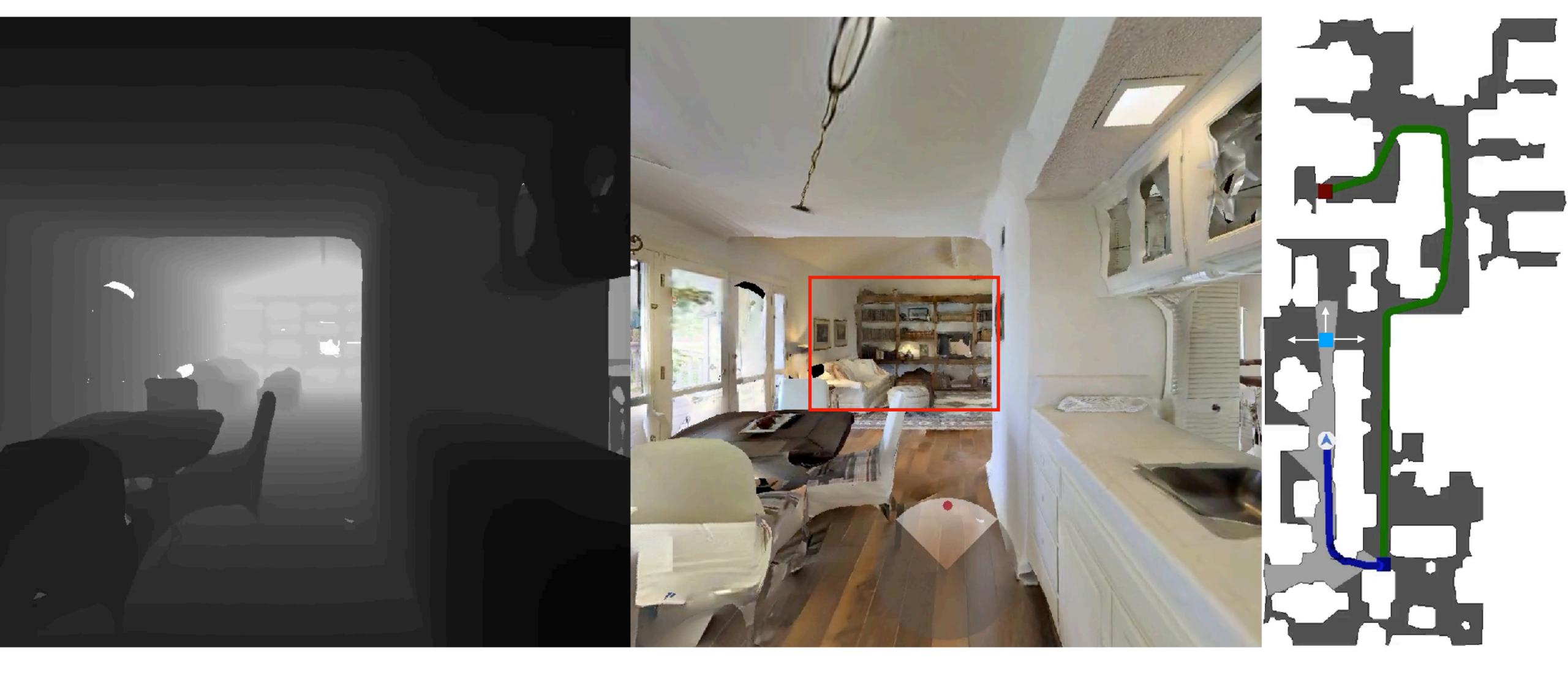
Depth RGB and GPS+Compass

Top Down Map



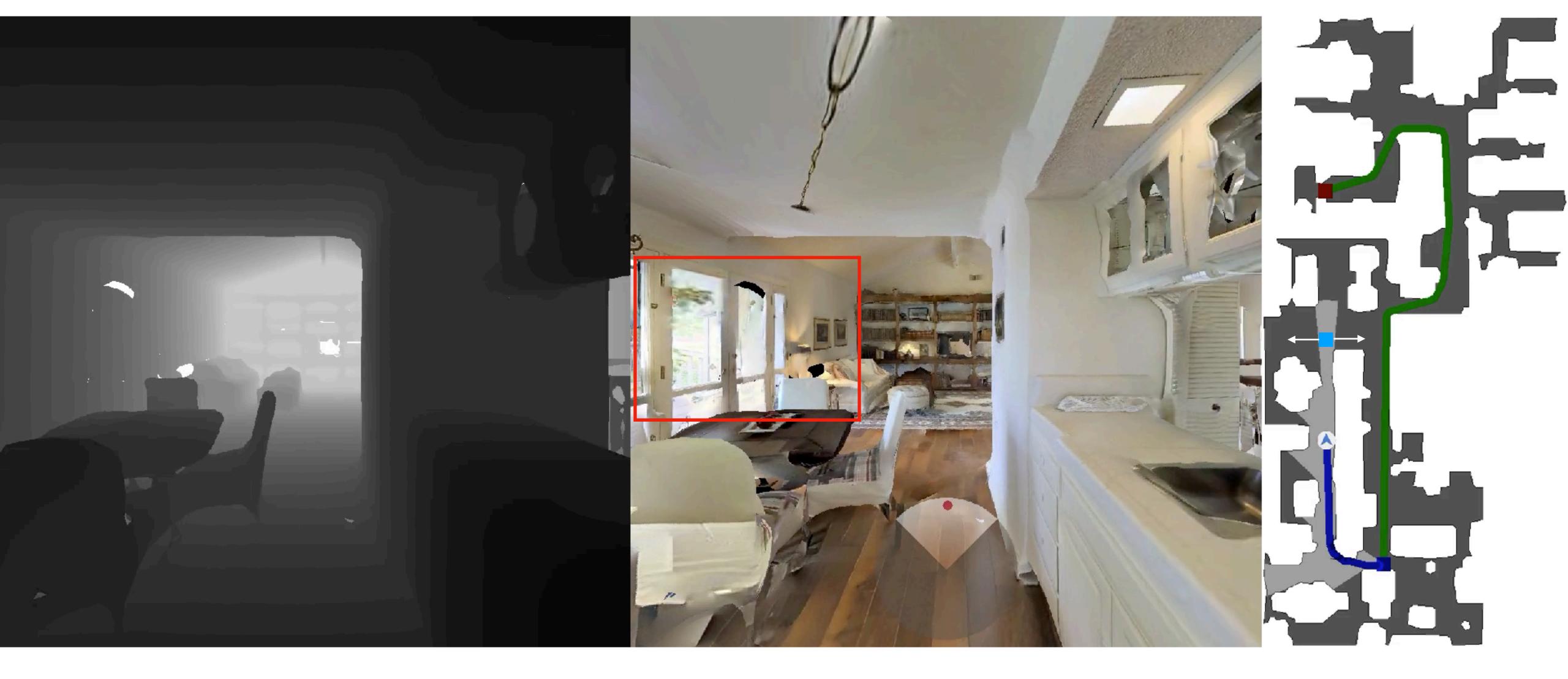
Depth RGB and GPS+Compass

Top Down Map



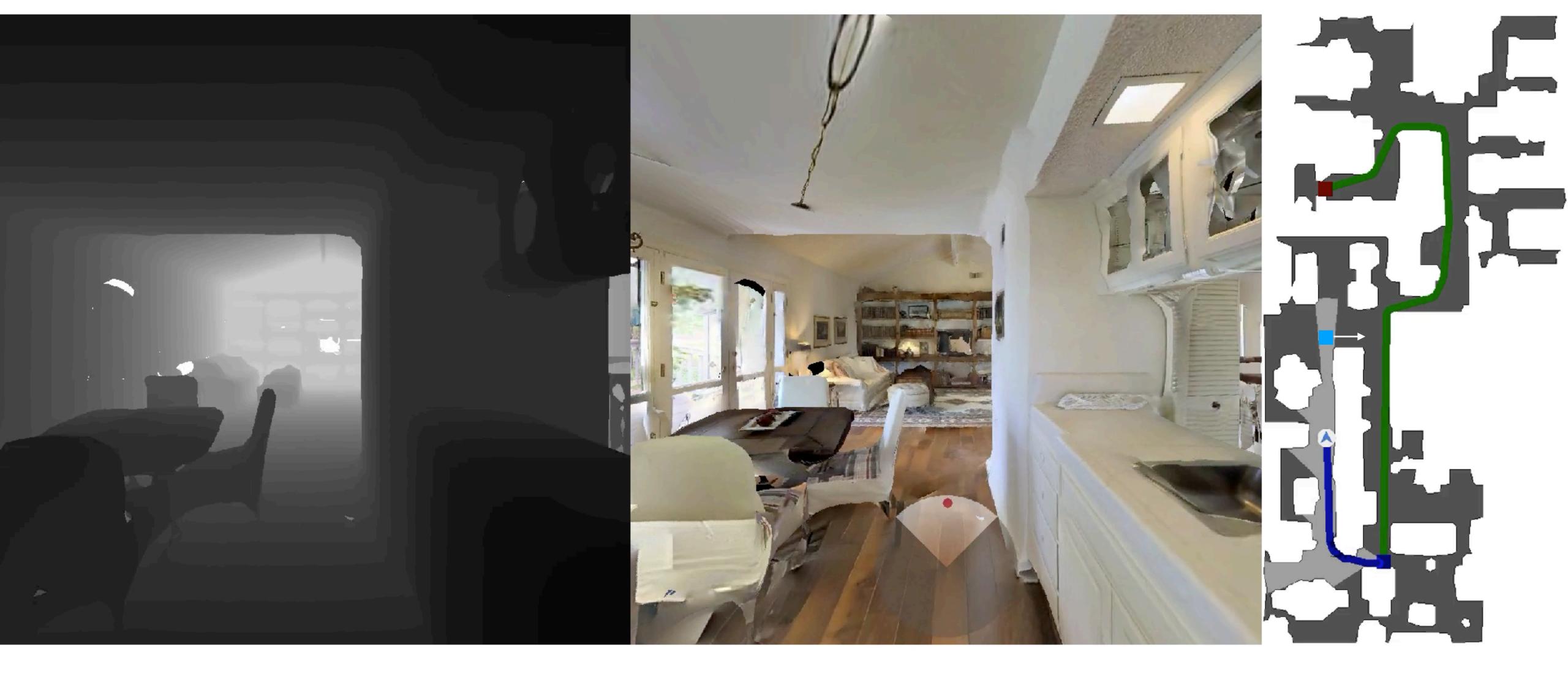
Depth RGB and GPS+Compass

Top Down Map



Depth RGB and GPS+Compass

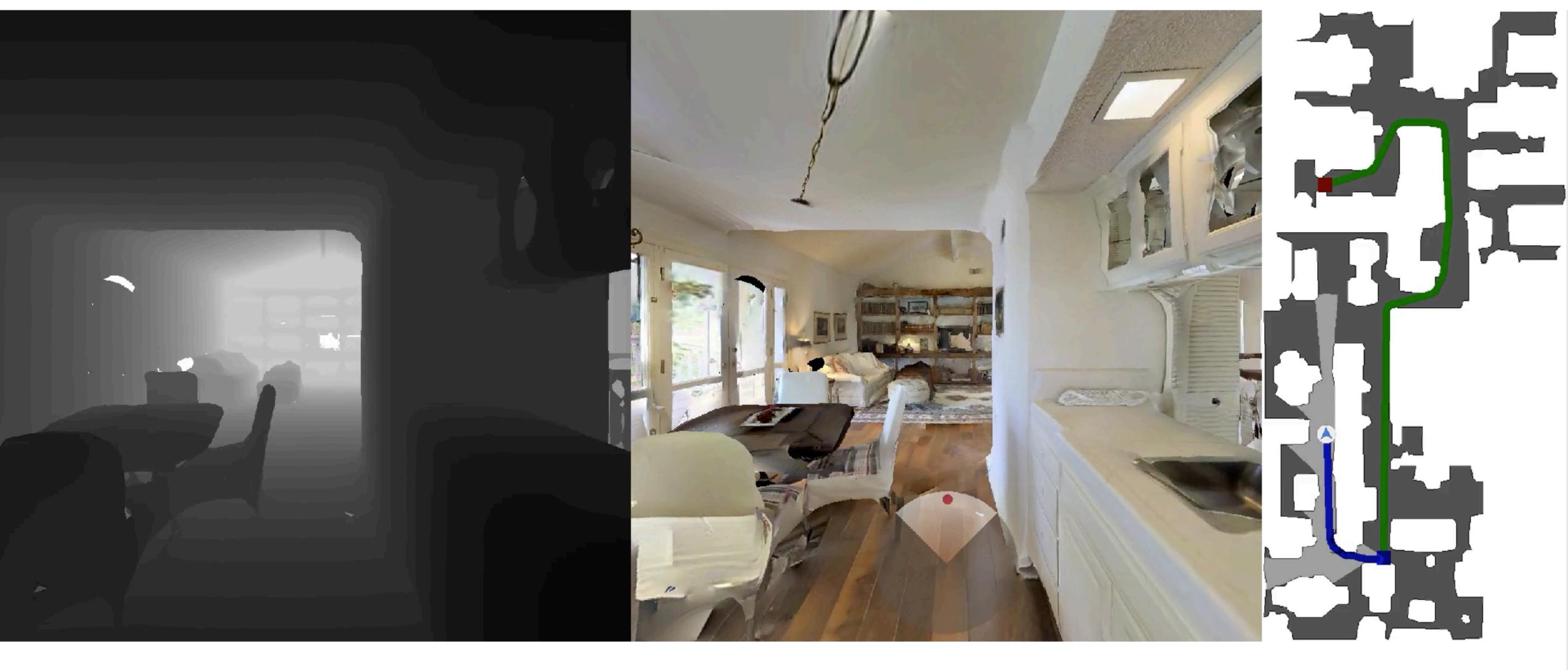
Top Down Map



Depth

RGB and GPS+Compass

Top Down Map

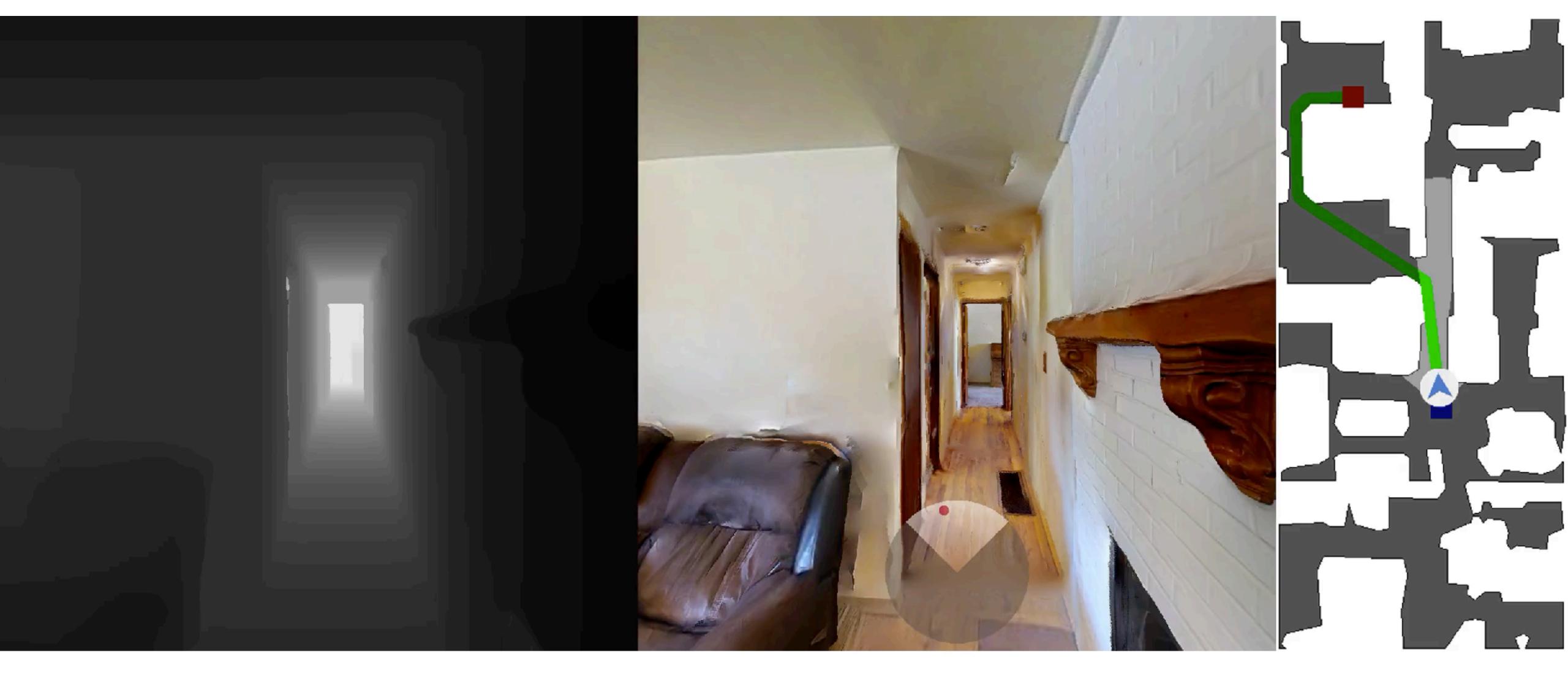


Depth

RGB and GPS+Compass

Top Down Map

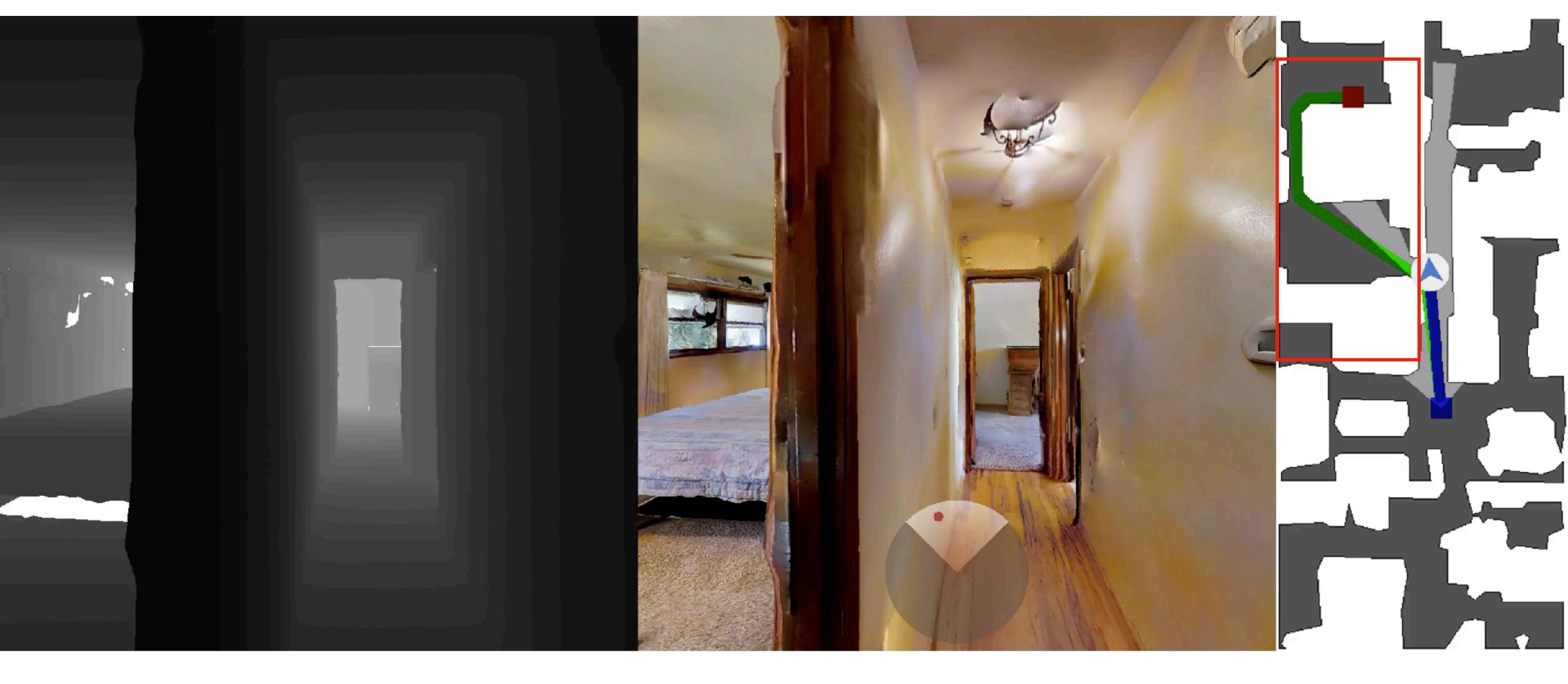
# Backtracking



Depth

RGB and GPS+Compass

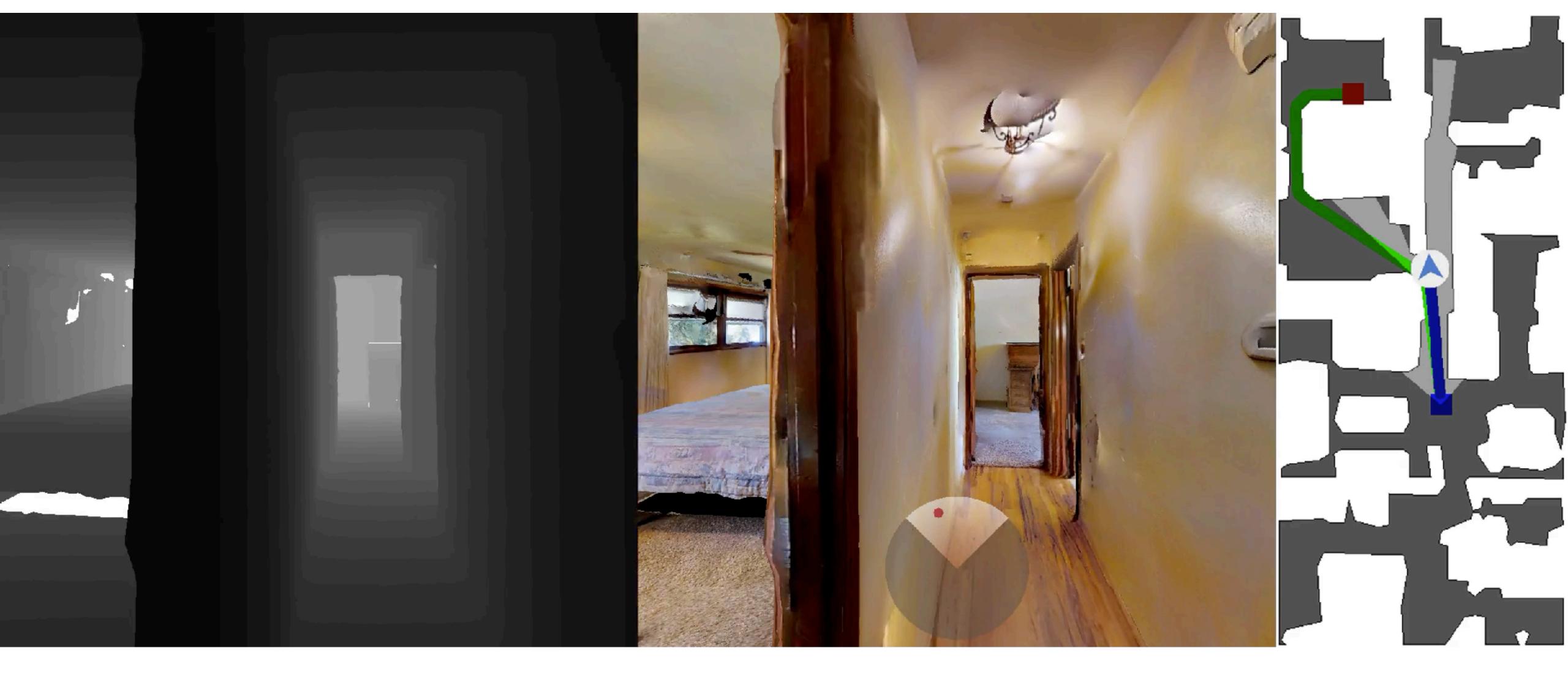
Top Down Map



Depth

RGB and GPS+Compass

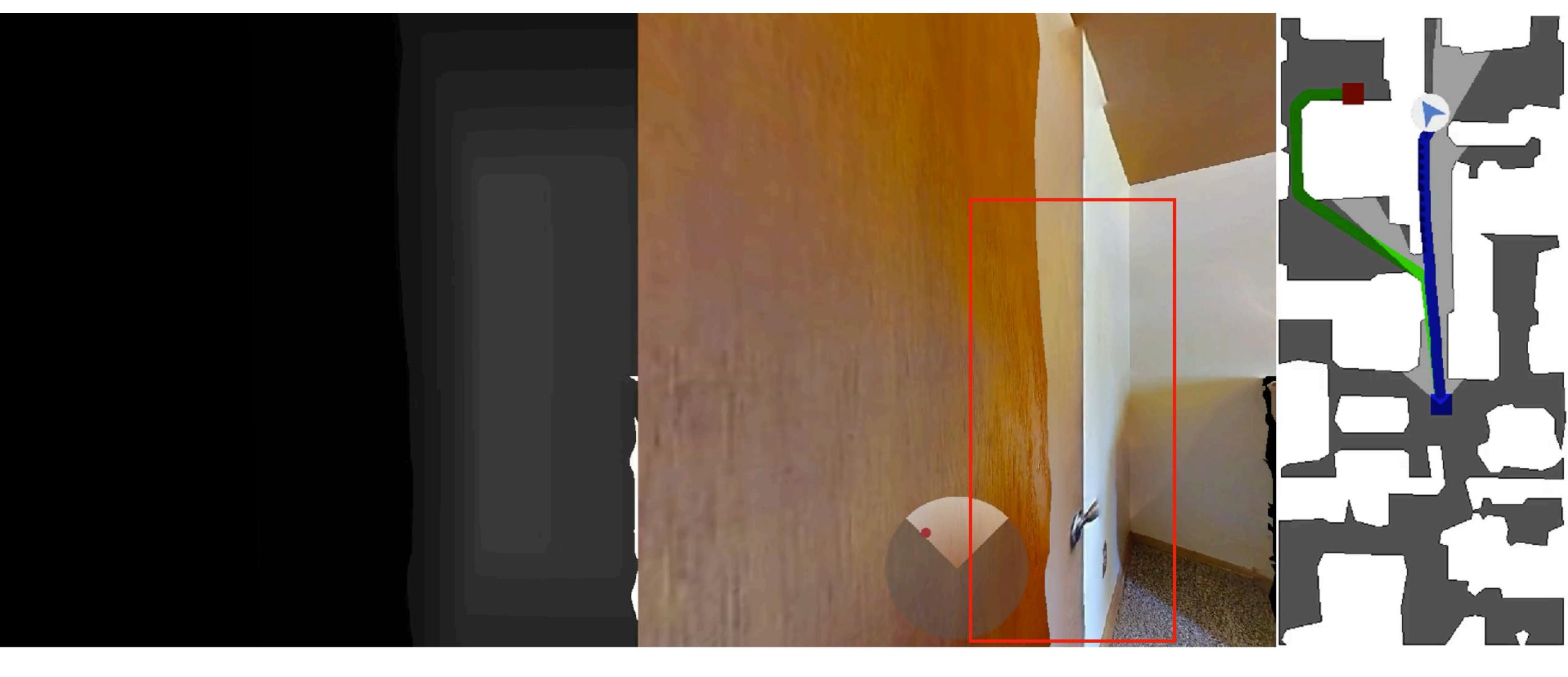
Top Down Map



Depth

RGB and GPS+Compass

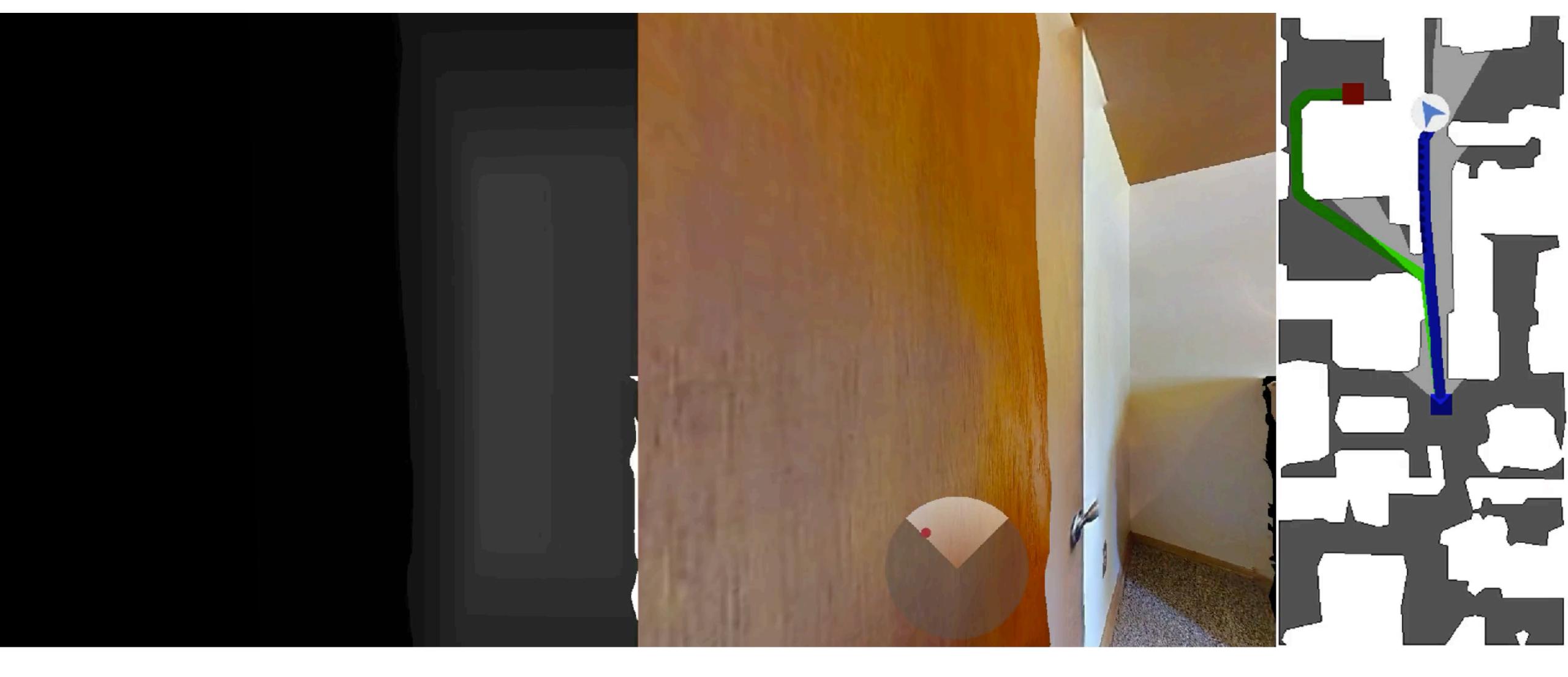
Top Down Map



Depth

RGB and GPS+Compass

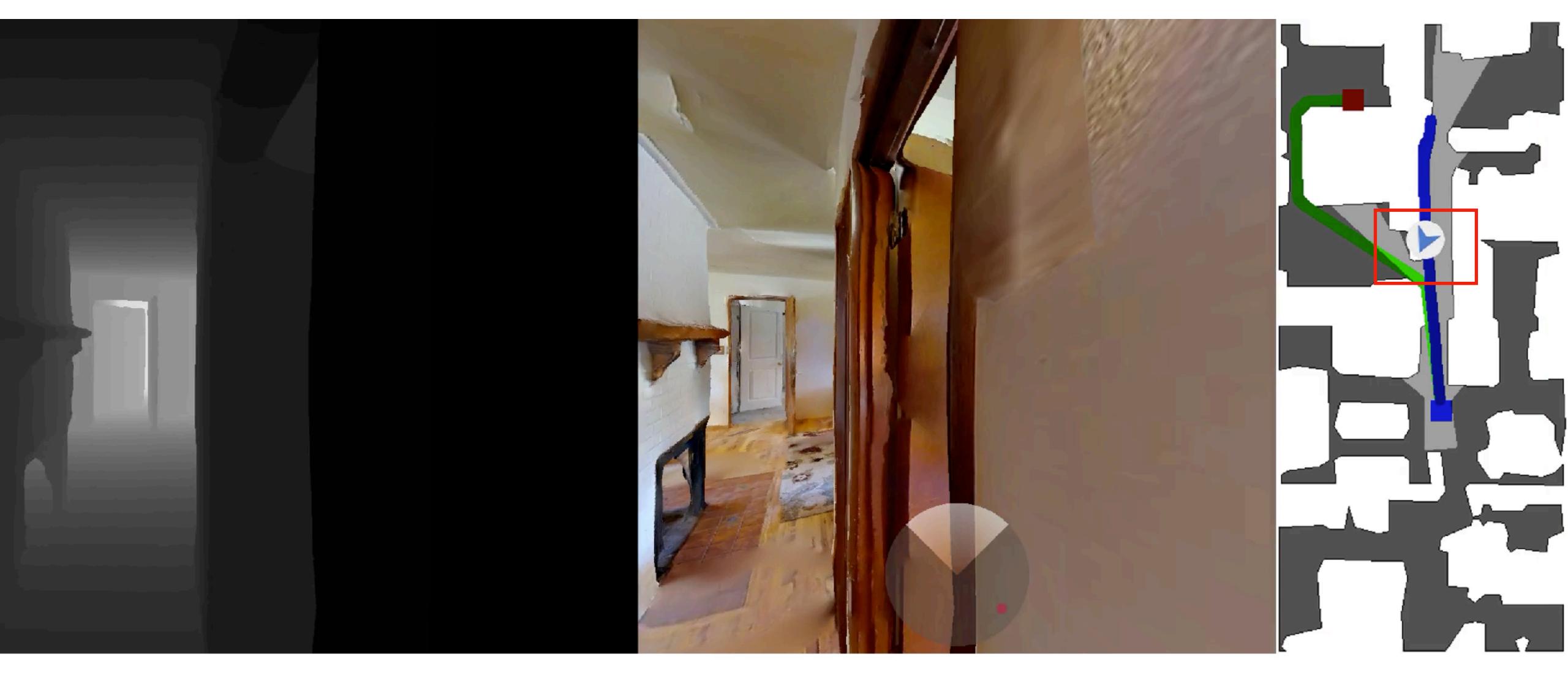
Top Down Map



Depth

RGB and GPS+Compass

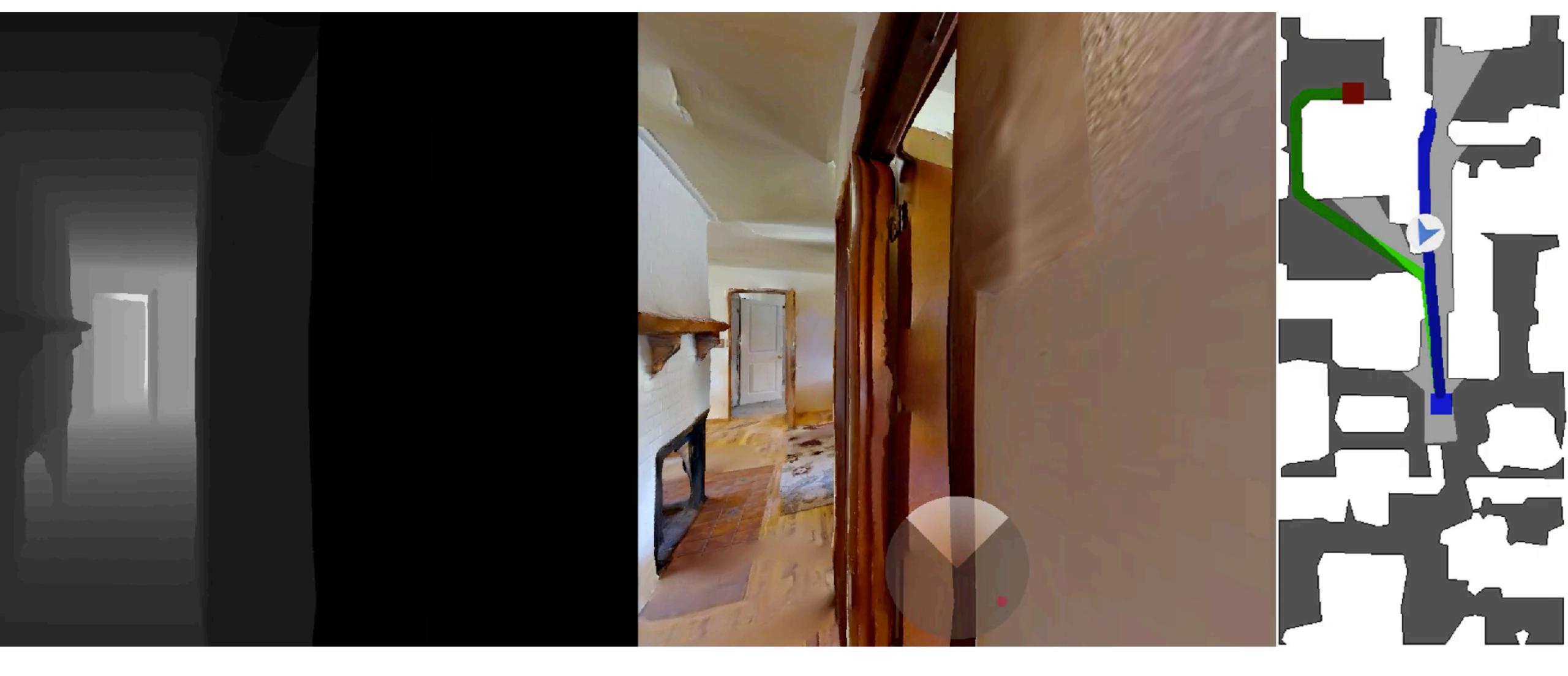
Top Down Map



Depth

RGB and GPS+Compass

Top Down Map

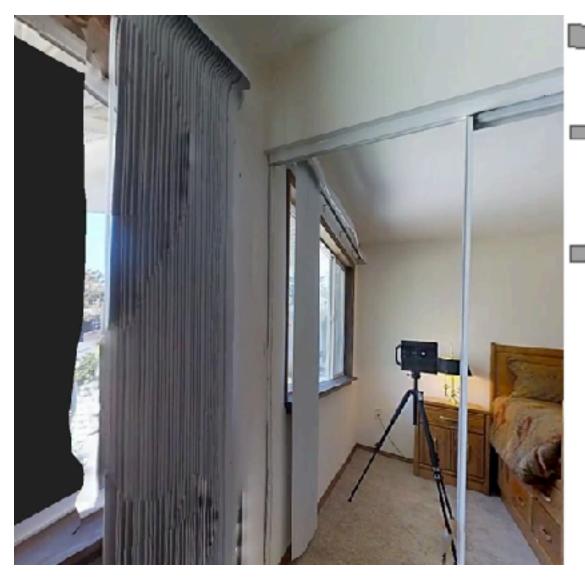


Depth

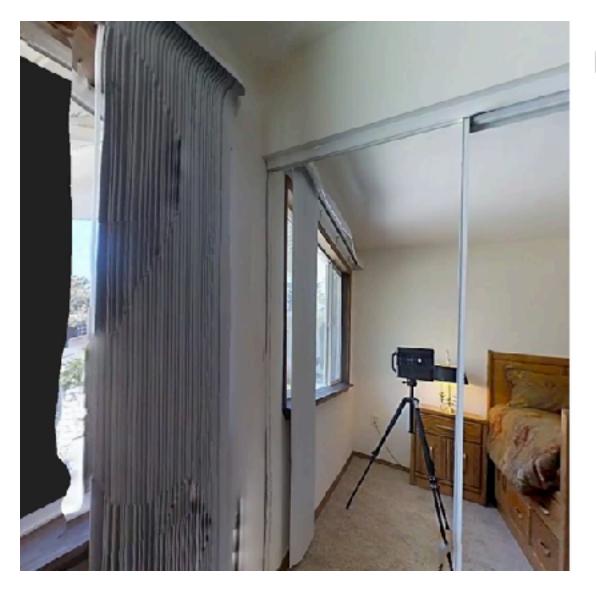
RGB and GPS+Compass

Top Down Map

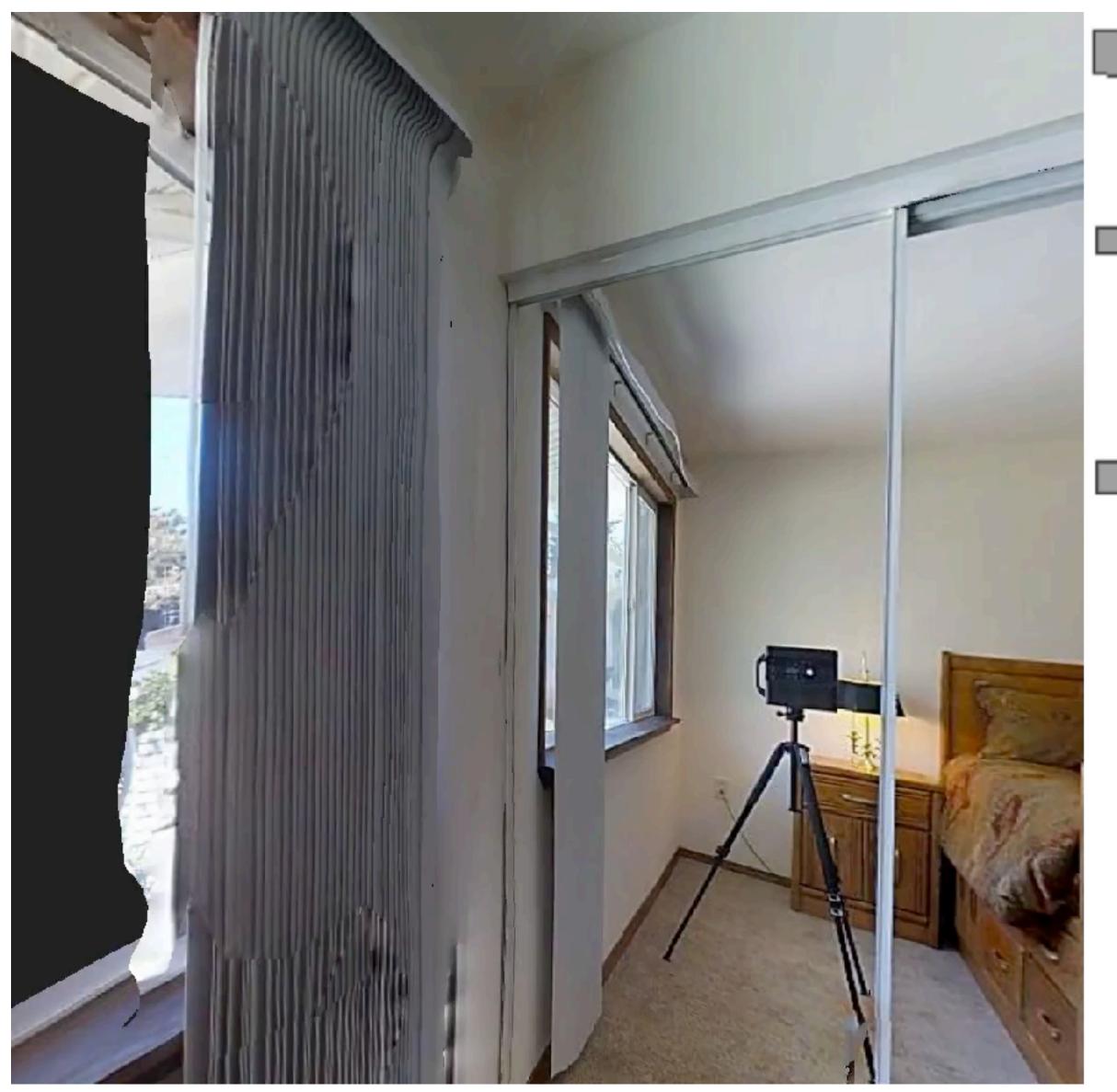
## Visual Turing Test

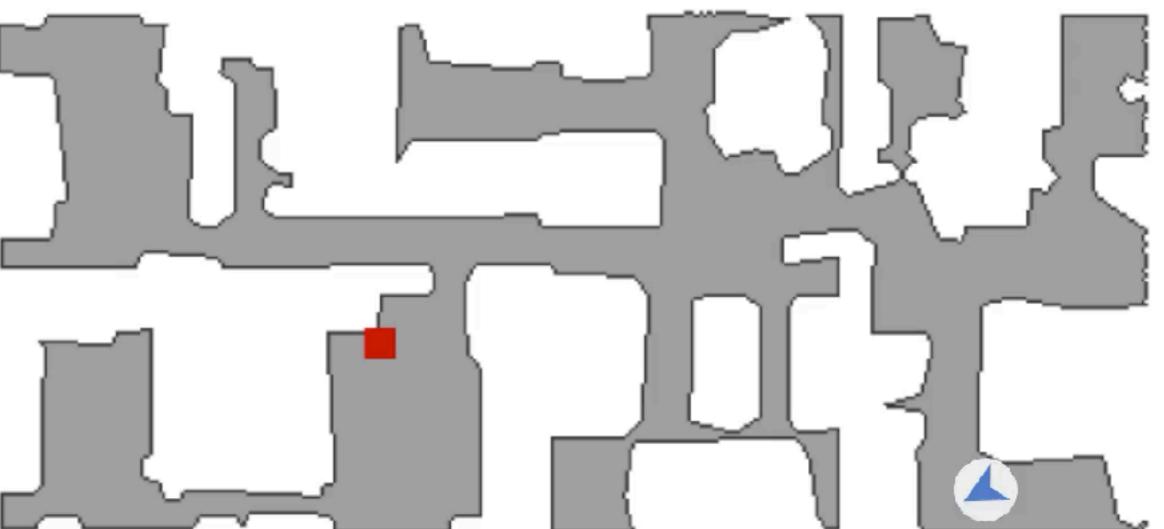


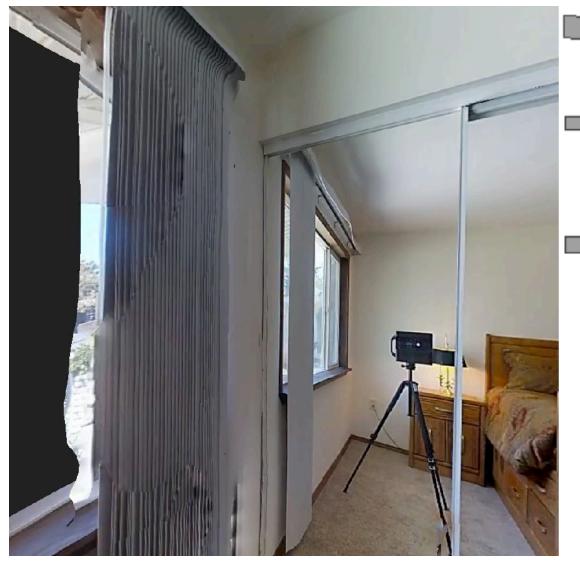


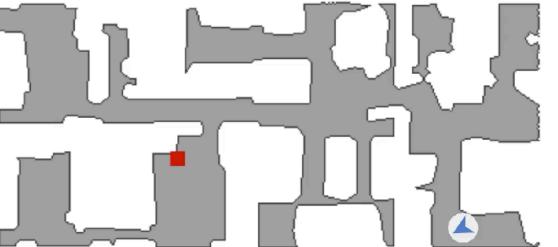


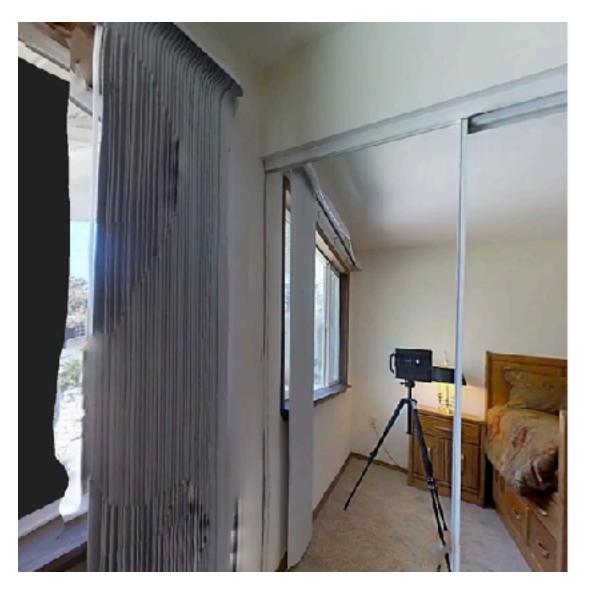




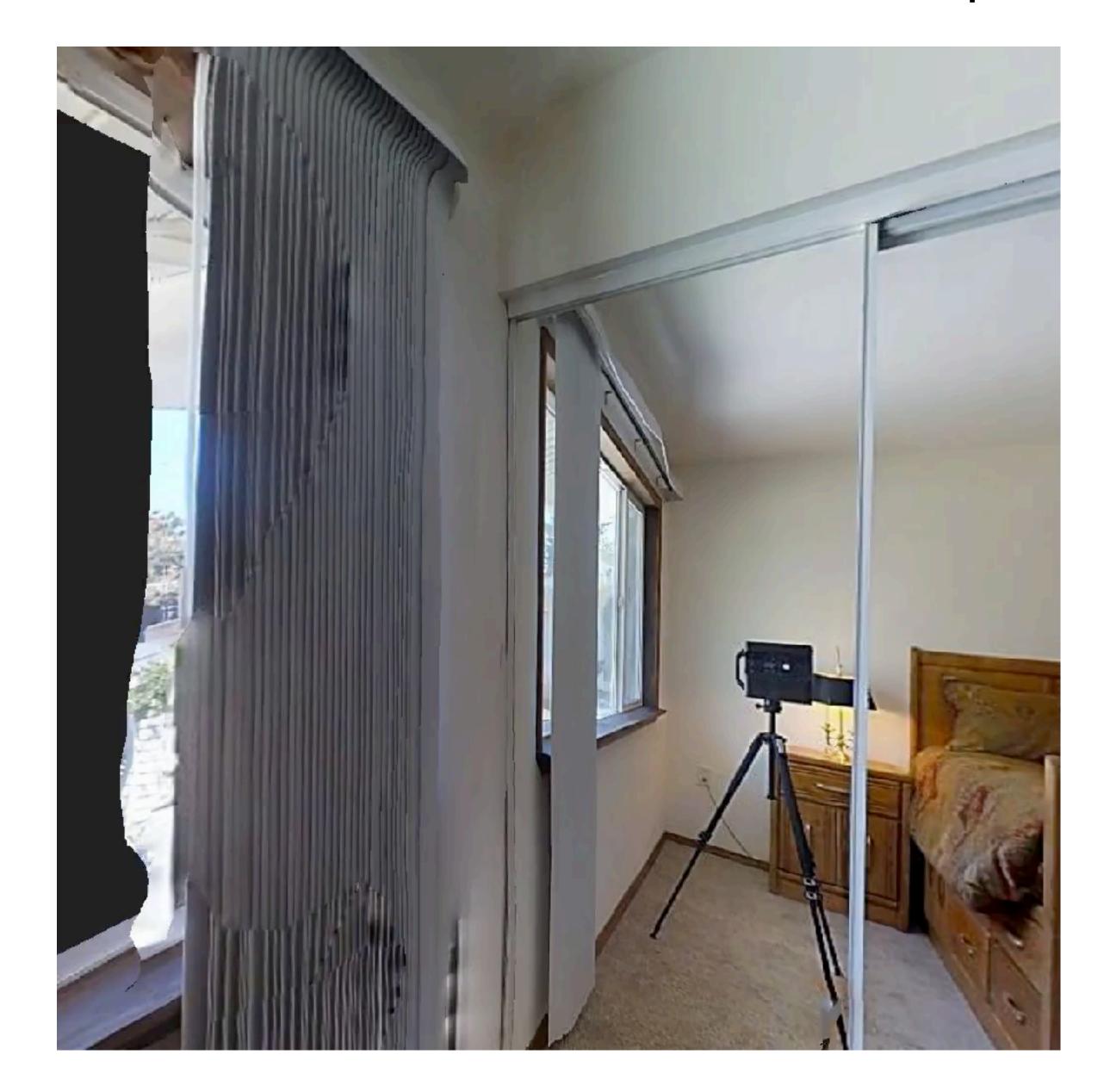


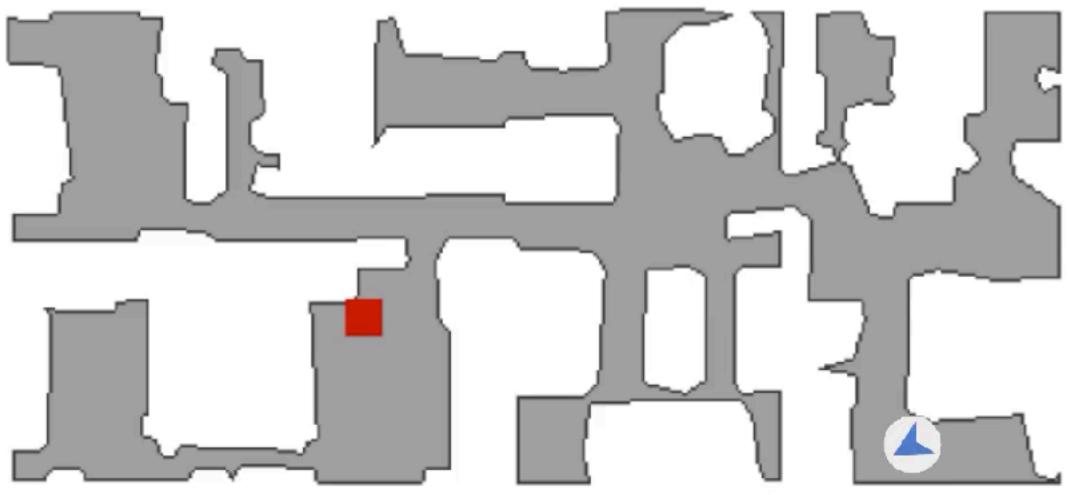


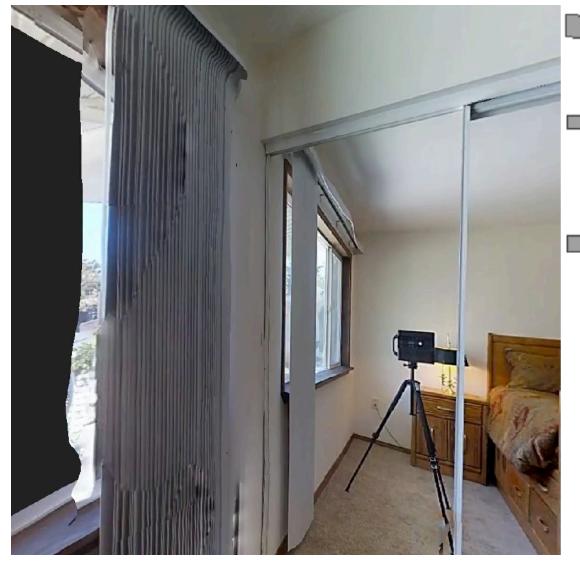


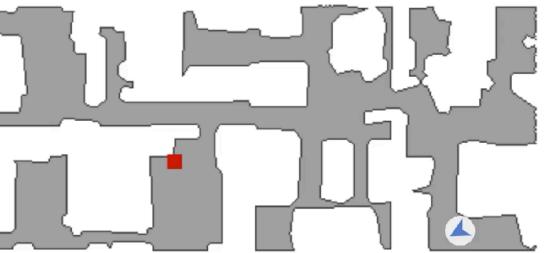




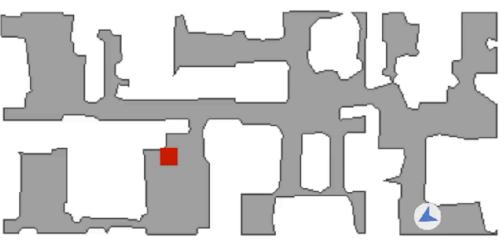




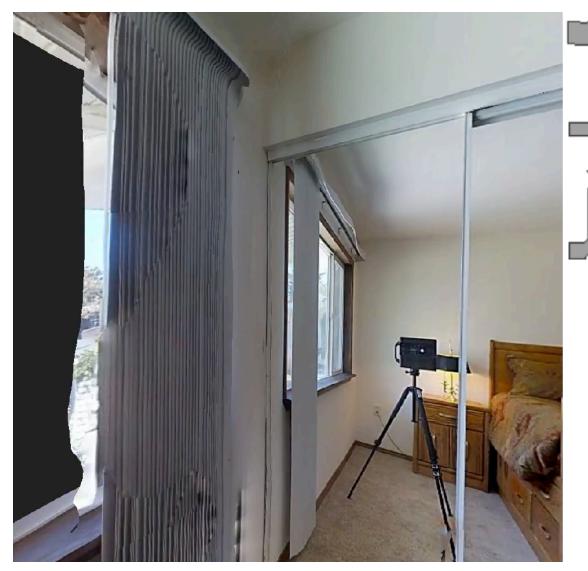


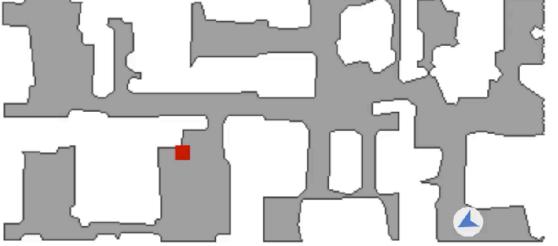






#### Learned Agent





#### Shortest Path Oracle

